

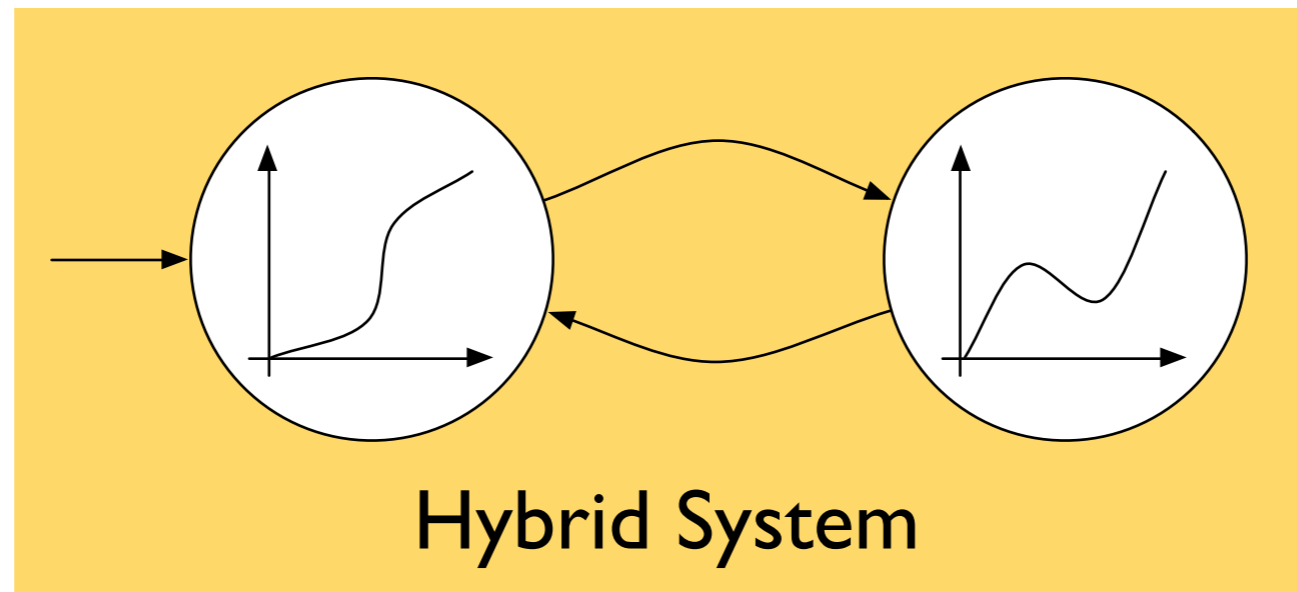
δ -Reachability Analysis for Hybrid Systems

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Hybrid Systems

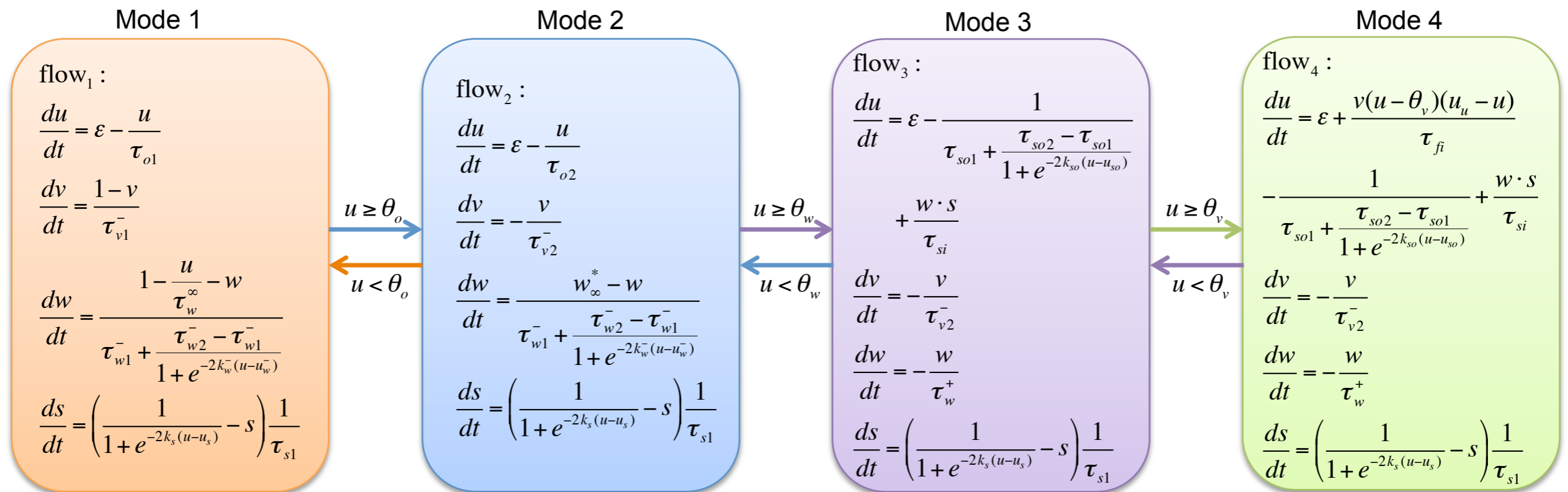


Discrete Control + Continuous Dynamics

Hybrid Systems

Discrete Control + Continuous Dynamics

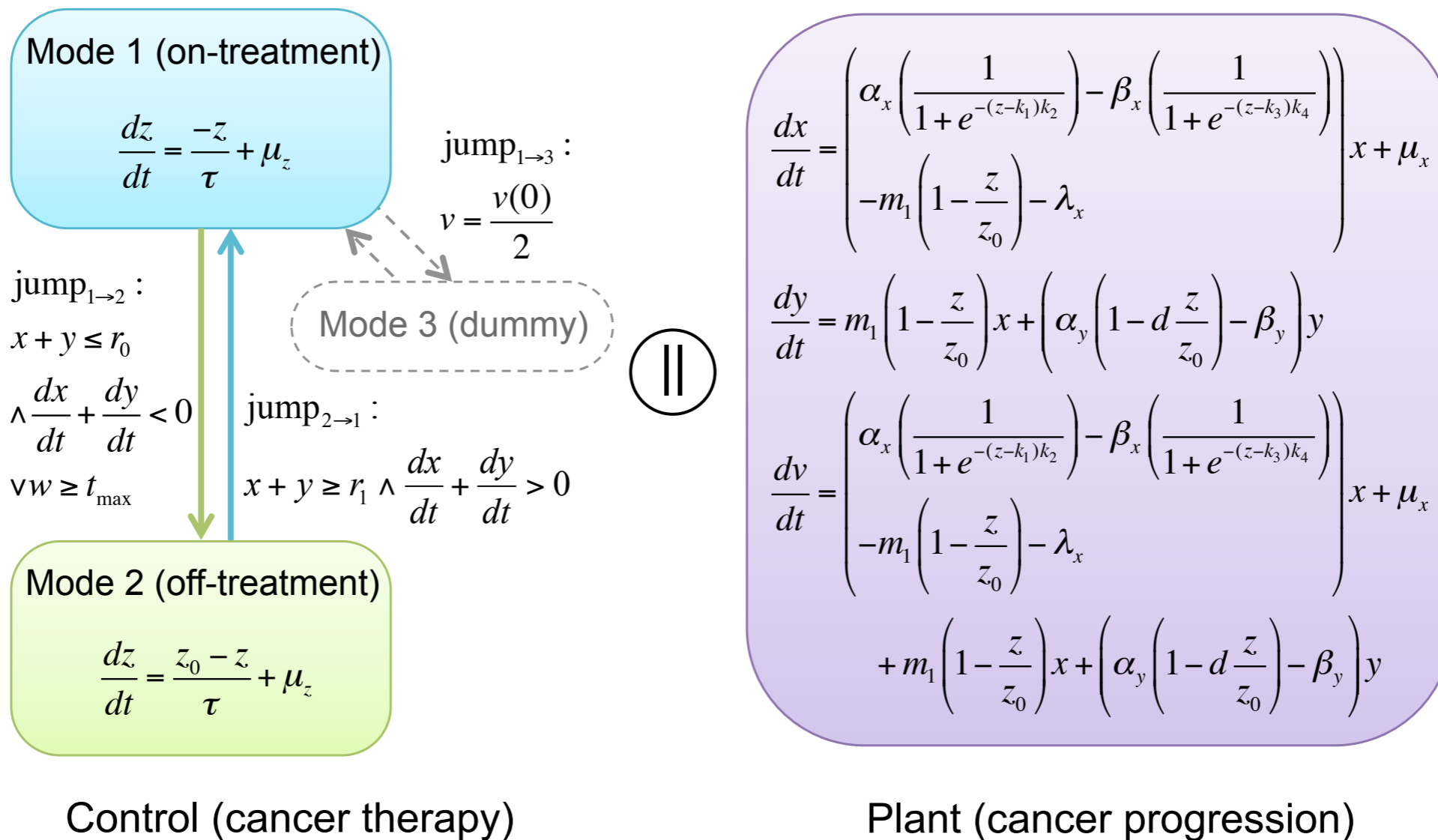
Cardiac Cell Action Potential Model



Hybrid Systems

Discrete Control + Continuous Dynamics

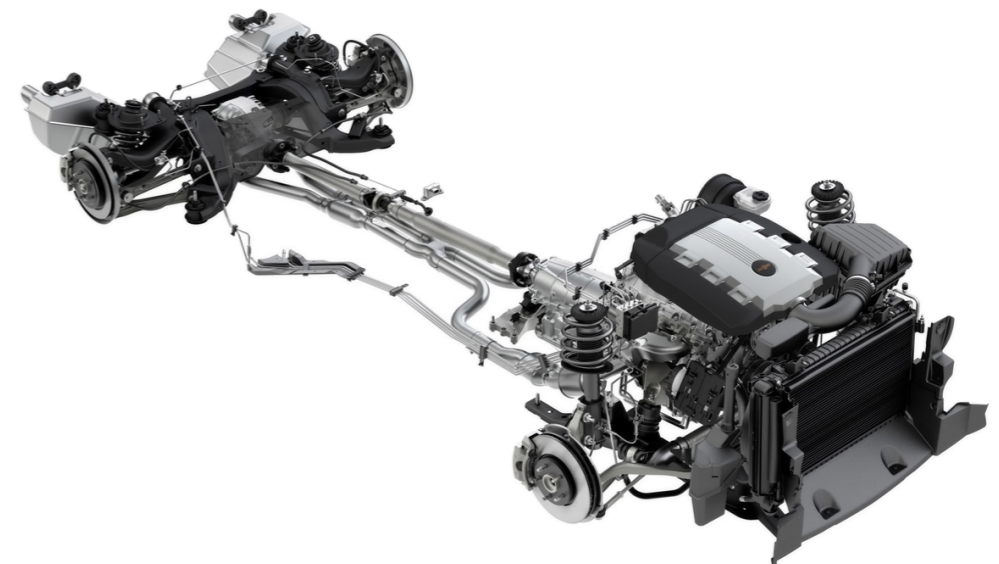
Prostate Cancer Treatment Model



Hybrid Systems



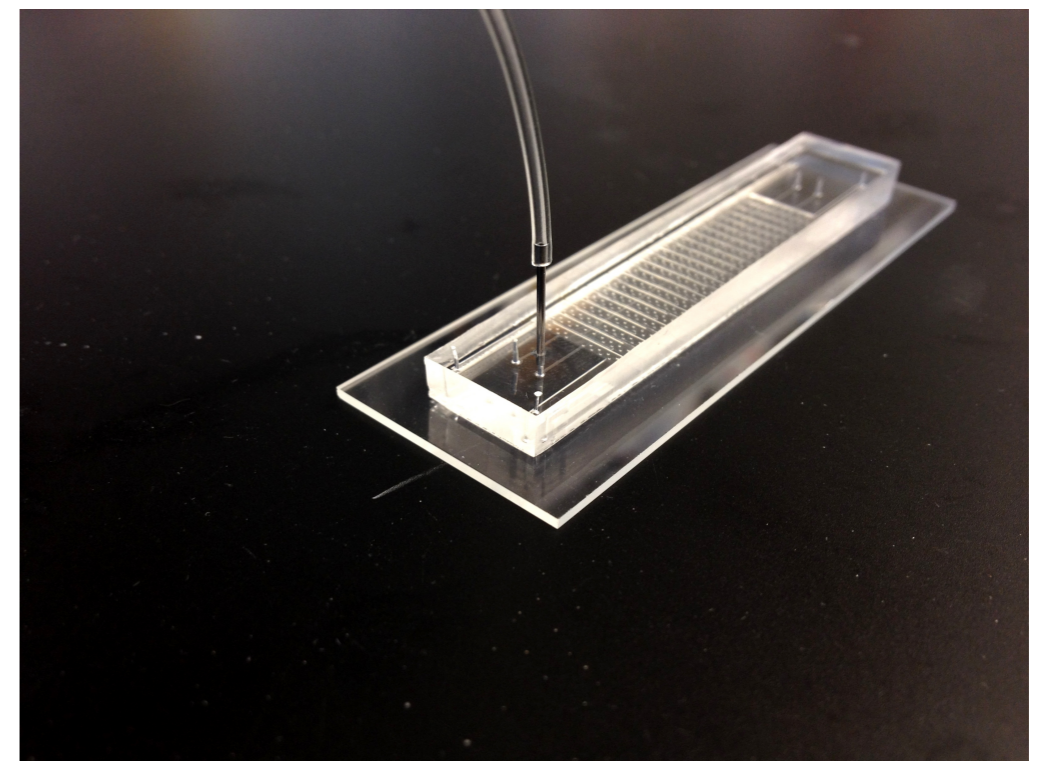
Quadcopter Control (CMU ECE)



Power Train Control (Toyota Research)



Autonomous Vehicle (CMU ECE)

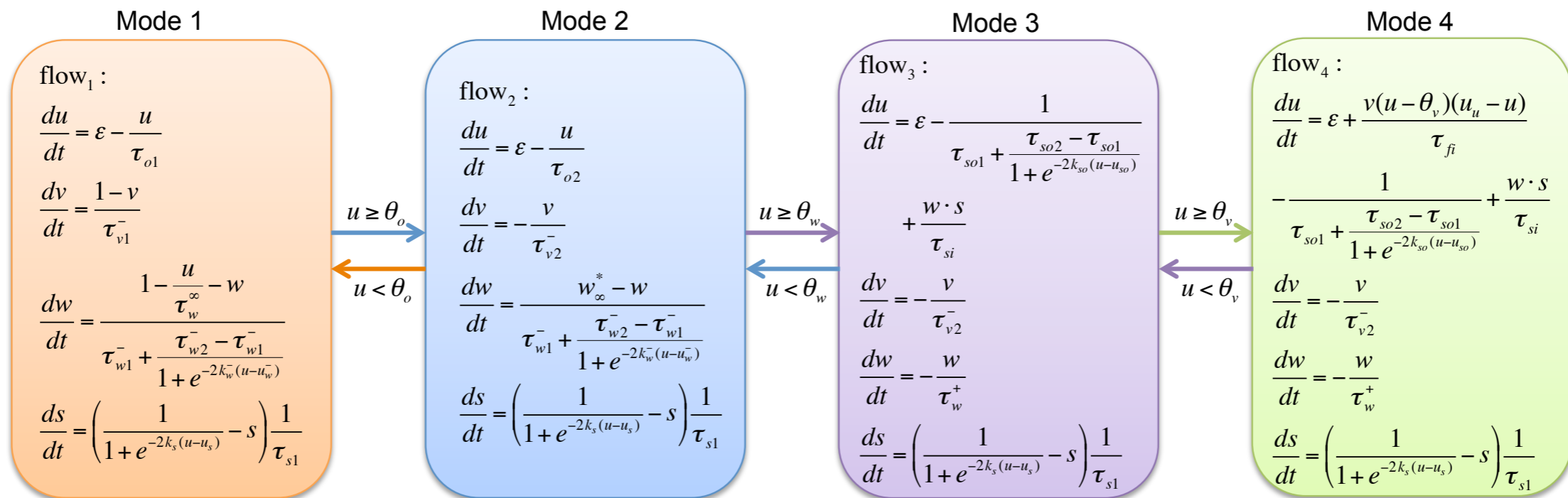


Microfluidic Chip Design (Univ. of Waterloo)

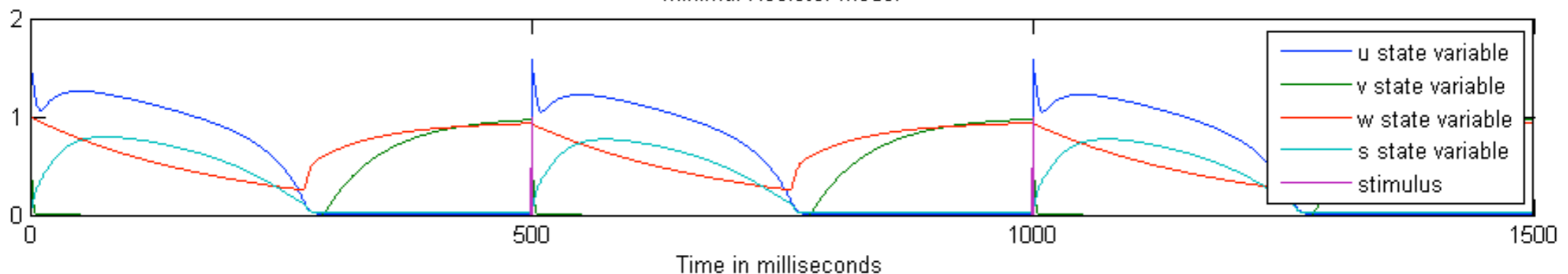
Reachability Analysis of Hybrid Systems

Can we **find** a set of initial values/parameters for which a cardiac cell **loses excitability**?

Cardiac Cell Action Potential



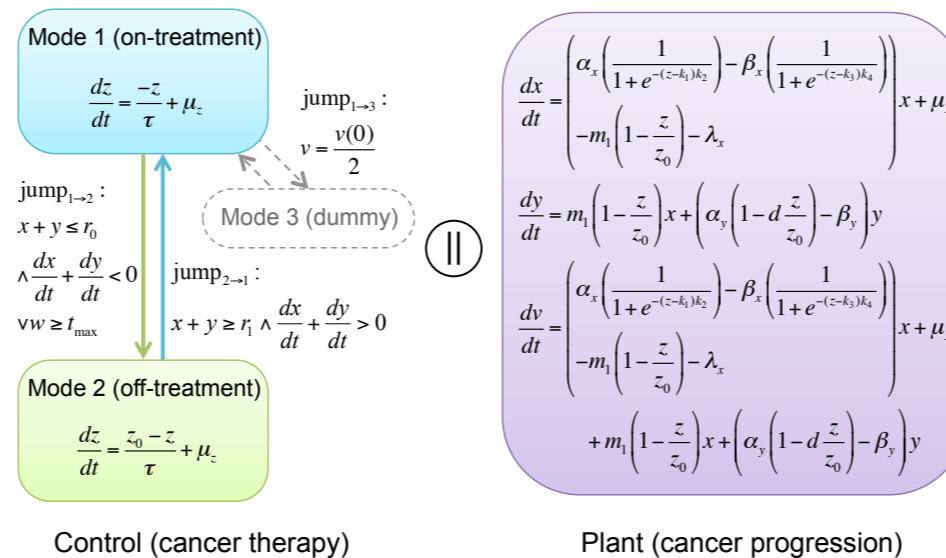
Minimal Resistor Model



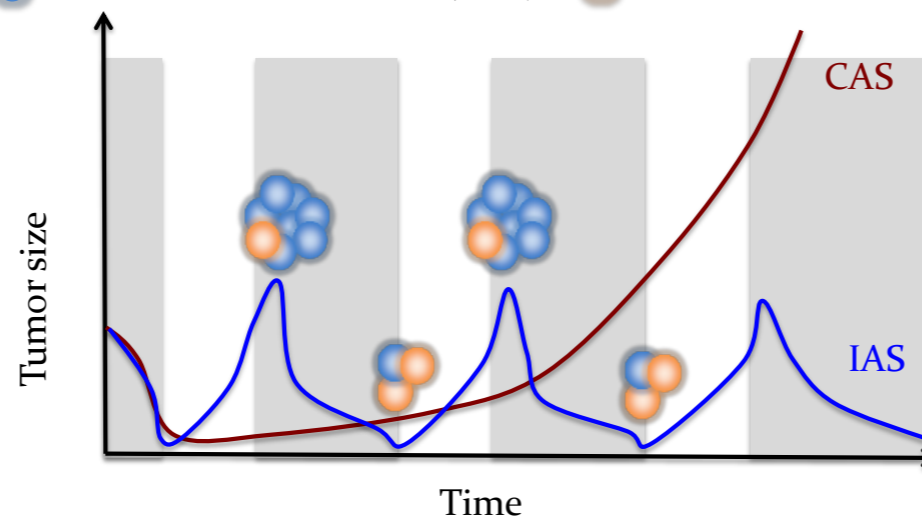
Reachability Analysis of Hybrid Systems

Can we **find** a personalized treatment model which prevents the **cancer recurrence** in 5 years??

Prostate Cancer Treatment Model

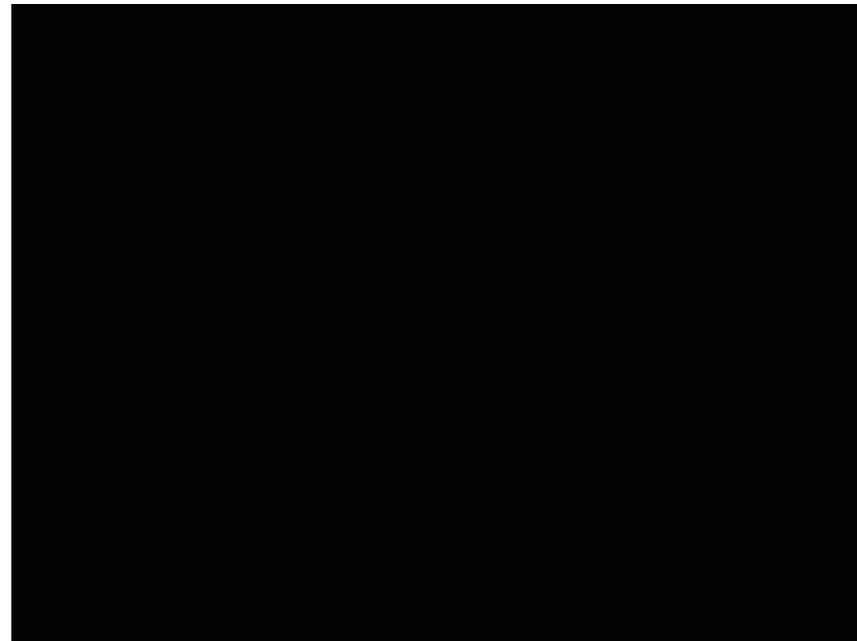


● Hormone sensitive cancer cells (HSCs) ● Castration resistant cancer cells (CRCs)



Reachability Analysis of Hybrid Systems

Can we automate a **non-trivial parking?**

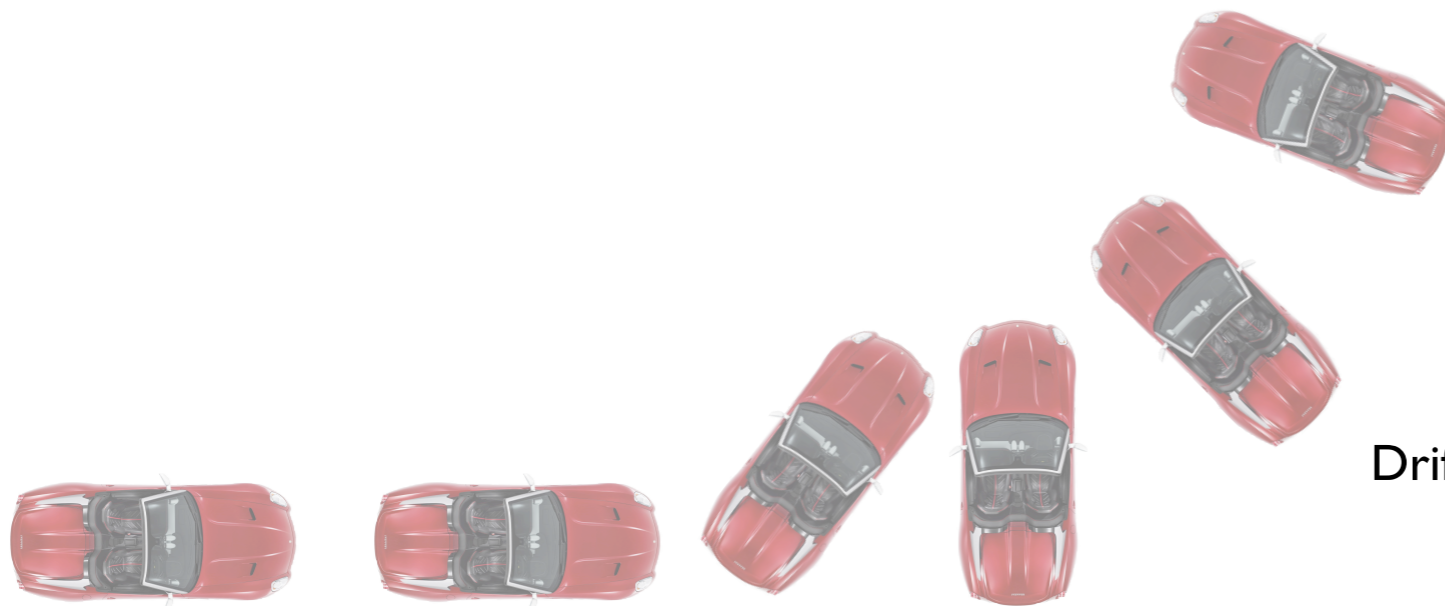


Reachability Analysis of Hybrid Systems

Can we automate a **non-trivial parking?**



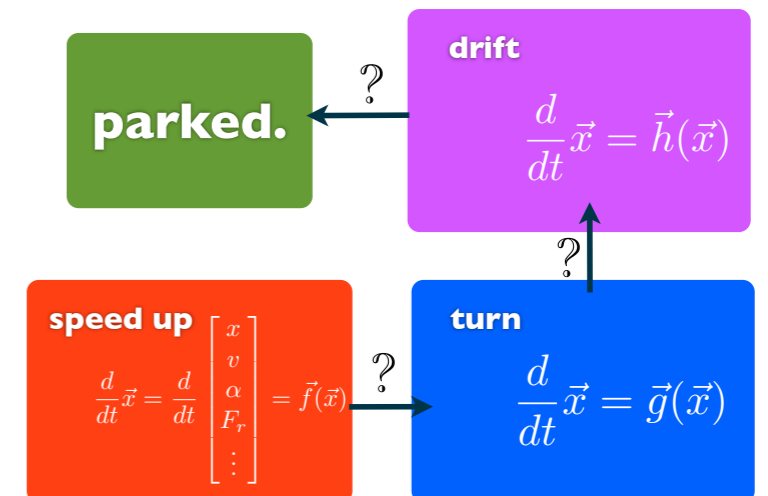
Parked



Speed up

Turn

Drift

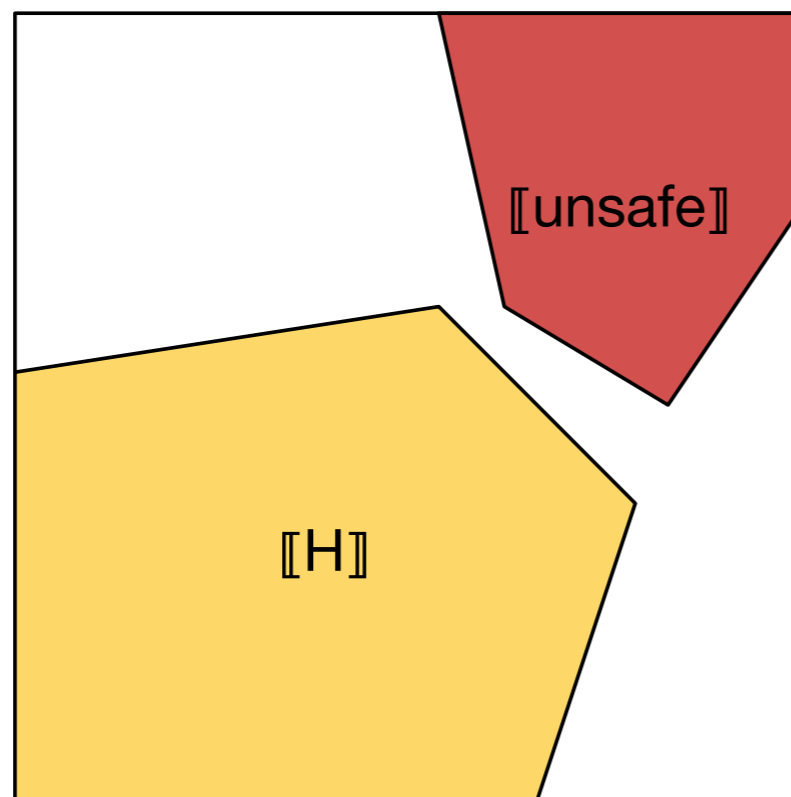


Reachability Analysis of Hybrid Systems

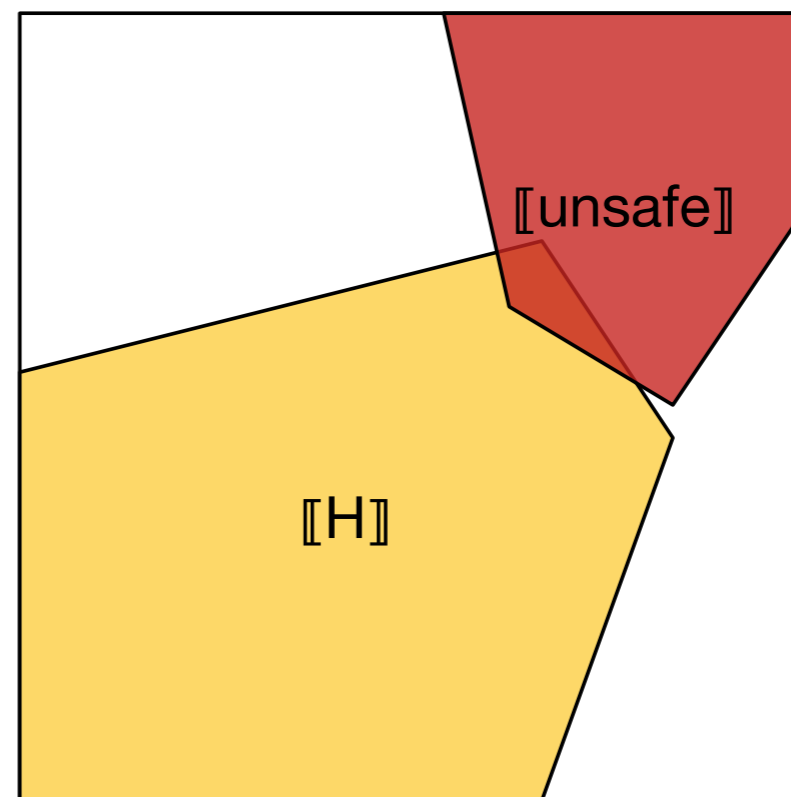
Can a hybrid system run into an **unsafe** region of its state space?

Reachability Analysis of Hybrid Systems

Can a hybrid system run into an **unsafe** region of its state space?



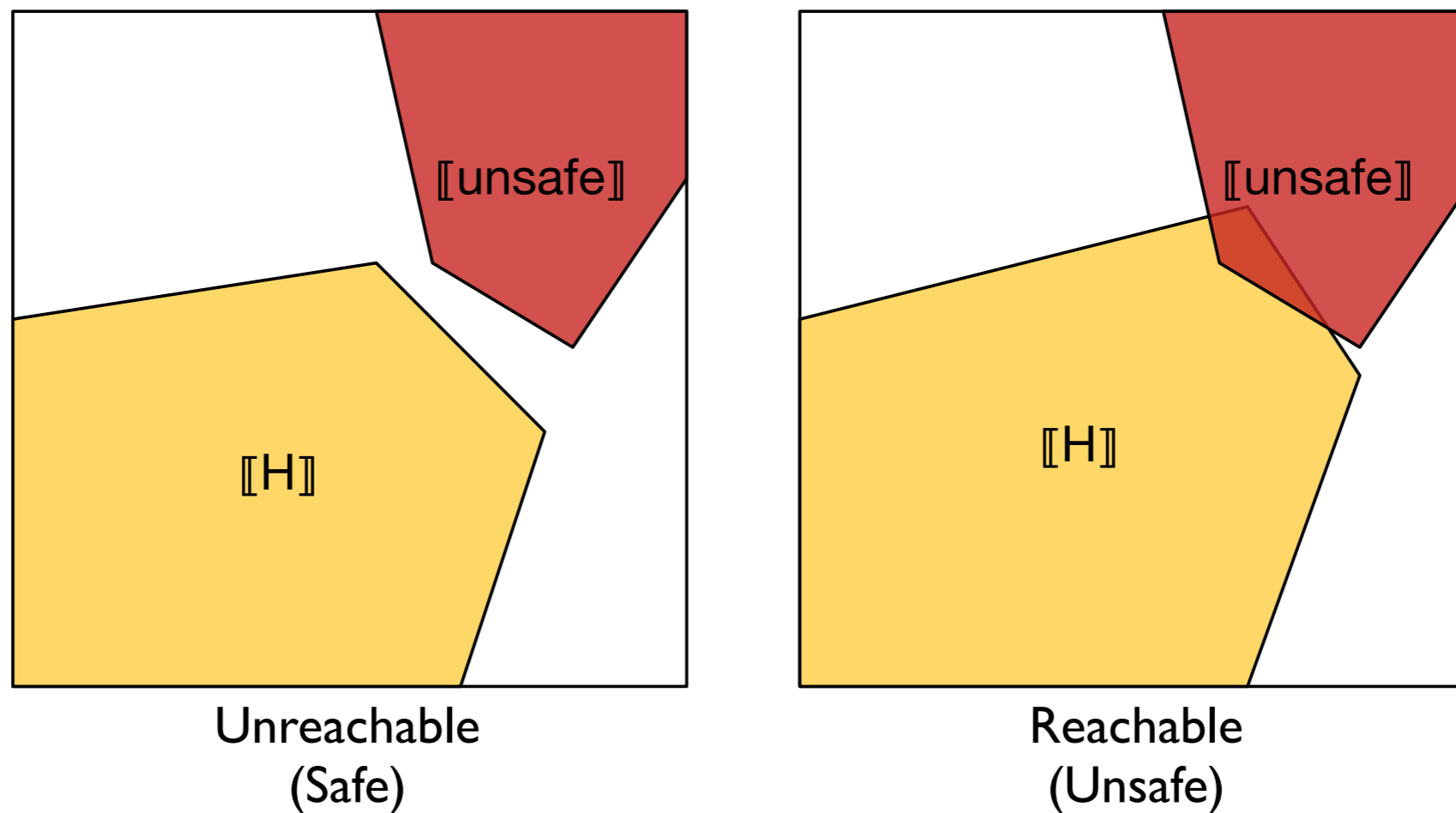
Unreachable
(Safe)



Reachable
(Unsafe)

Reachability Analysis of Hybrid Systems

Can a hybrid system run into an **unsafe** region of its state space?



The standard bounded reachability problems for simple hybrid systems are **undecidable** [Alur et al, 1992].

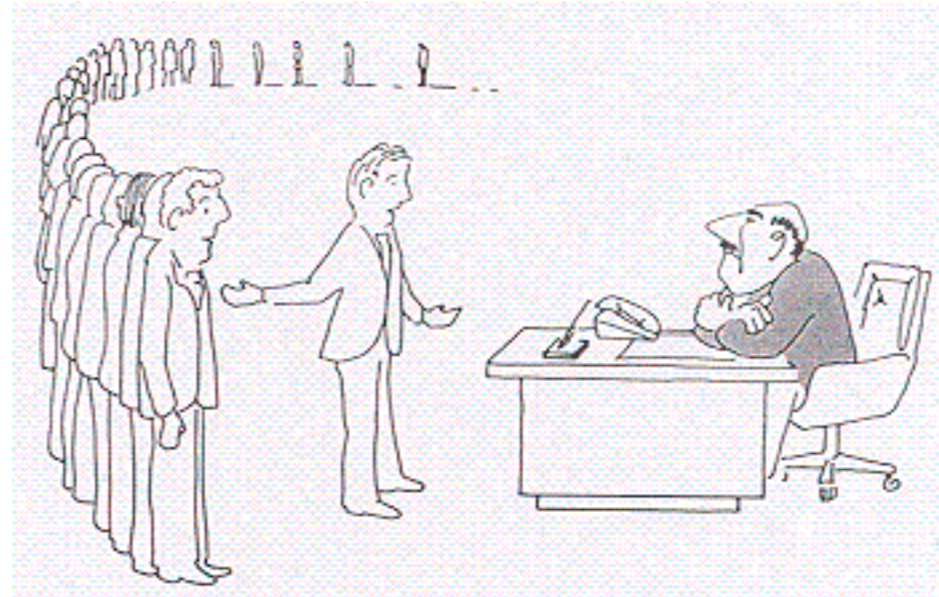
Reachability Analysis of Hybrid Systems

The standard bounded reachability problems for simple hybrid systems are **undecidable**.

Reachability Analysis of Hybrid Systems

The standard bounded reachability problems for simple hybrid systems are **undecidable**.

I. Give up



“I can’t find an algorithm,
but neither can all these famous people.”

Reachability Analysis of Hybrid Systems

The standard bounded reachability problems for simple hybrid systems are **undecidable**.

1. Give up
2. Don't give Up

Reachability Analysis of Hybrid Systems

The standard bounded reachability problems for simple hybrid systems are **undecidable**.

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- A. Find a decidable fragment and solve it

Reachability Analysis of Hybrid Systems

The standard bounded reachability problems for simple hybrid systems are **undecidable**.

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- A. Find a decidable fragment and solve it

- B. Use approximation

Reachability Analysis of Hybrid Systems

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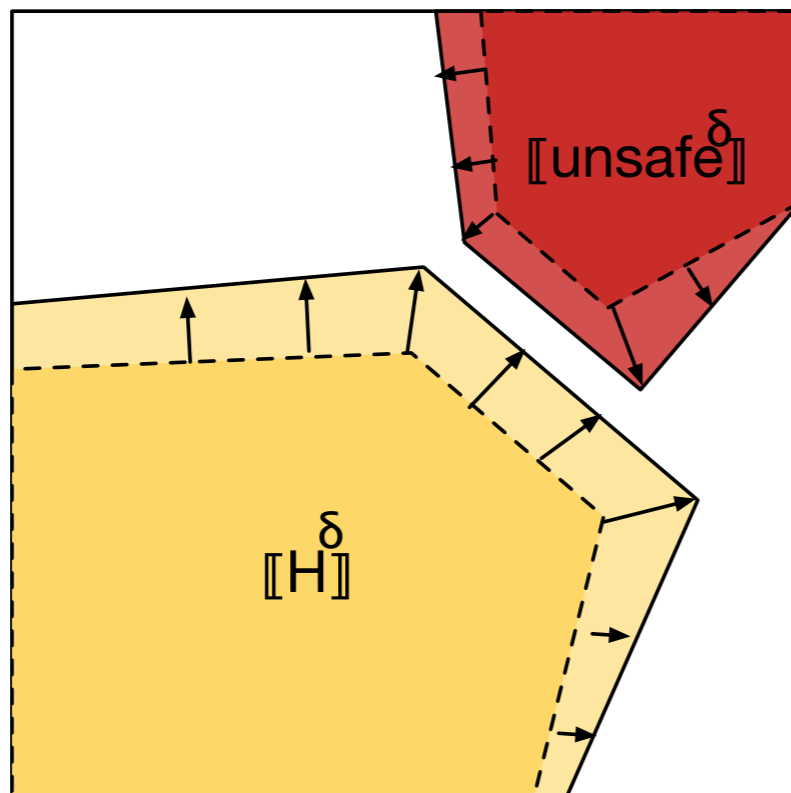
A. Find a decidable fragment and solve it

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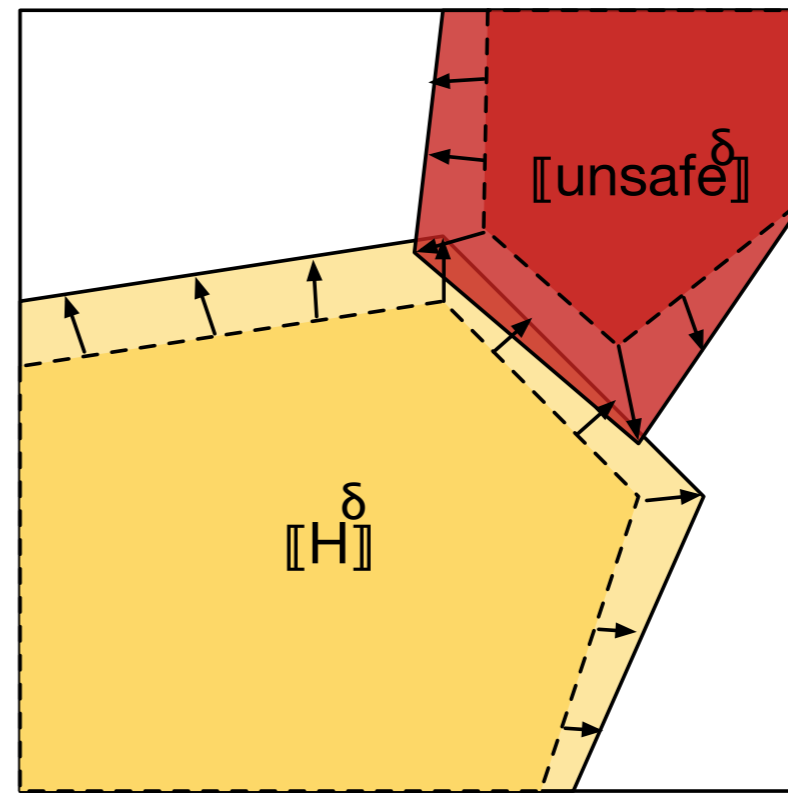
δ -Reachability Analysis of Hybrid Systems

Given $\delta \in \mathbb{Q}^+$, $\llbracket H \rrbracket^\delta$ and $\llbracket \text{unsafe} \rrbracket^\delta$ **over-approximate** $\llbracket H \rrbracket$ and $\llbracket \text{unsafe} \rrbracket$

δ -reachability problem asks for one of the following answers:



Unreachable
(Safe)

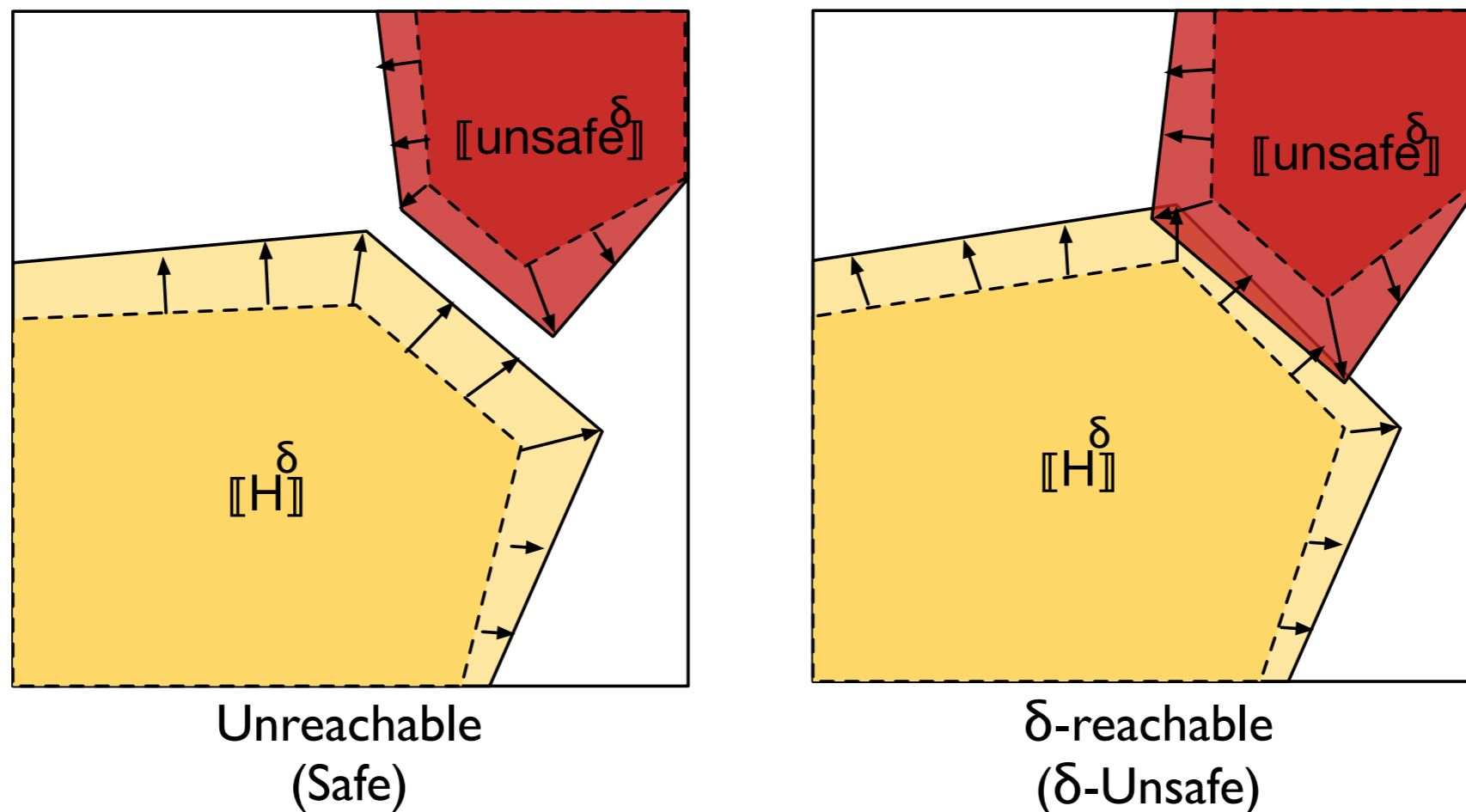


δ -reachable
(δ -Unsafe)

δ -Reachability Analysis of Hybrid Systems

Given $\delta \in \mathbb{Q}^+$, $[[H^\delta]]$ and $[[\text{unsafe}^\delta]]$ **over-approximate** $[[H]]$ and $[[\text{unsafe}]]$

δ -reachability problem asks for one of the following answers:

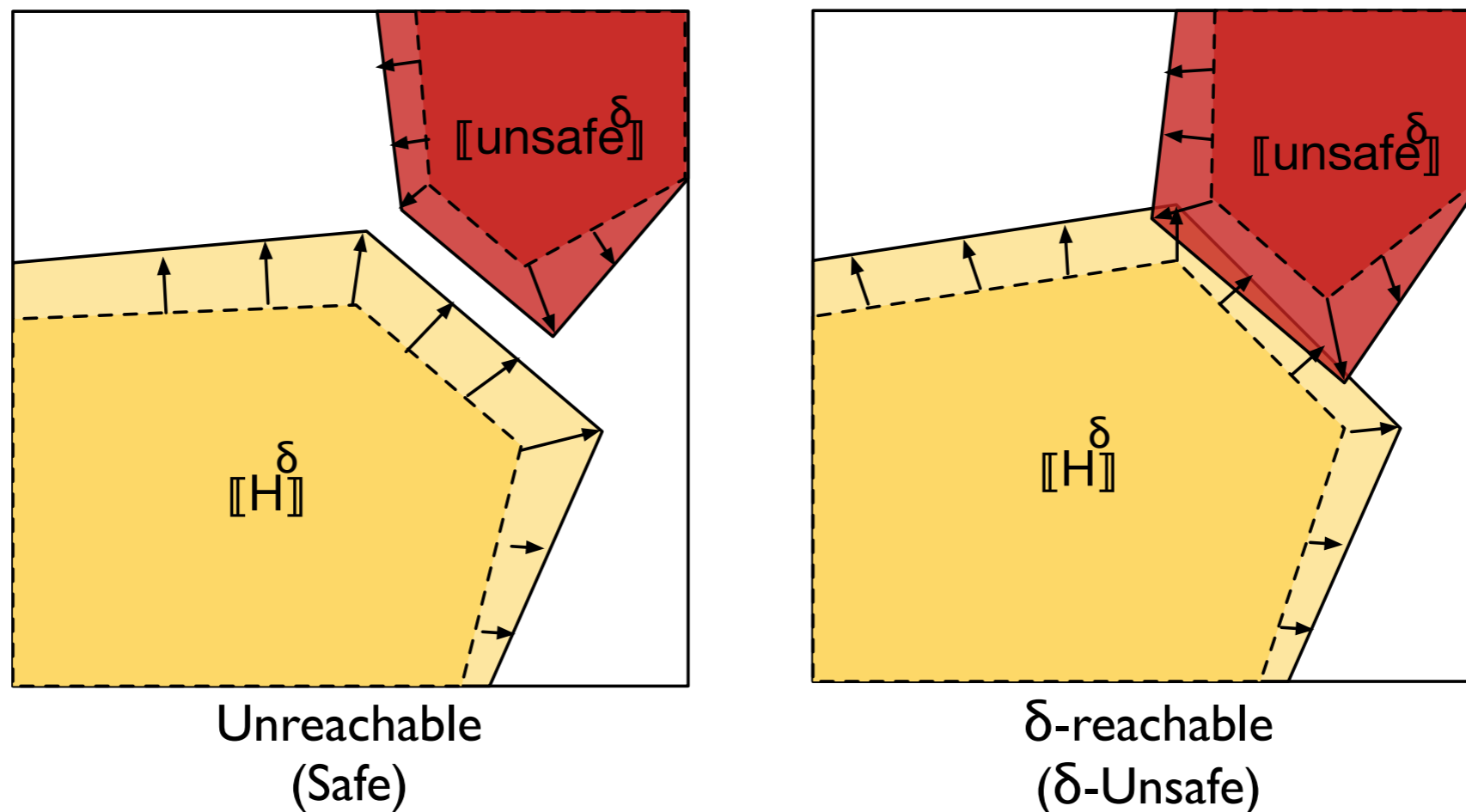


- **Decidable** for a wide range of nonlinear hybrid systems
 - polynomials, log, exp, trigonometric functions, ...

δ -Reachability Analysis of Hybrid Systems

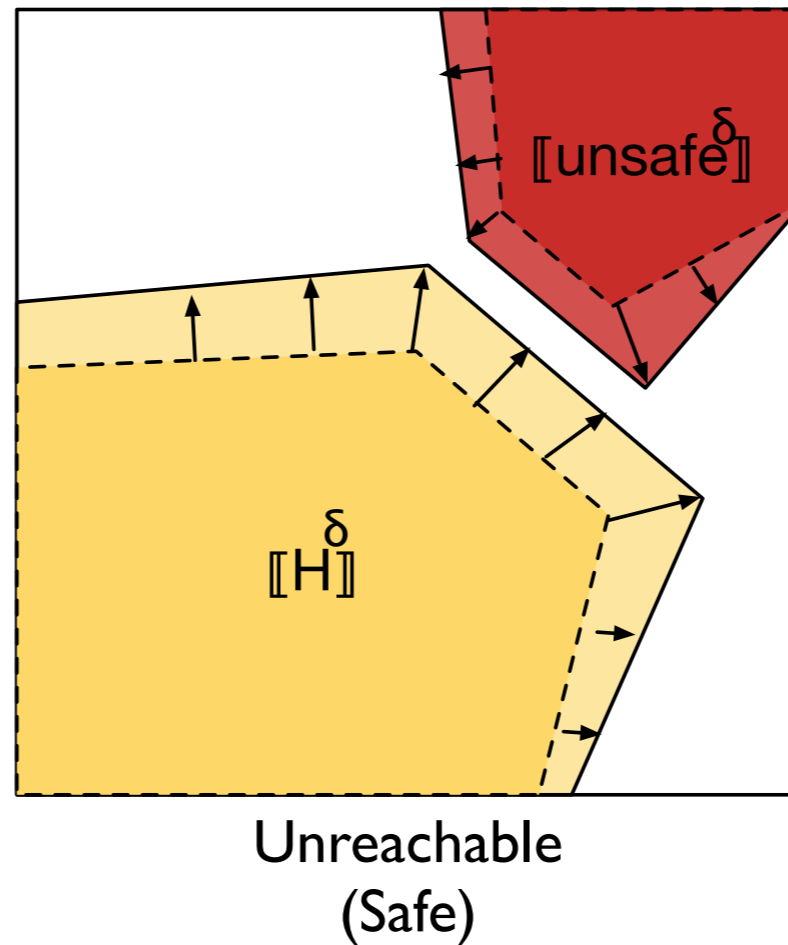
Given $\delta \in \mathbb{Q}^+$, $\llbracket H^\delta \rrbracket$ and $\llbracket \text{unsafe}^\delta \rrbracket$ **over-approximate** $\llbracket H \rrbracket$ and $\llbracket \text{unsafe} \rrbracket$

δ -reachability problem asks for one of the following answers:



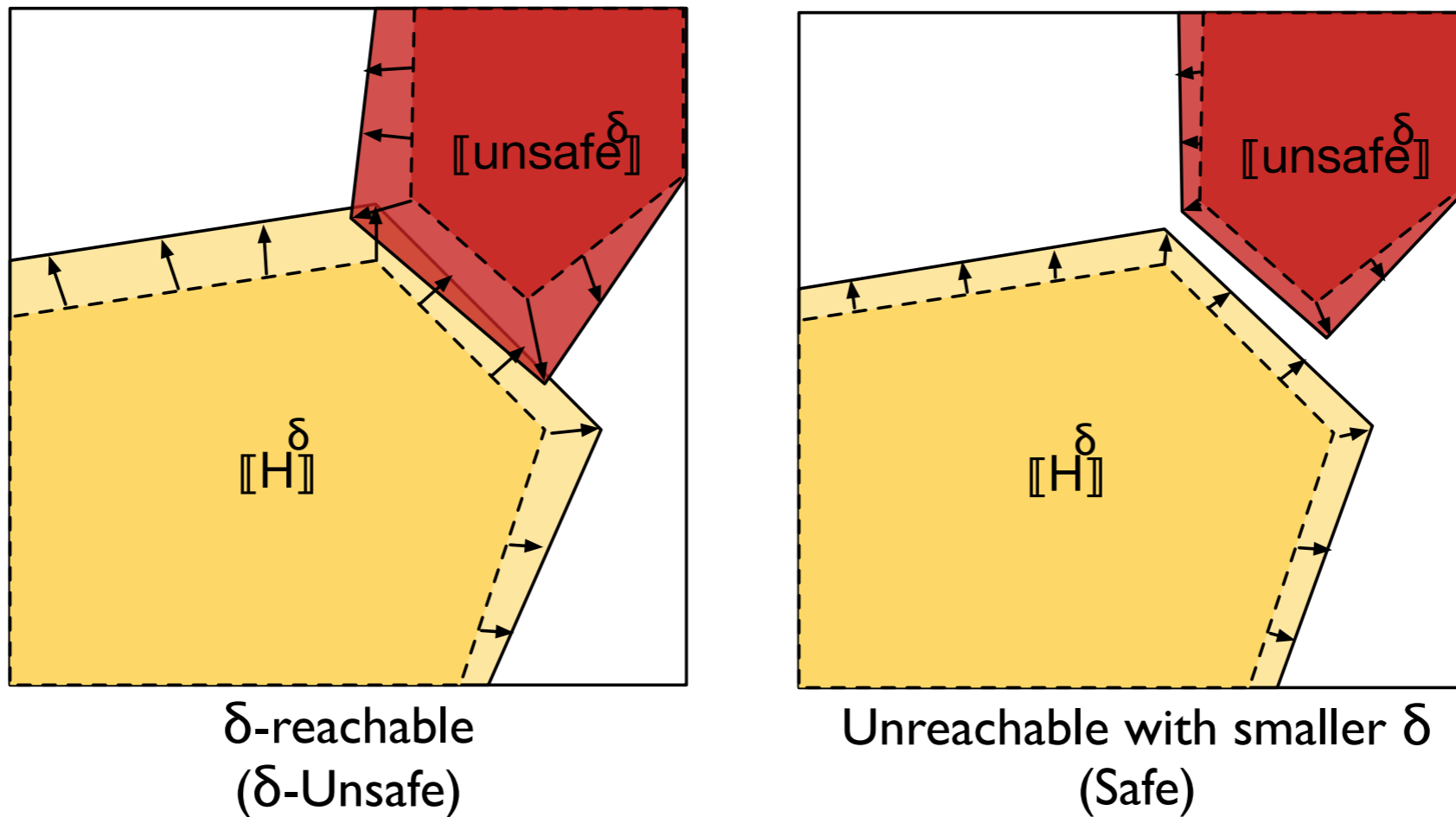
- **Decidable** for a wide range of nonlinear hybrid systems
- **Reasonable** complexity bound (PSPACE-complete)

δ -Reachability Analysis of Hybrid Systems



I. “Unreachable” answers is **sound**.

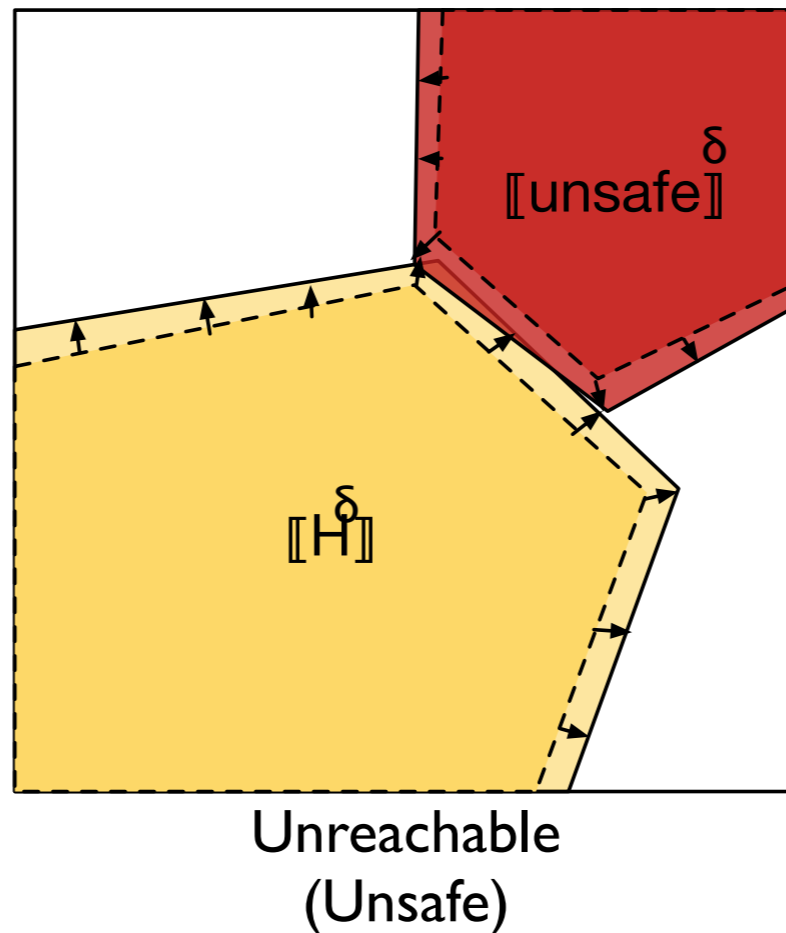
δ -Reachability Analysis of Hybrid Systems



2. Analysis is parameterized with δ

If using a delta leads to a **infeasible** counterexample, you may try a **smaller delta** and possibly get rid of it.

δ -Reachability Analysis of Hybrid Systems



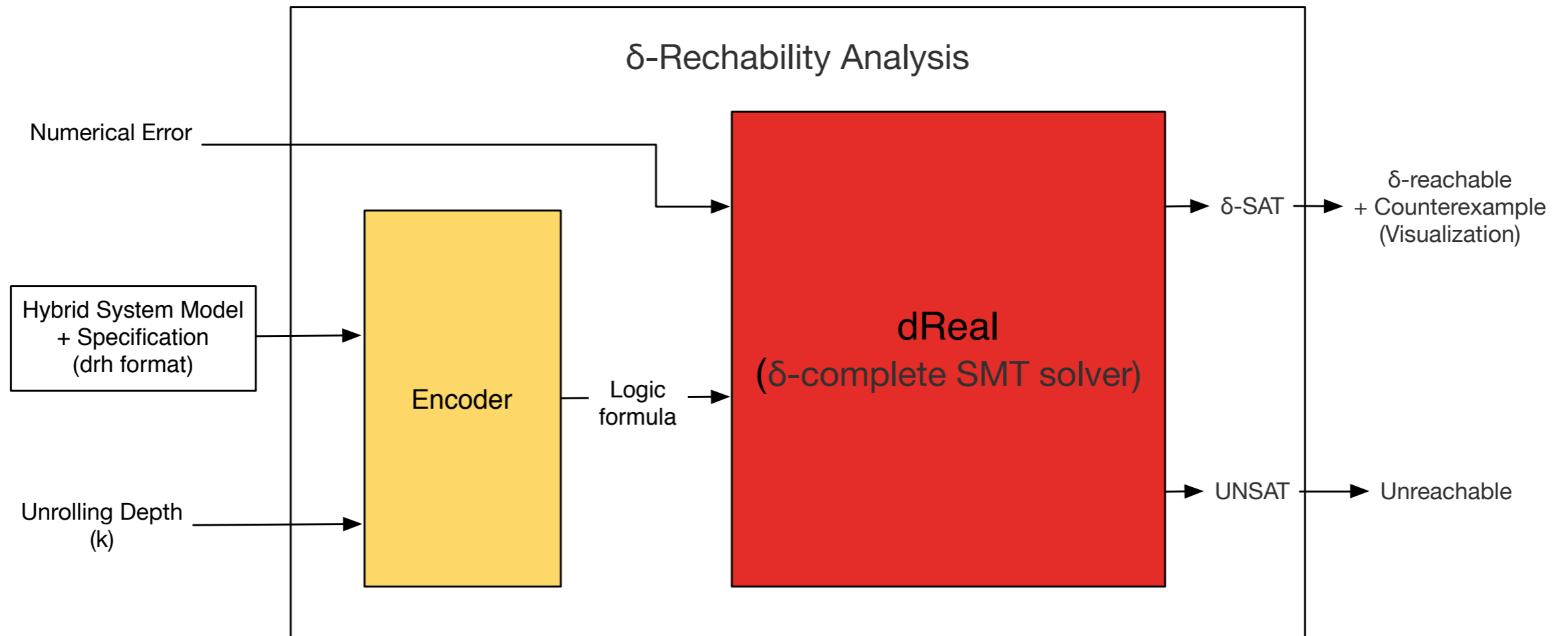
3. Robustness

If your system is **δ -reachable** under a reasonably small δ , then a small error can lead your system to an **unsafe** state

δ -Reachability Analysis of Hybrid Systems

“ δ -reachability analysis checks **robustness** which implies **safety**.”

δ -Reachability Analysis of Hybrid Systems



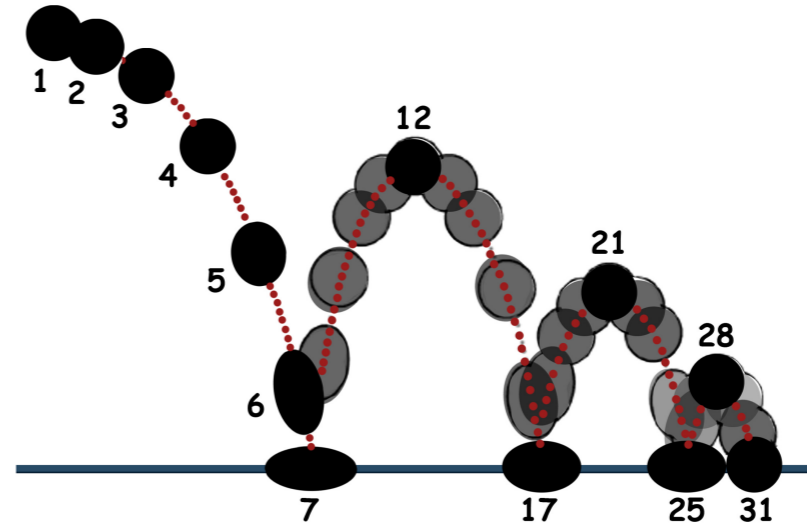
Input Format (drh) for Hybrid System

```
#define D 0.45
#define K 0.9
[0, 15] x;
[9.8] g;
[-18, 18] v;
[0, 3] time;

{
  mode 1;
  invt: (v <= 0);
        (x >= 0);
  flow: d/dt[x] = v;
        d/dt[v] = -g - (D * v ^ 2);
  jump: (x = 0) ==> @2 (and (x' = x) (v' = -K * v)); }

{
  mode 2;
  invt: (v >= 0);
        (x >= 0);
  flow: d/dt[x] = v;
        d/dt[v] = -g + (D * v ^ 2);
  jump: (v = 0) ==> @1 (and (x' = x) (v' = v)); }

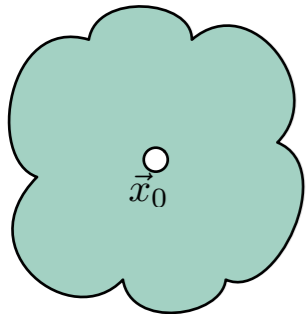
init: @1 (and (x >= 5) (v = 0));
goal: @1 (and (x >= 0.45));
```



Inelastic bouncing ball with air resistance

Logical Encoding of Reachability Problem

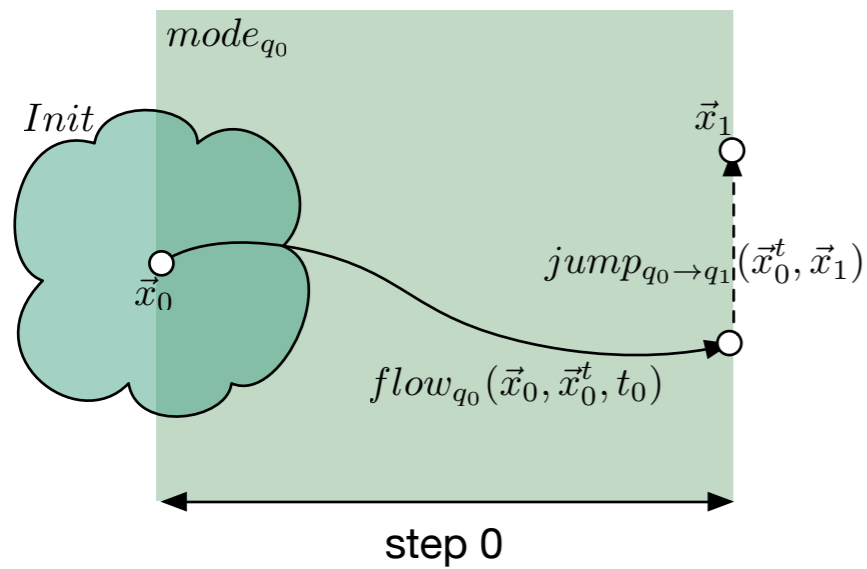
Can a system run into an **unsafe** region after making k steps?



Init(\vec{x}_0)

Logical Encoding of Reachability Problem

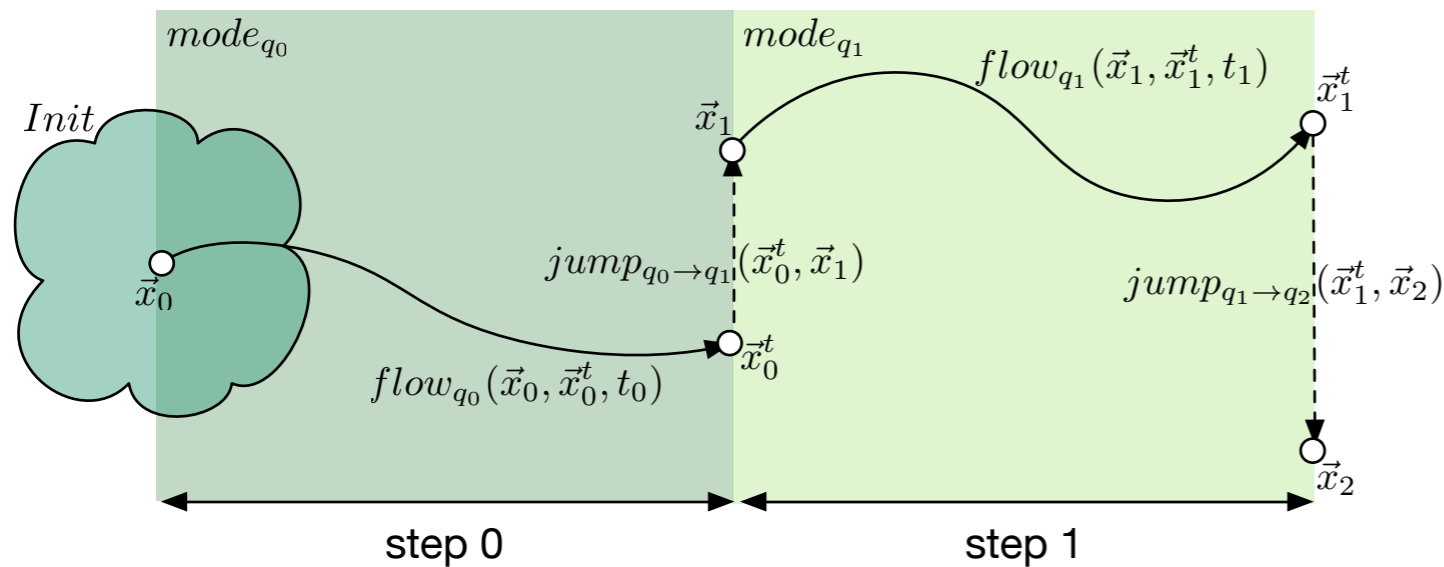
Can a system run into an **unsafe** region after making k steps?



$$Init(\vec{x}_0) \wedge flow_{q_0}(\vec{x}_0, \vec{x}_0^t, t_0) \wedge jump_{q_0 \rightarrow q_1}(\vec{x}_0^t, \vec{x}_1)$$

Logical Encoding of Reachability Problem

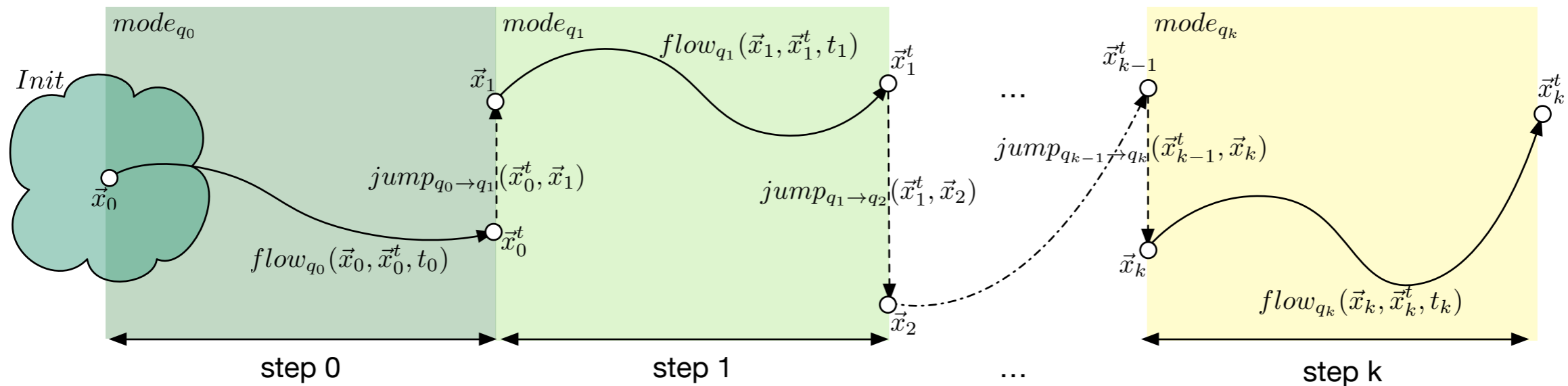
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Logical Encoding of Reachability Problem

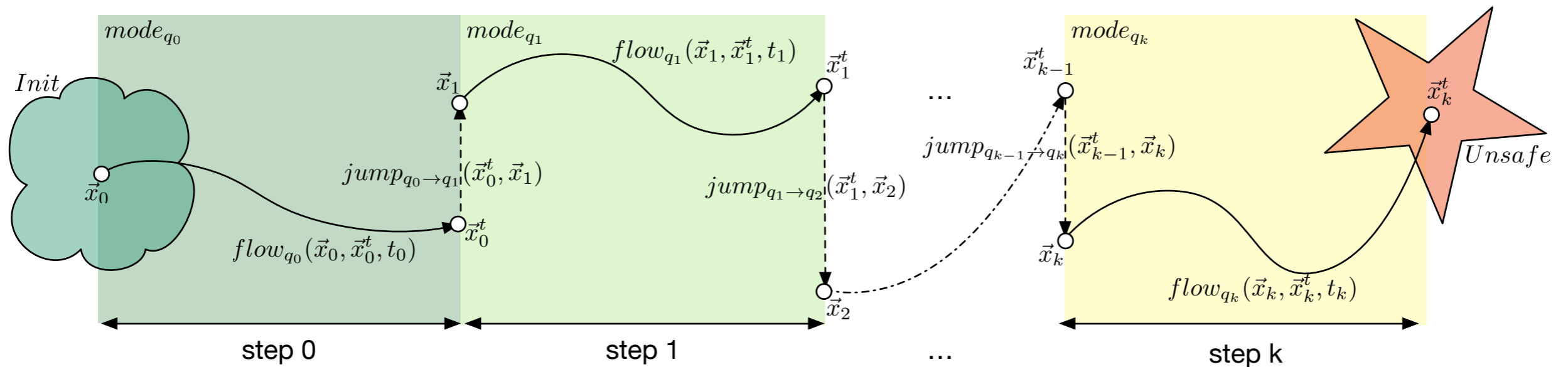
Can a system run into an **unsafe** region after making k steps?



$$\begin{aligned}
 &Init(\vec{x}_0) \wedge flow_{q_0}(\vec{x}_0, \vec{x}_0^t, t_0) \wedge jump_{q_0 \rightarrow q_1}(\vec{x}_0^t, \vec{x}_1) \wedge \\
 &\quad flow_{q_1}(\vec{x}_1, \vec{x}_1^t, t_1) \wedge jump_{q_1 \rightarrow q_2}(\vec{x}_1^t, \vec{x}_2) \wedge \\
 &\quad \dots \\
 &\quad flow_{q_k}(\vec{x}_k, \vec{x}_k^t, t_k)
 \end{aligned}$$

Logical Encoding of Reachability Problem

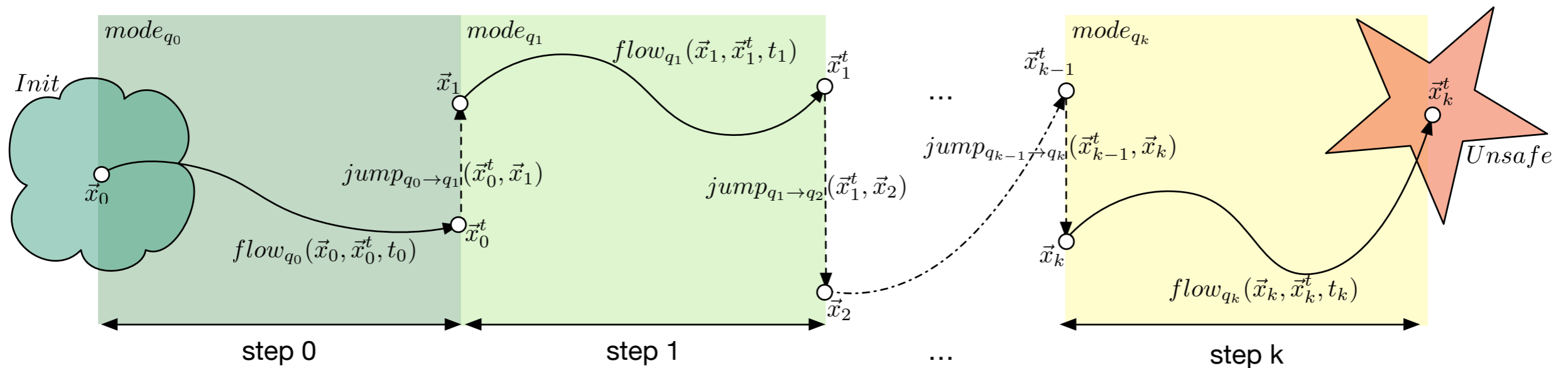
Can a system run into an **unsafe** region after making k steps?



$$\begin{aligned}
 &Init(\vec{x}_0) \wedge flow_{q_0}(\vec{x}_0, \vec{x}_0^t, t_0) \wedge jump_{q_0 \rightarrow q_1}(\vec{x}_0^t, \vec{x}_1) \wedge \\
 &\quad flow_{q_1}(\vec{x}_1, \vec{x}_1^t, t_1) \wedge jump_{q_1 \rightarrow q_2}(\vec{x}_1^t, \vec{x}_2) \wedge \\
 &\quad \dots \\
 &\quad flow_{q_k}(\vec{x}_k, \vec{x}_k^t, t_k) \wedge Unsafe(\vec{x}_k^t)
 \end{aligned}$$

Logical Encoding of Reachability Problem

Can a system run into an **unsafe** region after making k steps?



$$\exists \vec{x}_0, \vec{x}_1, \dots, \vec{x}_k \exists \vec{x}_0^t, \vec{x}_1^t, \dots, \vec{x}_k^t \exists t_0, t_1, \dots, t_k$$

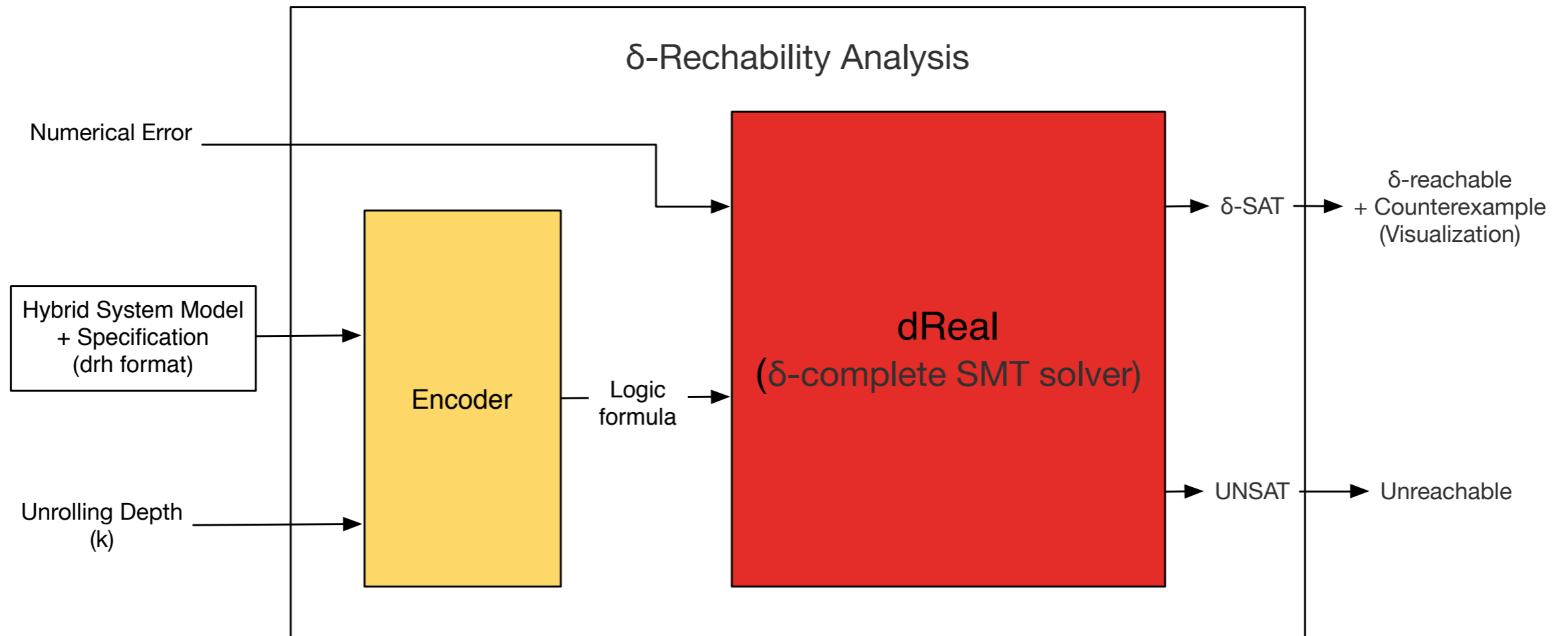
$$Init(\vec{x}_0) \wedge flow_{q_0}(\vec{x}_0, \vec{x}_0^t, t_0) \wedge jump_{q_0 \rightarrow q_1}(\vec{x}_0^t, \vec{x}_1) \wedge$$

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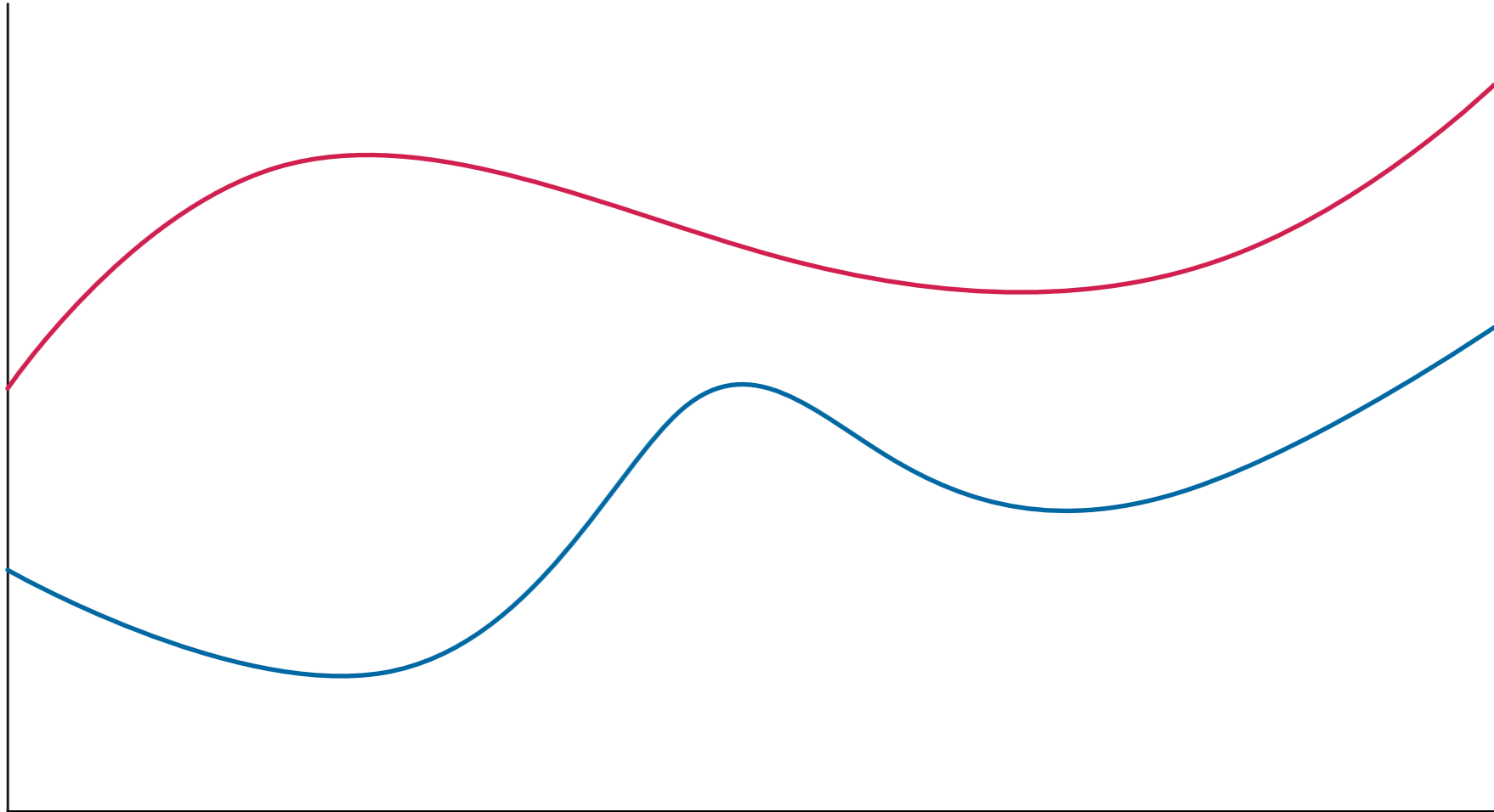
...

$$flow_{q_k}(\vec{x}_k, \vec{x}_k^t, t_k) \wedge Unsafe(\vec{x}_k^t)$$

δ -Reachability Analysis of Hybrid Systems



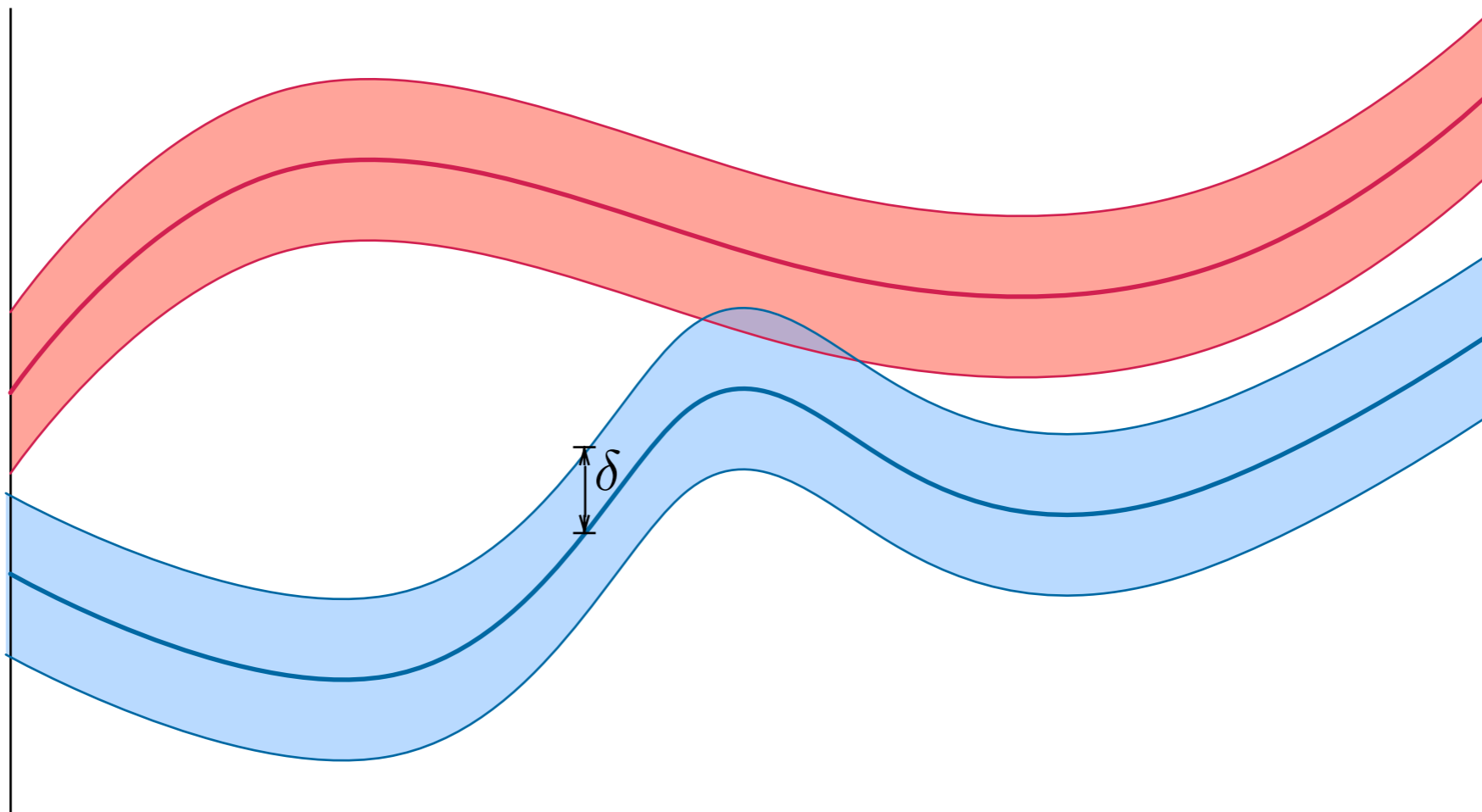
Decision Problem



Standard Form

$$\phi := \exists^{\mathbf{I}} \mathbf{x} \bigvee_i \bigwedge_j f_{i,j}(\mathbf{x}) = 0$$

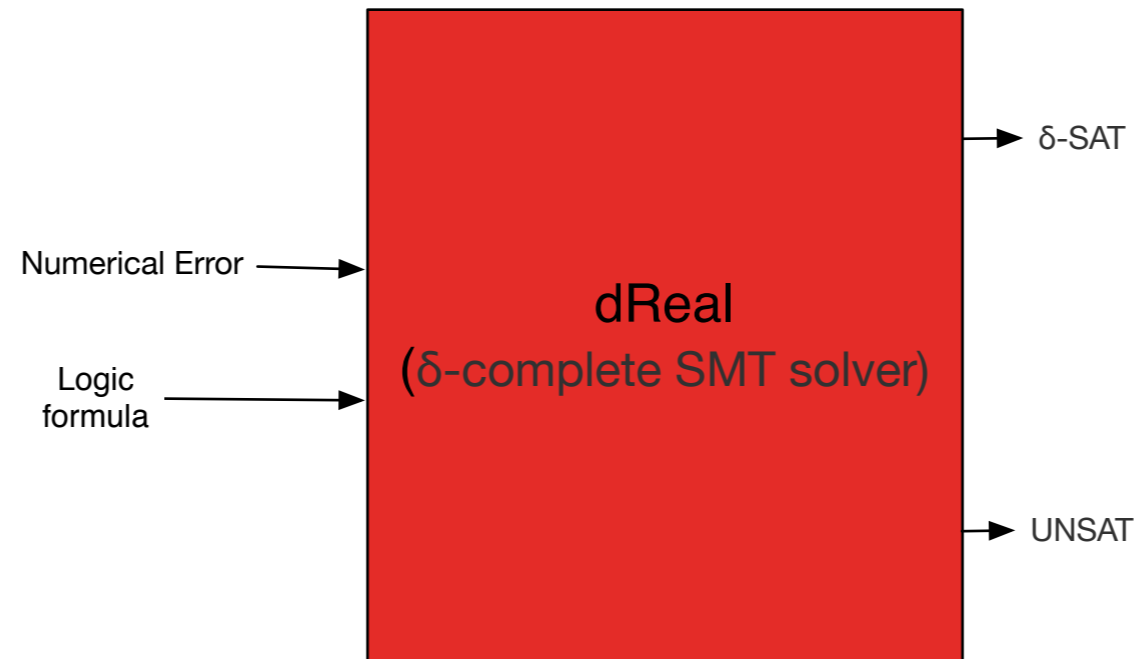
δ -Decision Problem



δ -Weakening of φ

$$\phi^\delta := \exists \mathbf{x} \bigvee_i \bigwedge_j |f_{i,j}(\mathbf{x})| \leq \delta$$

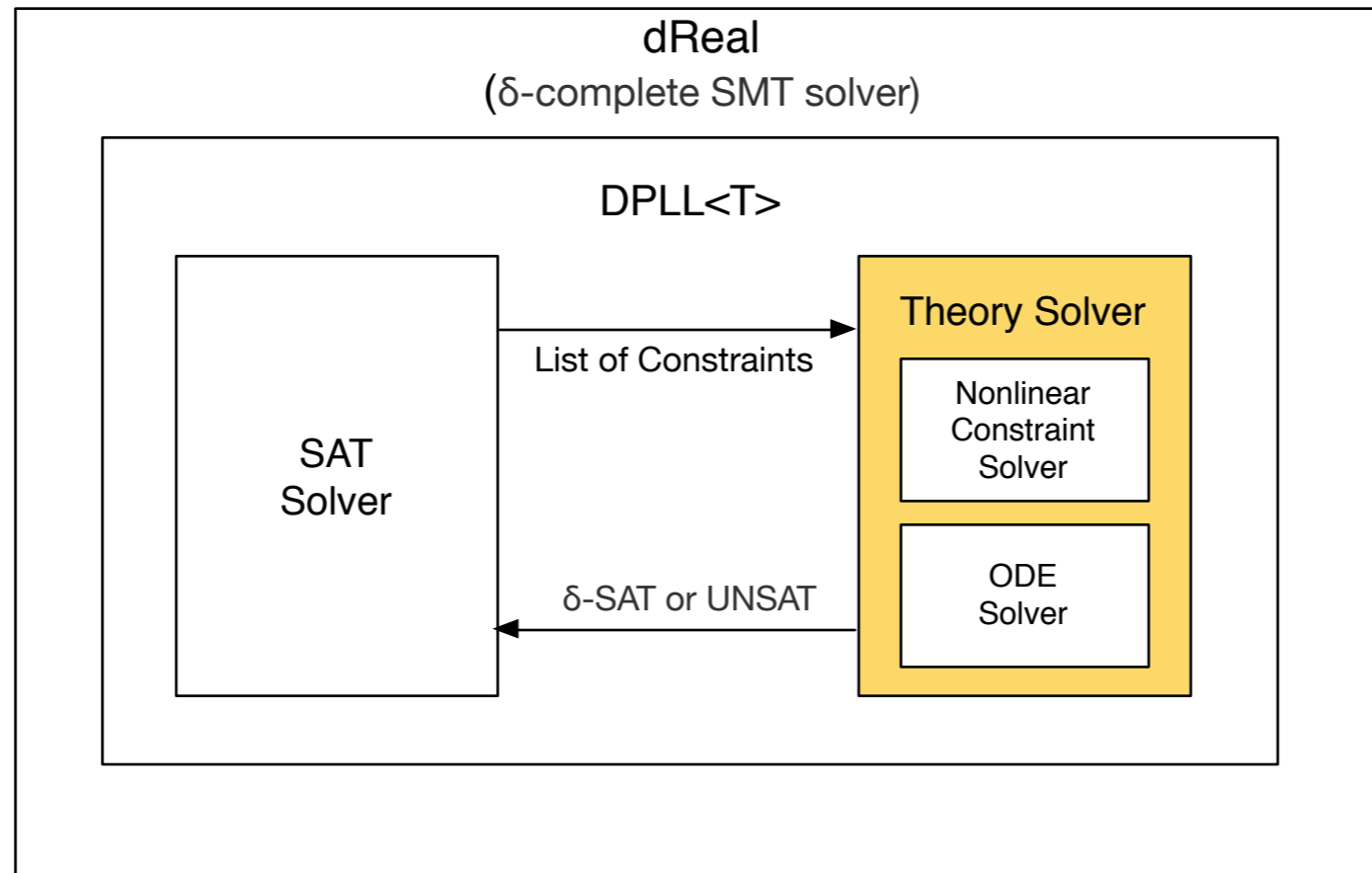
Solving Logic Formula



DPLL<T> Framework

- **SAT solver** finds a satisfying **Boolean** assignment
- **Theory solver** checks whether the assignment is feasible under theory of **Real**

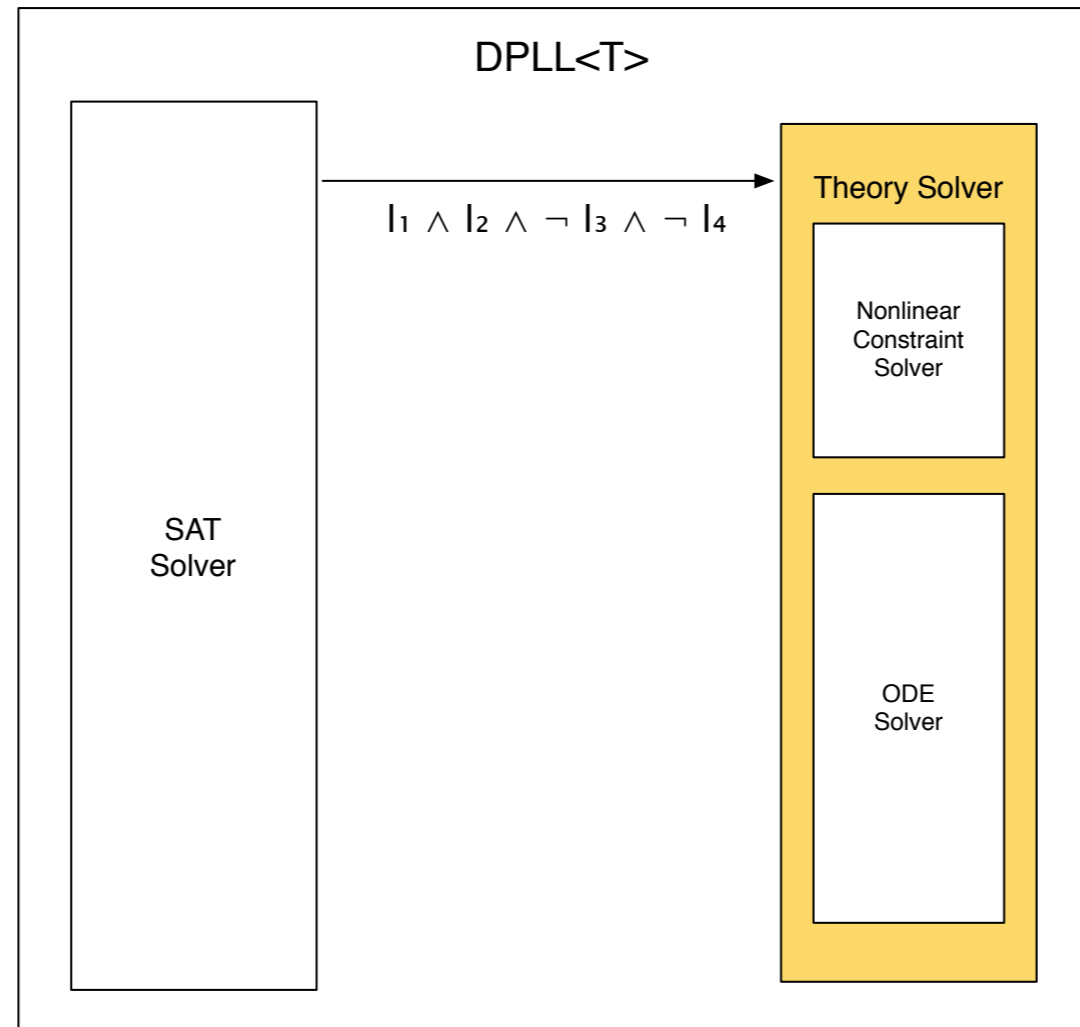
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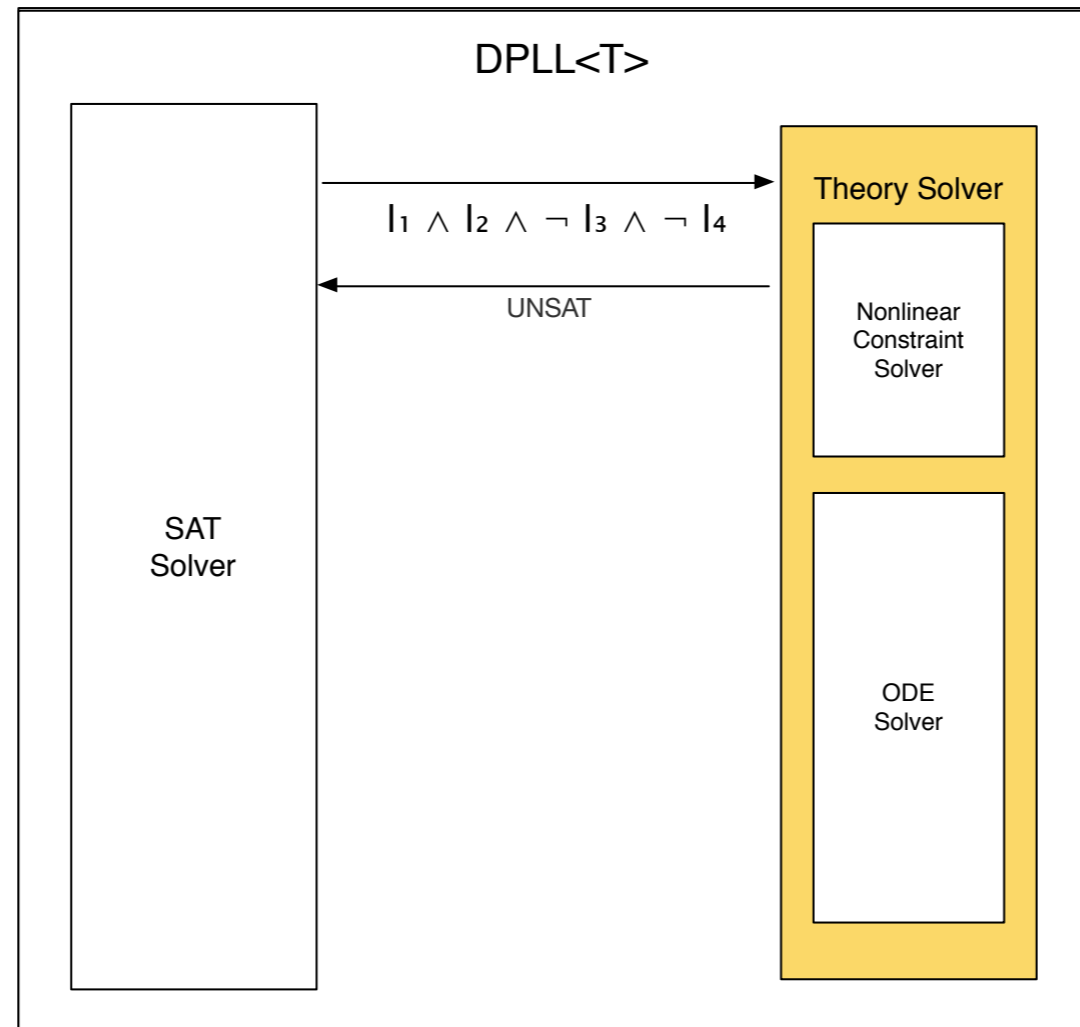
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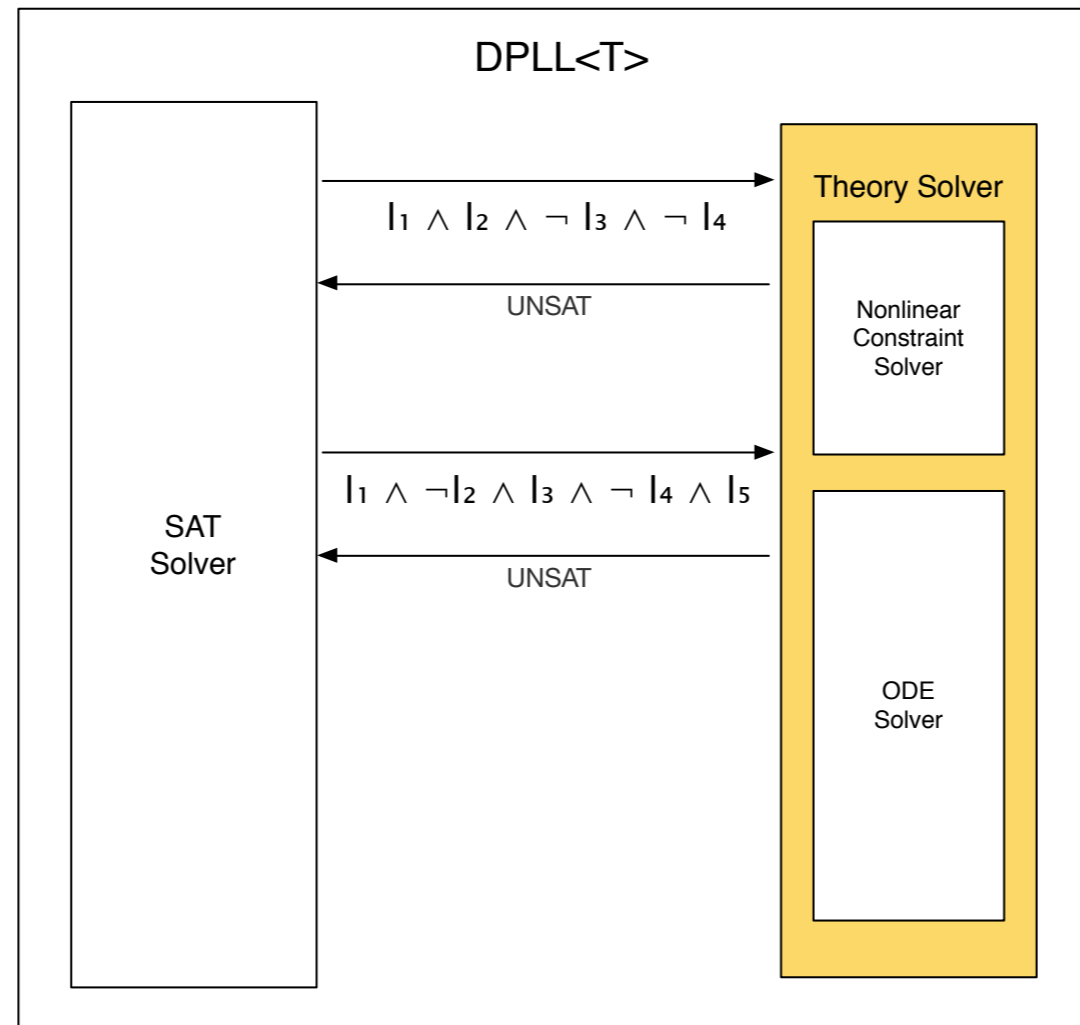
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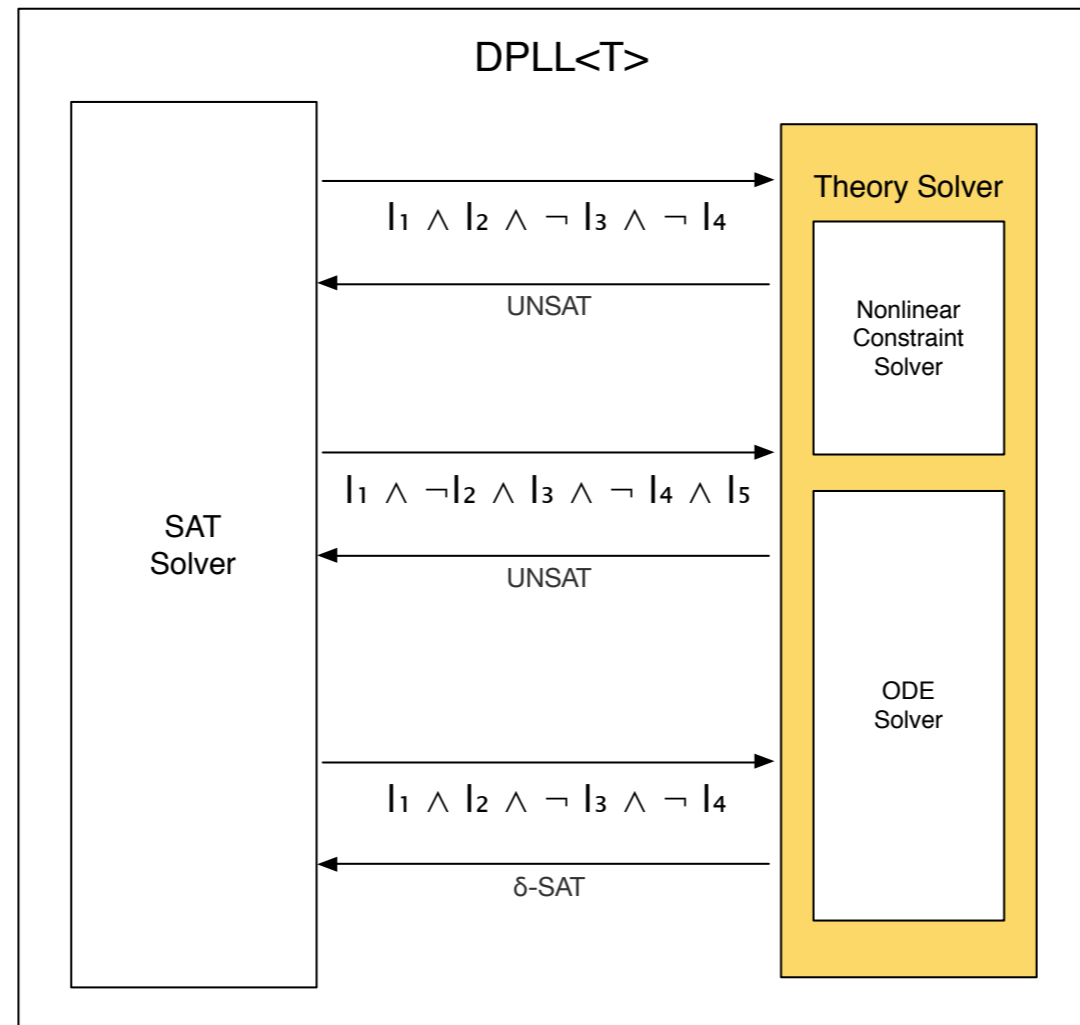
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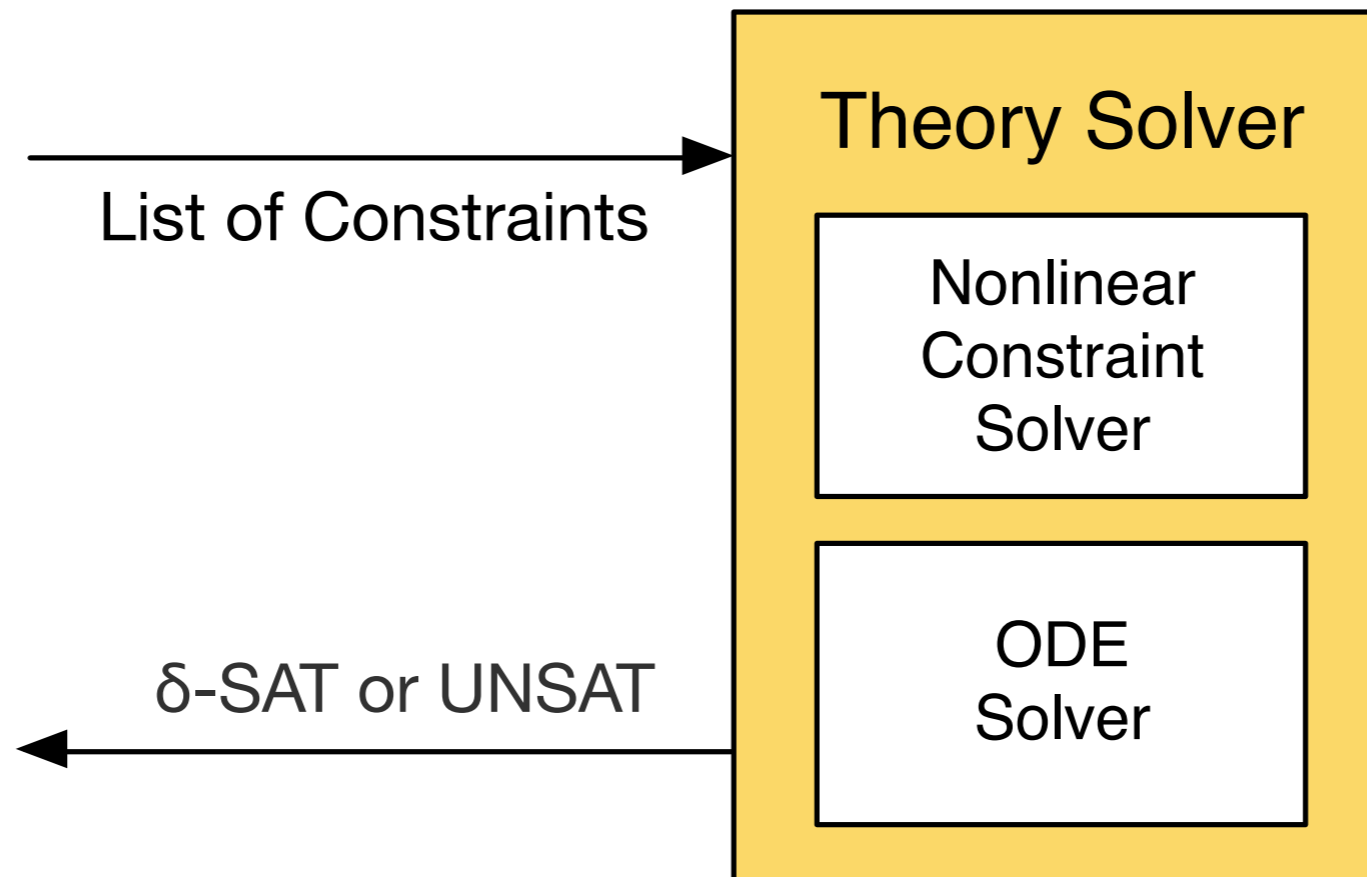
Solving Logic Formula



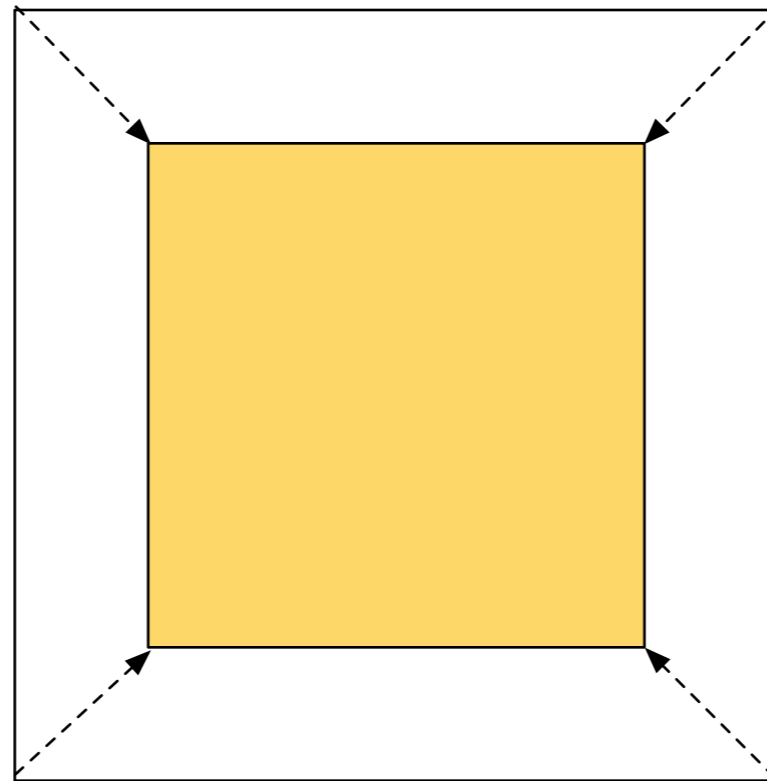
DPLL<T> Framework

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Main Algorithm of Theory Solver



Main Algorithm of Theory Solver: ICP(Interval Constraint Propagation)



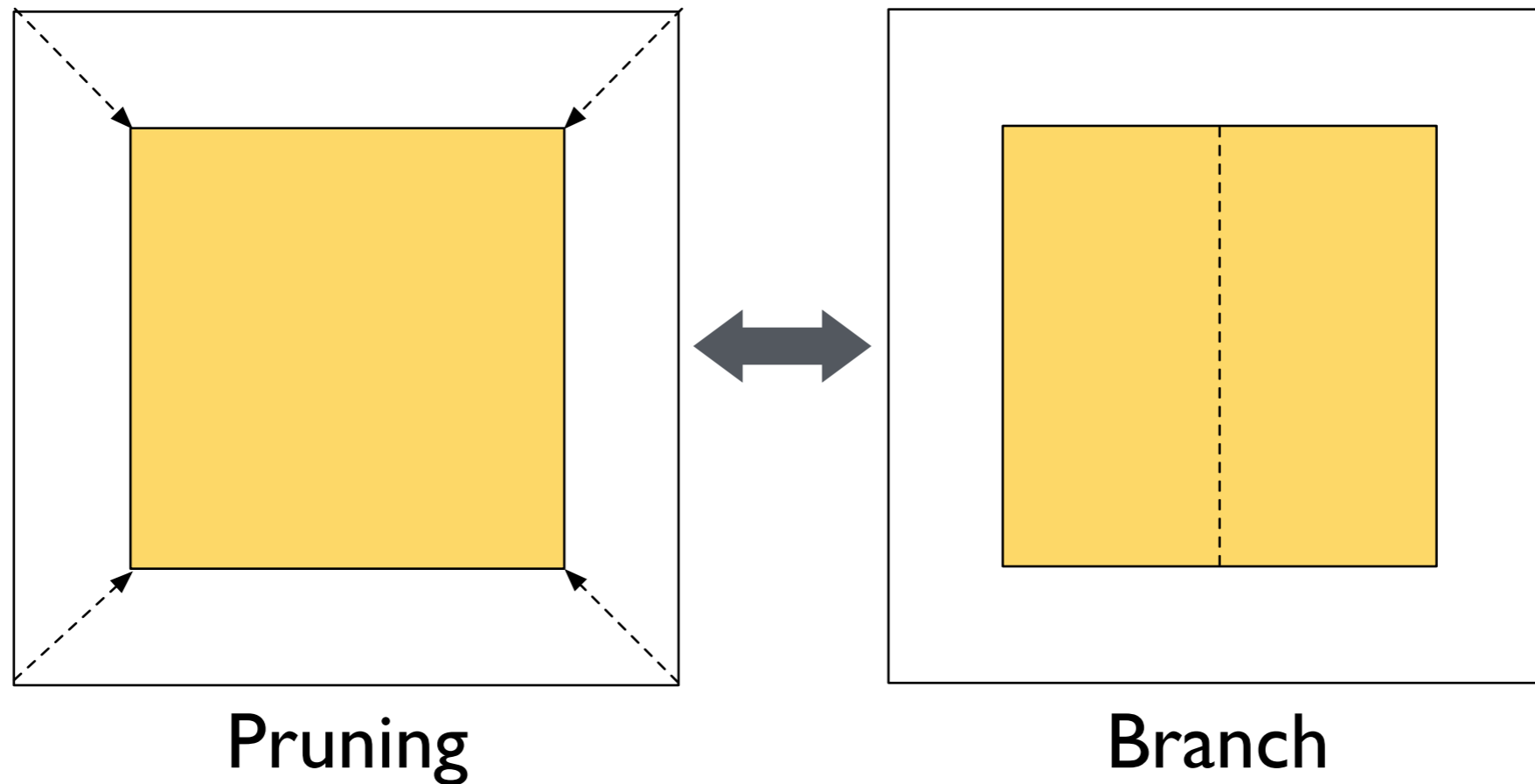
Pruning

Monotone $B_1 \subseteq B_2 \implies f(B_1) \subseteq f(B_2)$

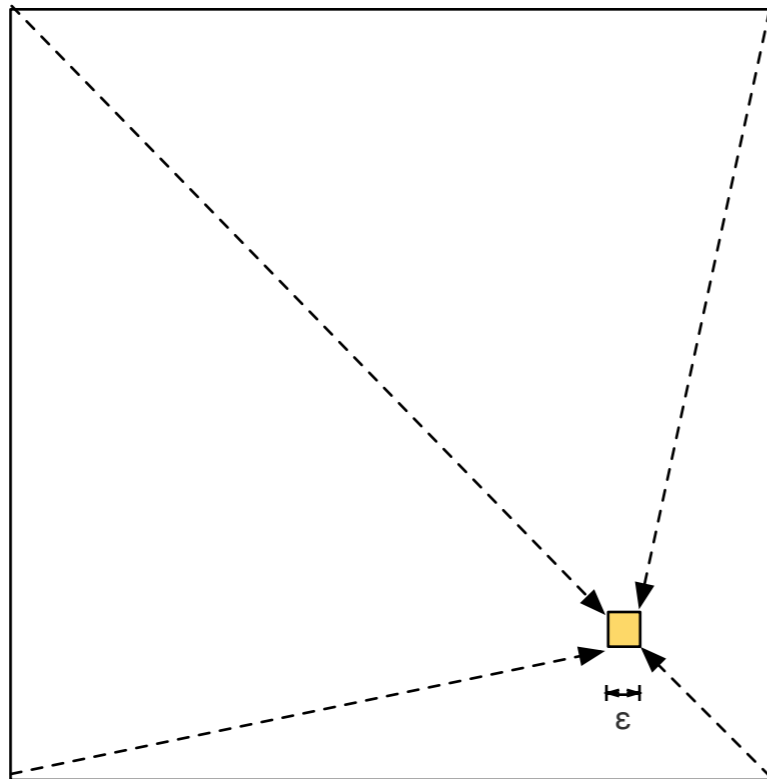
Reductive $f(B) \subseteq B$

Solution-Preserving $x \in B \wedge x \in \text{Sol}(f) \implies x \in f(B)$

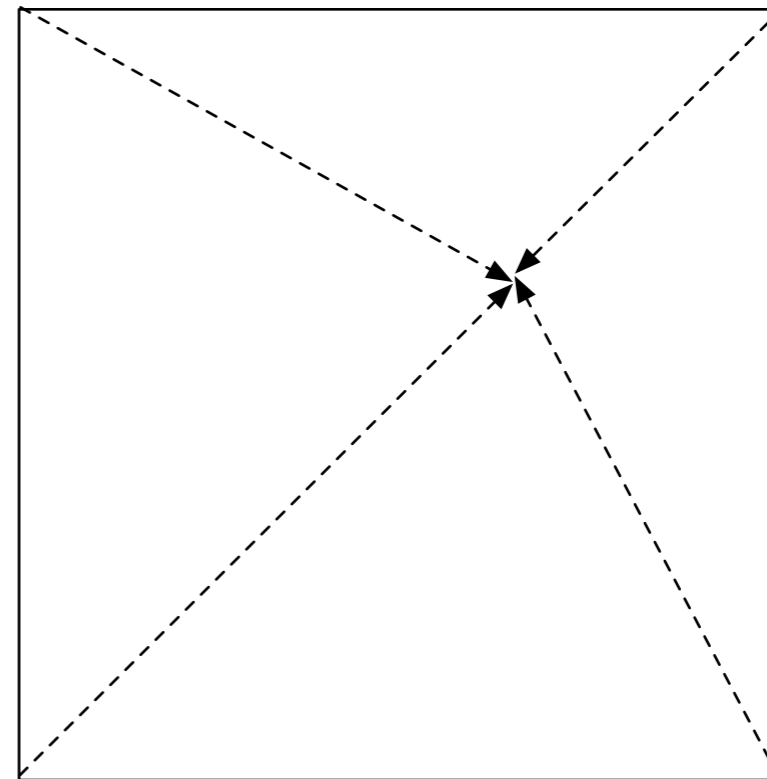
Main Algorithm of Theory Solver: ICP(Interval Constraint Propagation)



Two Termination Conditions of ICP



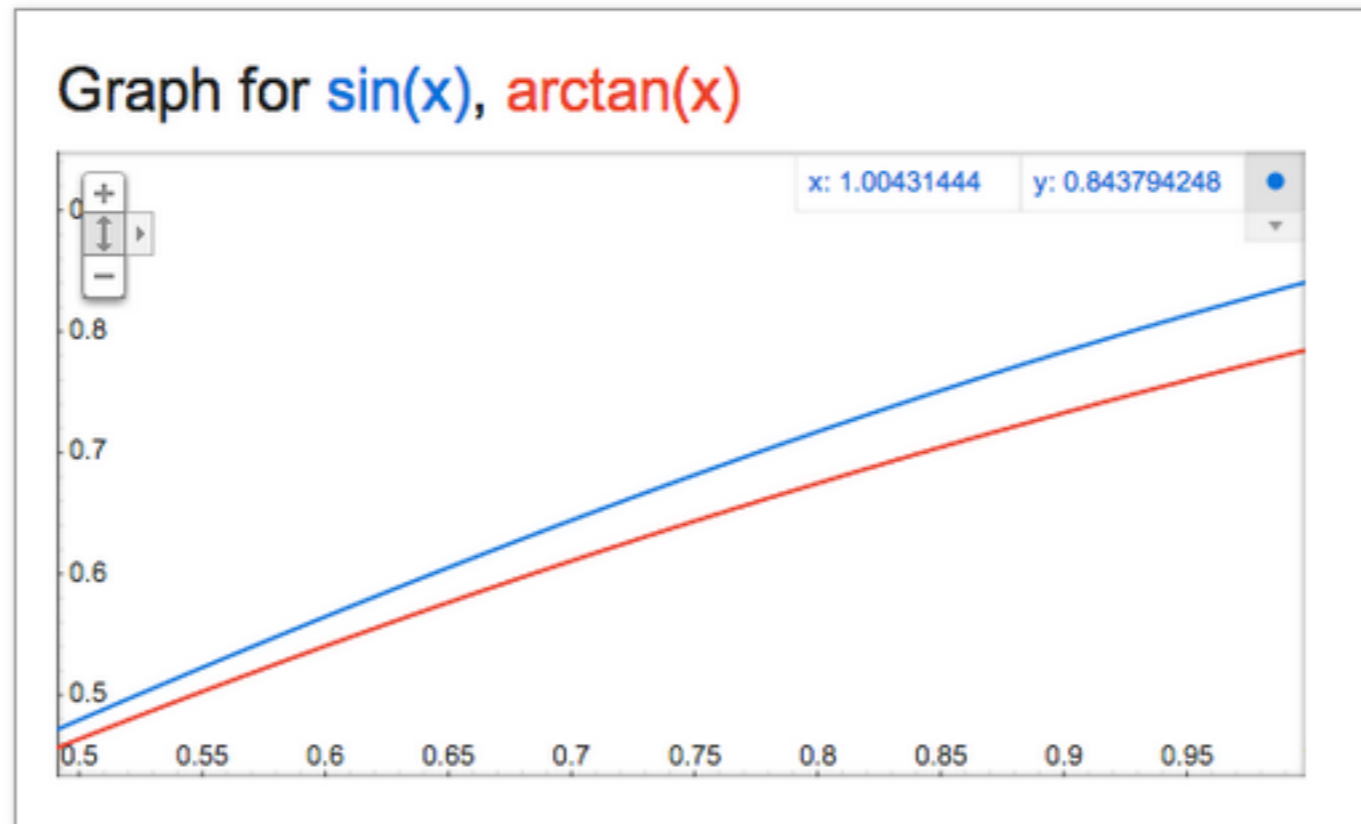
δ -sat



Unsat

Example of Pruning Operations

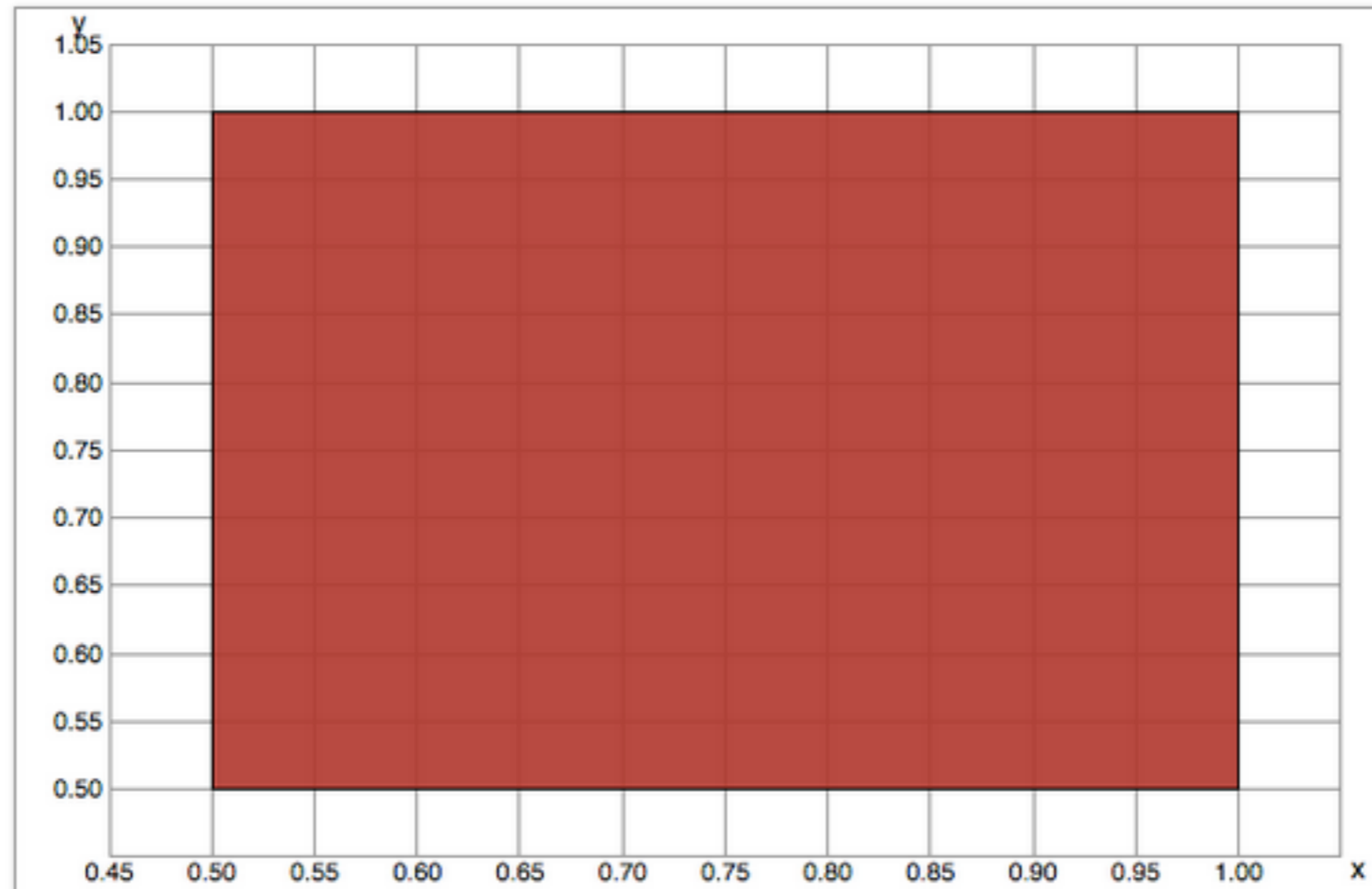
$$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$$



ANSWER: UNSAT

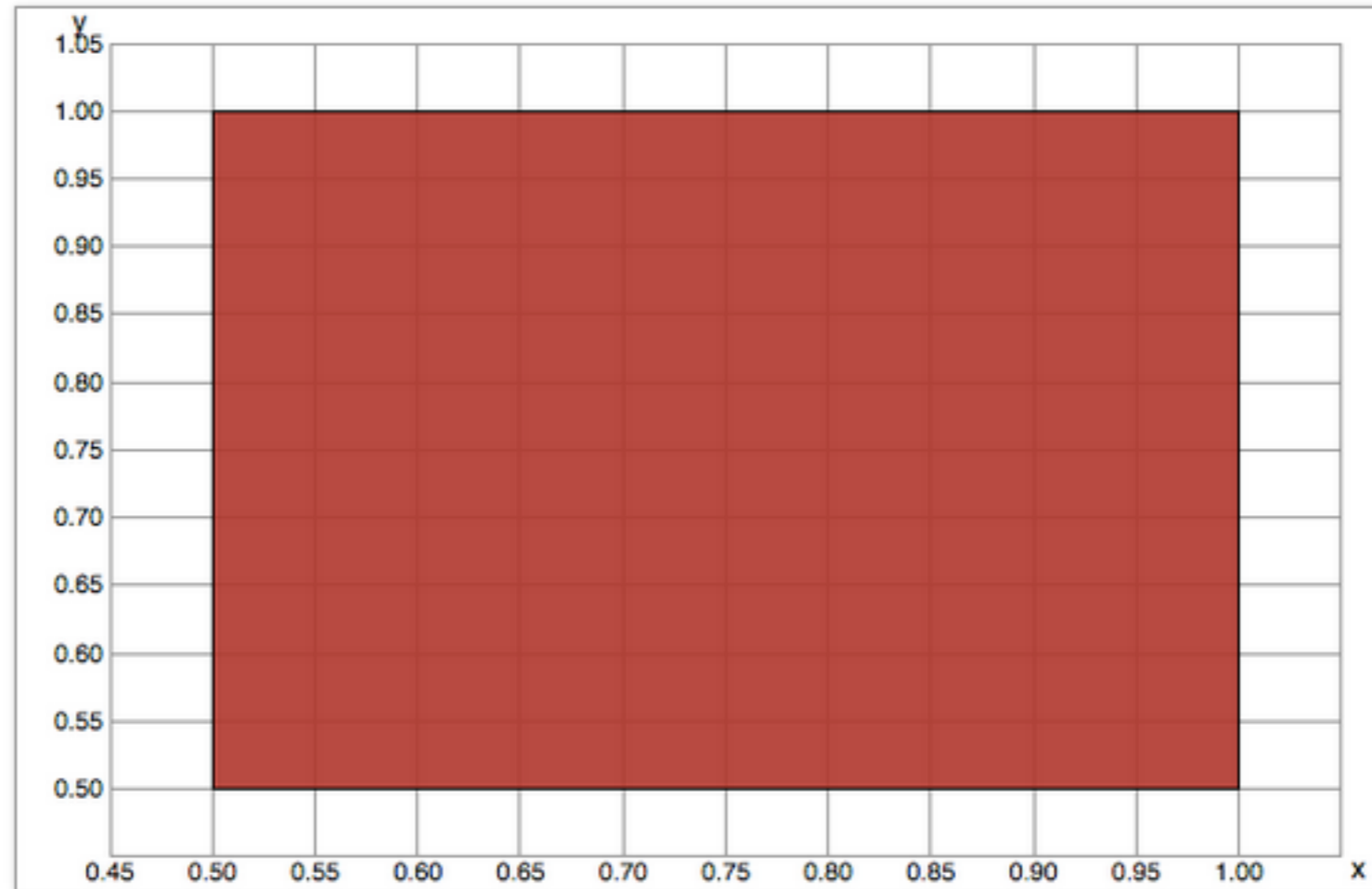
Example of Pruning Operations

$$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$$



Example of Pruning Operations

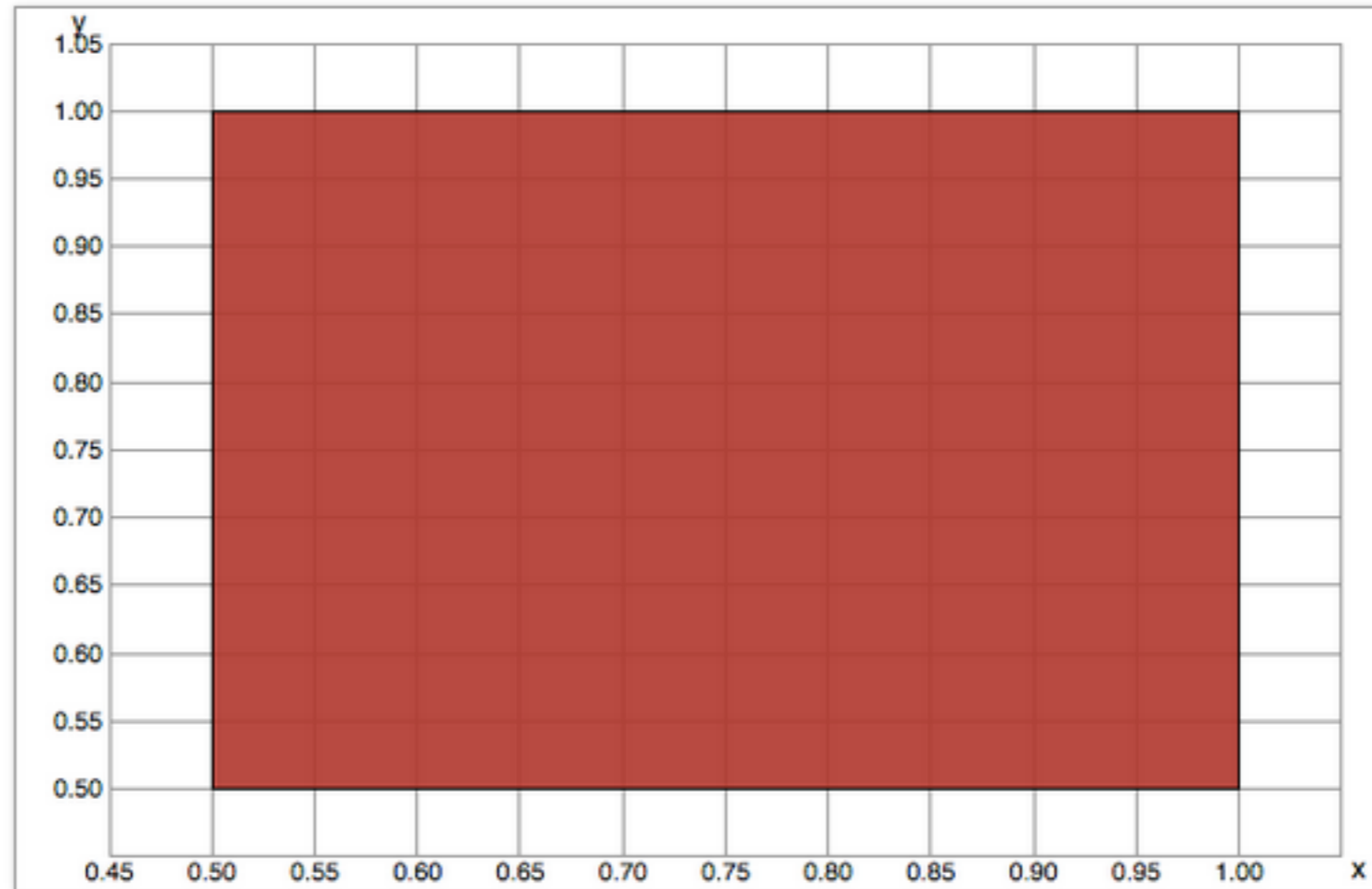
$$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$$



$$\begin{aligned} x' &= x \cap \sin^{-1}(y) \\ &= [0.5, 1.0] \cap \sin^{-1}([0.5, 1.0]) \\ &= [0.5, 1.0] \cap [0.524, 1.570] \\ &= [0.524, 1.0] \end{aligned}$$

Example of Pruning Operations

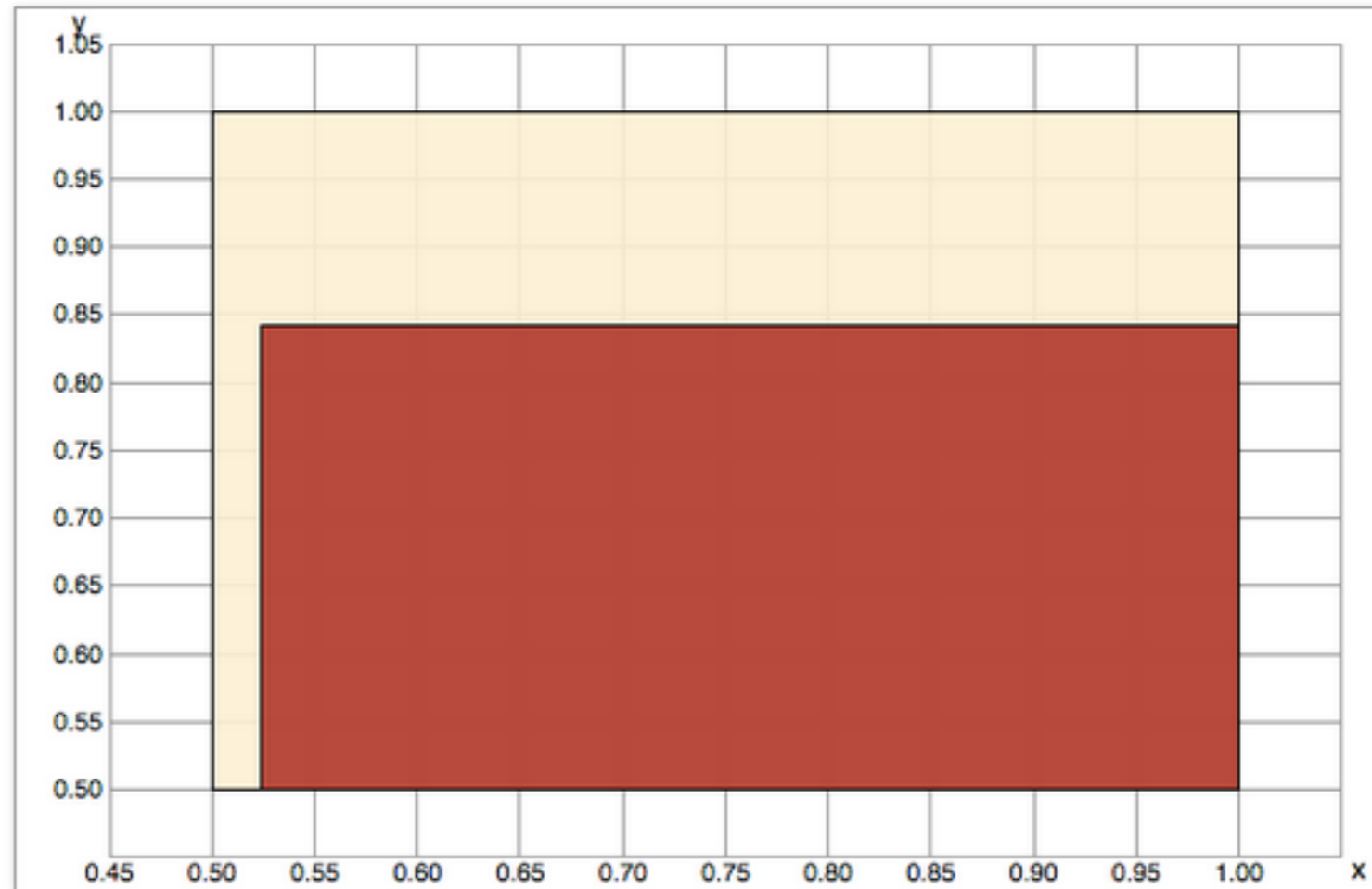
$$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$$



$$\begin{aligned} y' &= y \cap \sin(x) \\ &= [0.5, 1.0] \cap \sin([0.524, 1.0]) \\ &= [0.5, 1.0] \cap [0.5, 0.841] \\ &= [0.5, 0.841] \end{aligned}$$

Example of Pruning Operations

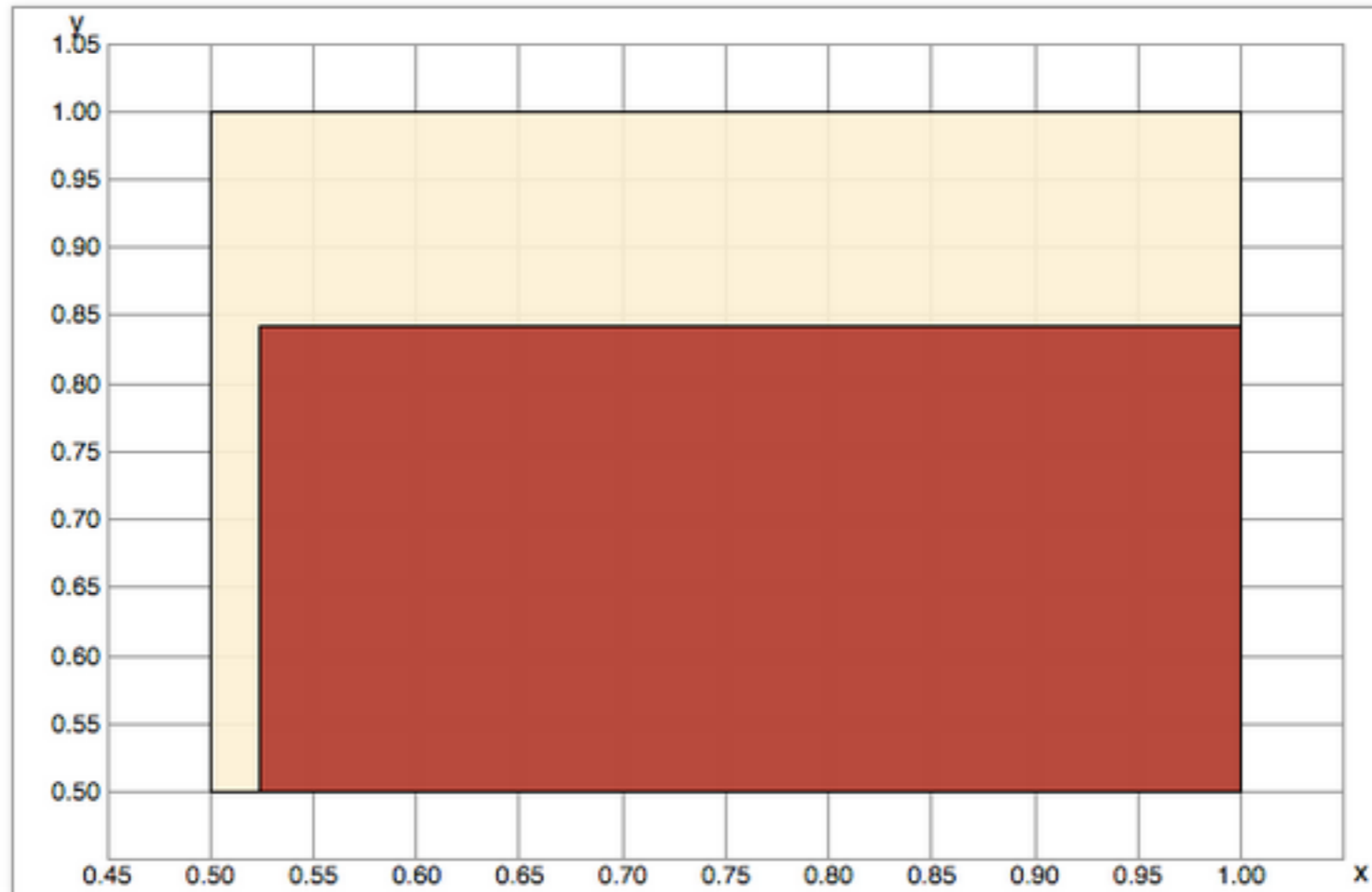
$$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$$



$$x : [0.524, 1.0], y : [0.5, 0.841]$$

Example of Pruning Operations

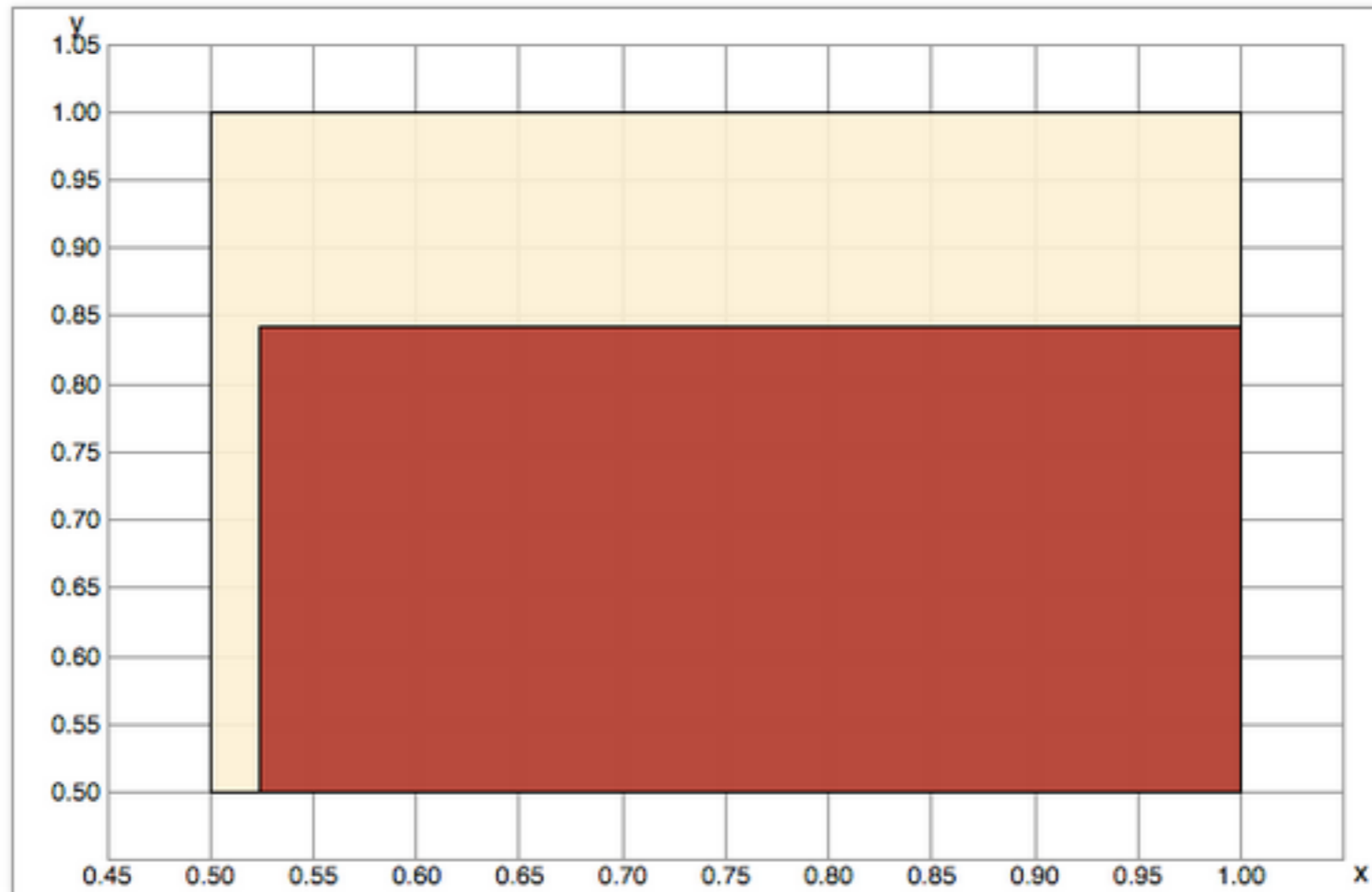
$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$



$$\begin{aligned}x' &= x \cap \text{atan}^{-1}(y) \\ &= [0.524, 1] \cap \text{atan}^{-1}([0.5, 0.841]) \\ &= [0.524, 1] \cap [0.546, 1.117] \\ &= [0.546, 1.0]\end{aligned}$$

Example of Pruning Operations

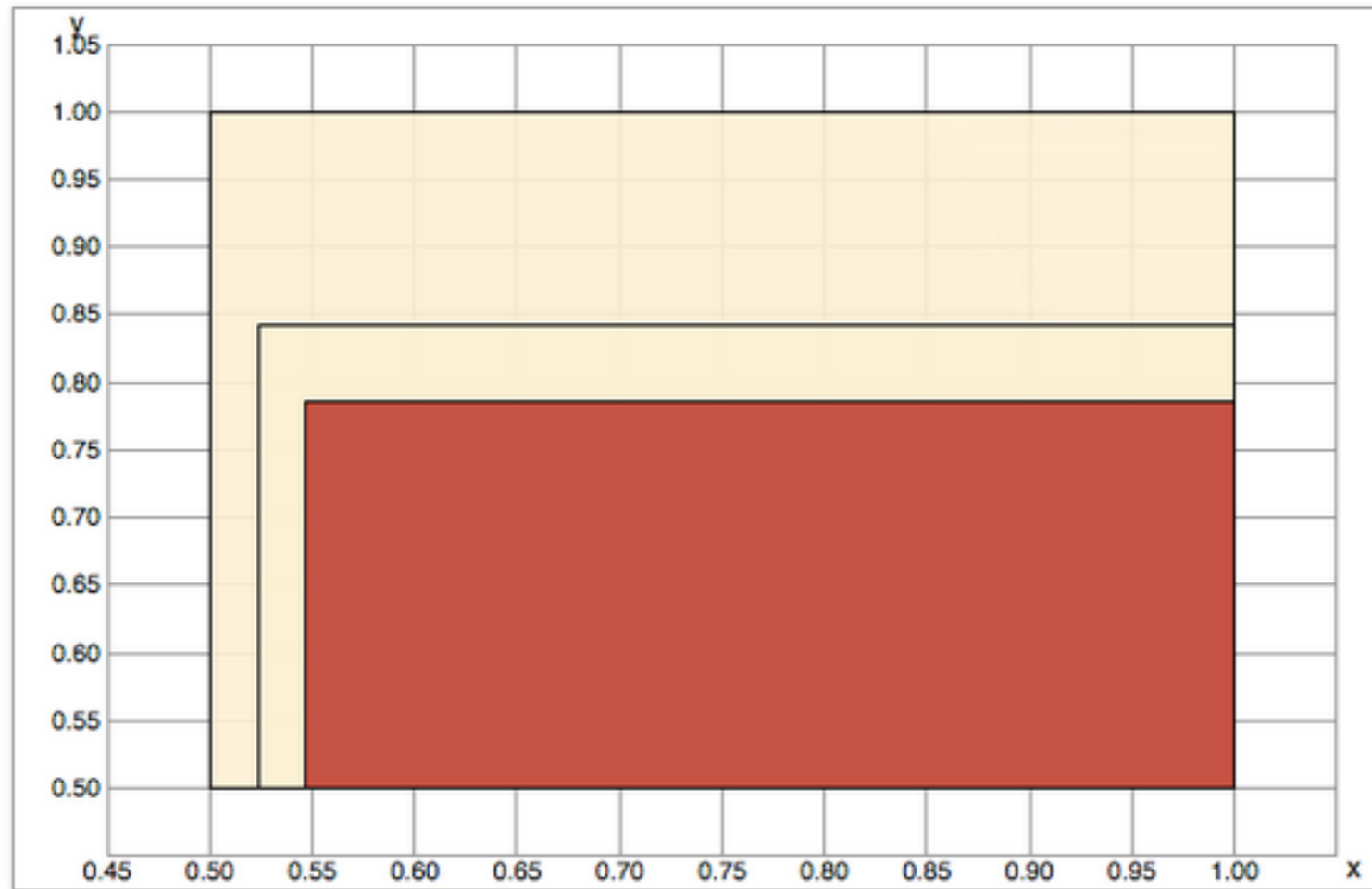
$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$



$$\begin{aligned} y' &= y \cap \text{atan}(x) \\ &= [0.5, 0.841] \cap \text{atan}([0.546, 1.0]) \\ &= [0.5, 0.841] \cap [0.5, 1.0] \\ &= [0.5, 0.785] \end{aligned}$$

Example of Pruning Operations

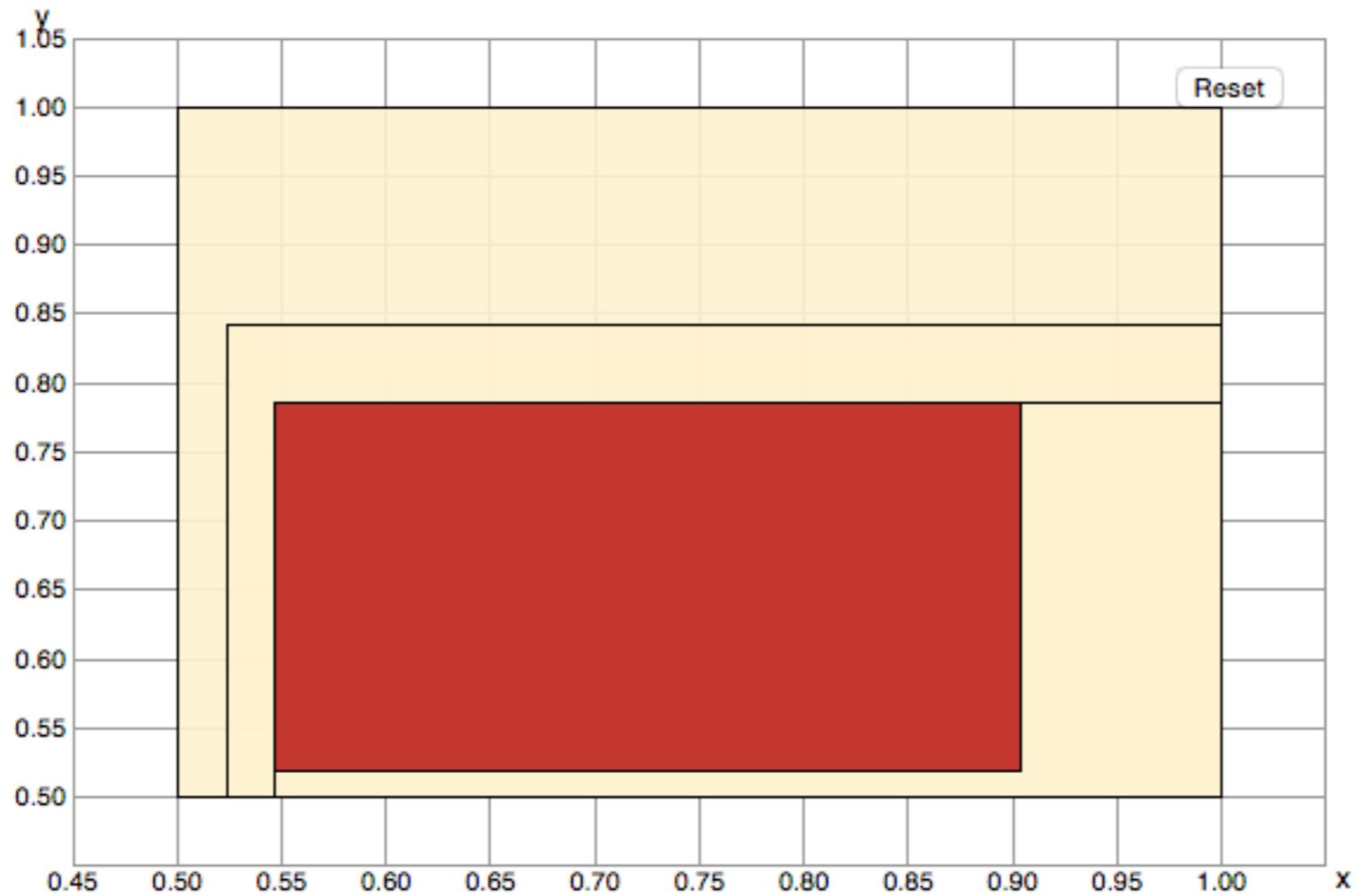
$$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$$



$$x : [0.524, 1.0], y : [0.5, 0.785]$$

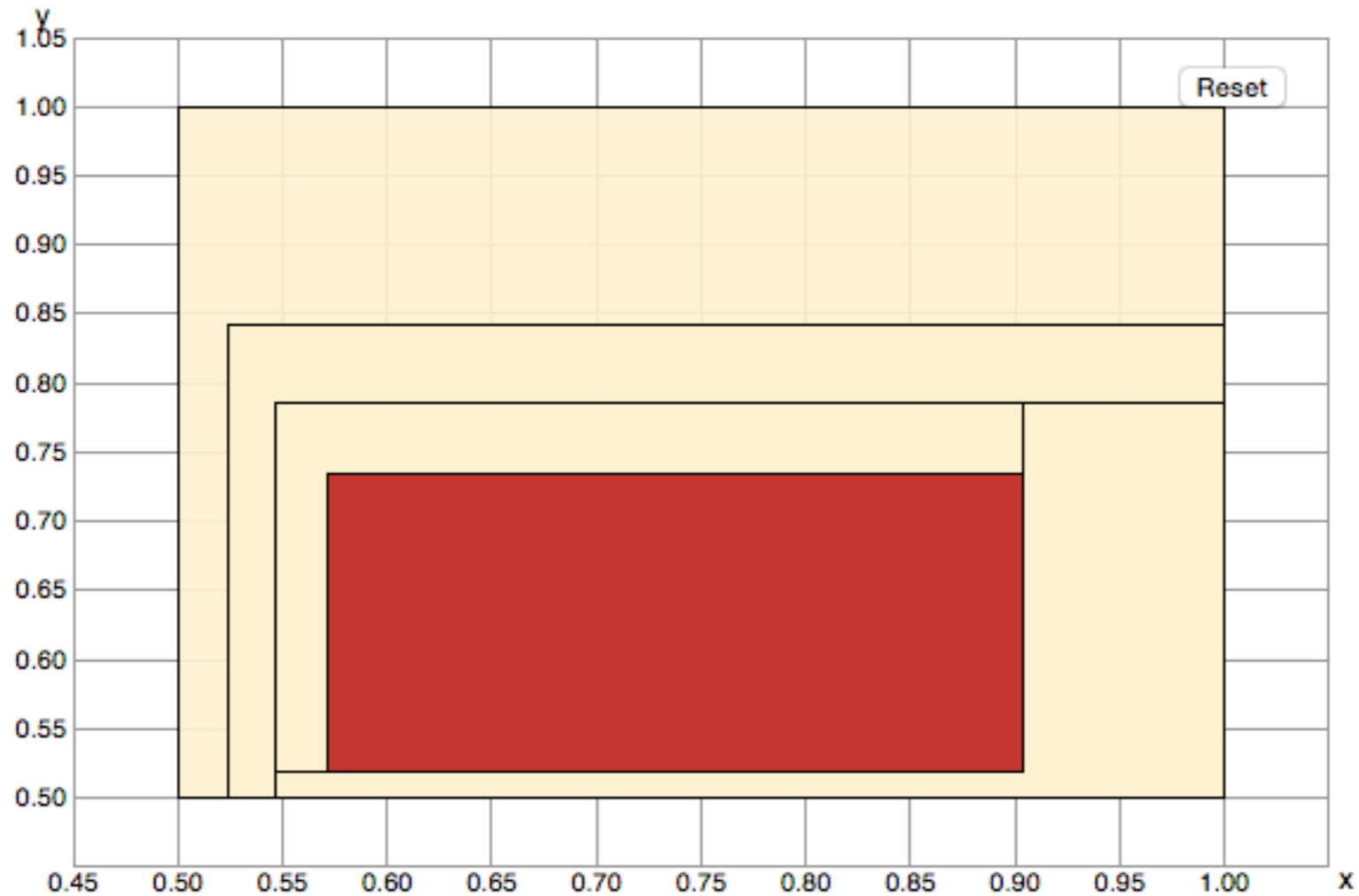
Example of Pruning Operations

$$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$$



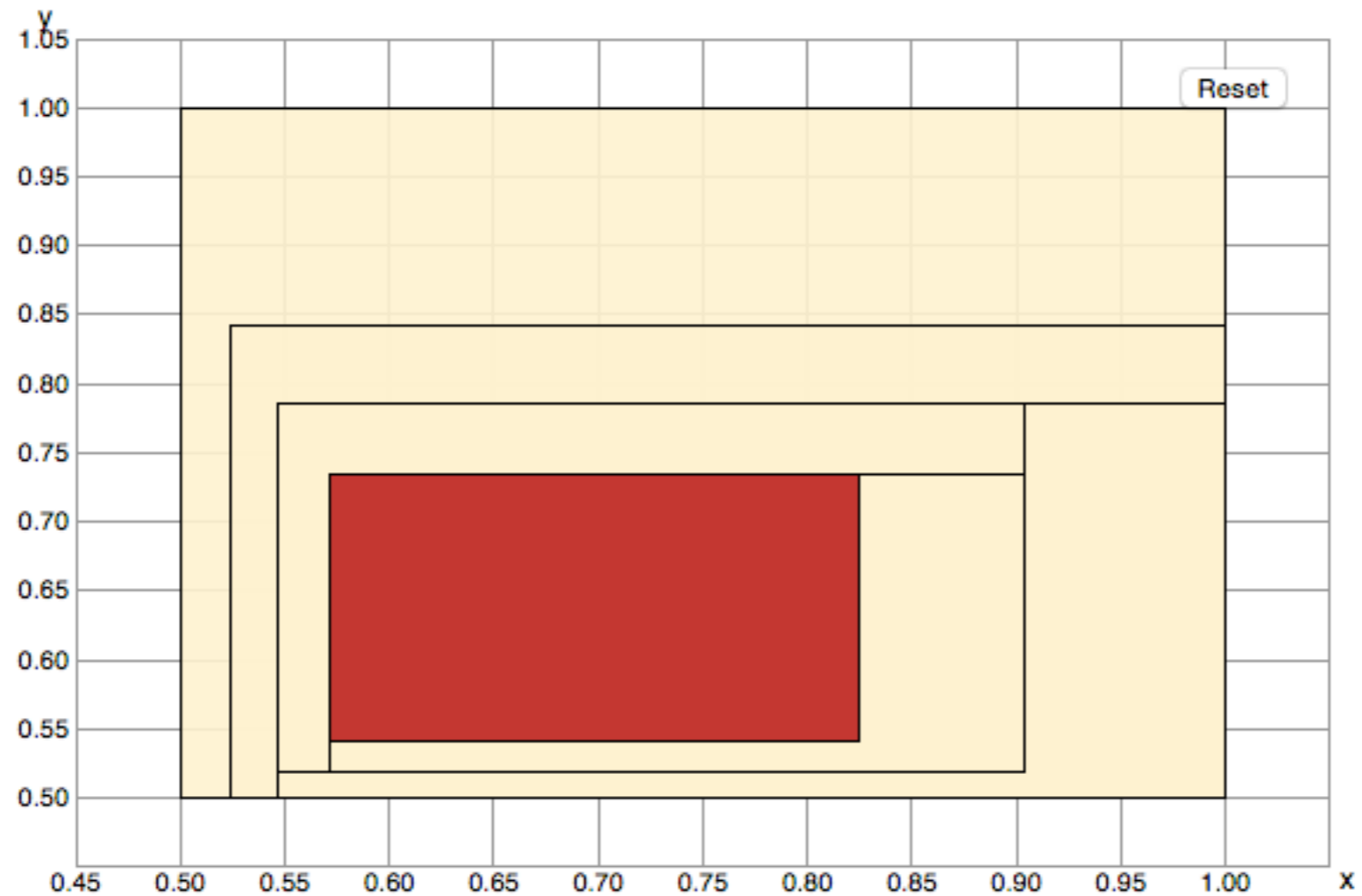
Example of Pruning Operations

$$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$$



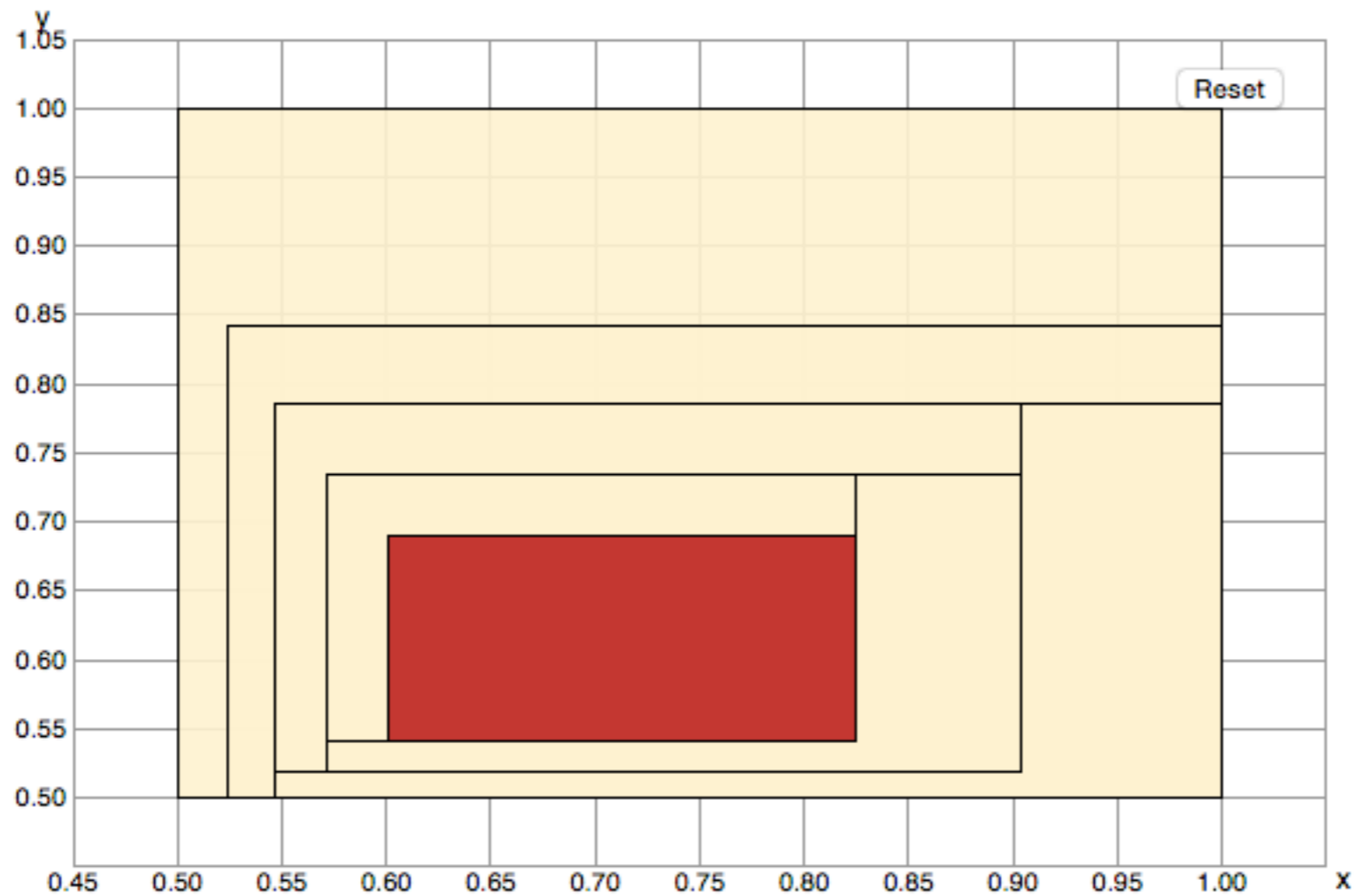
Example of Pruning Operations

$$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$$



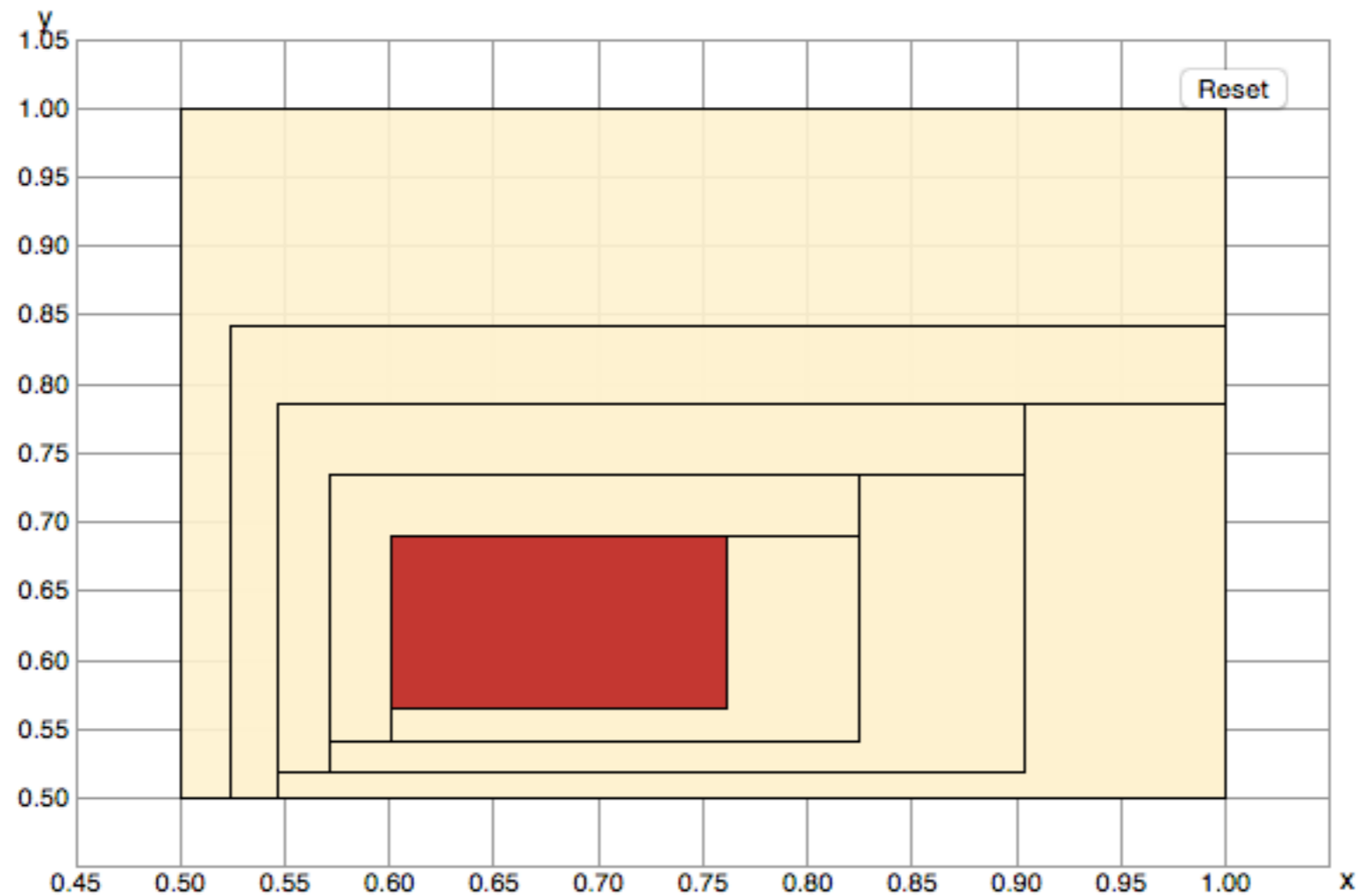
Example of Pruning Operations

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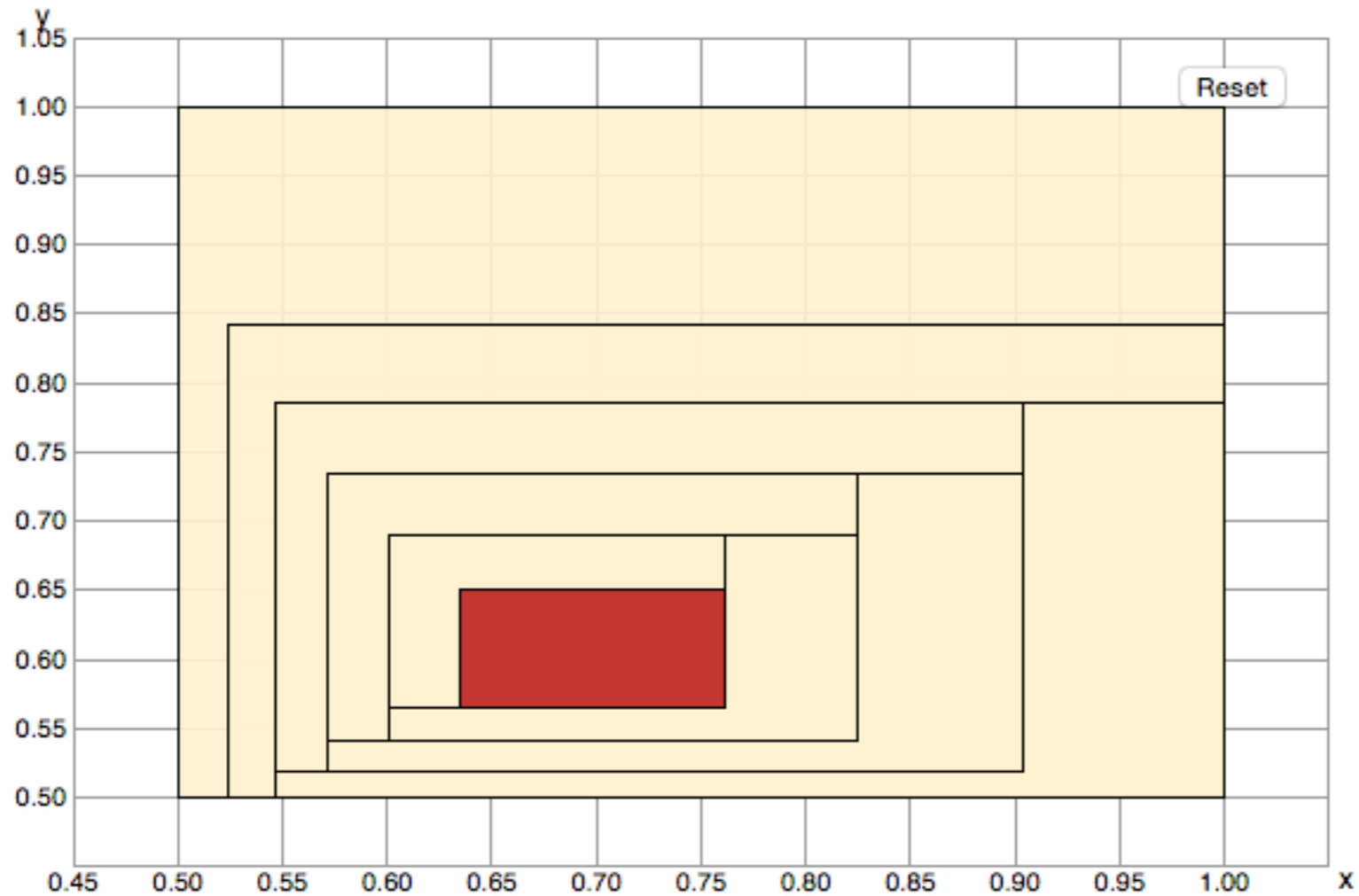
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$$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$$



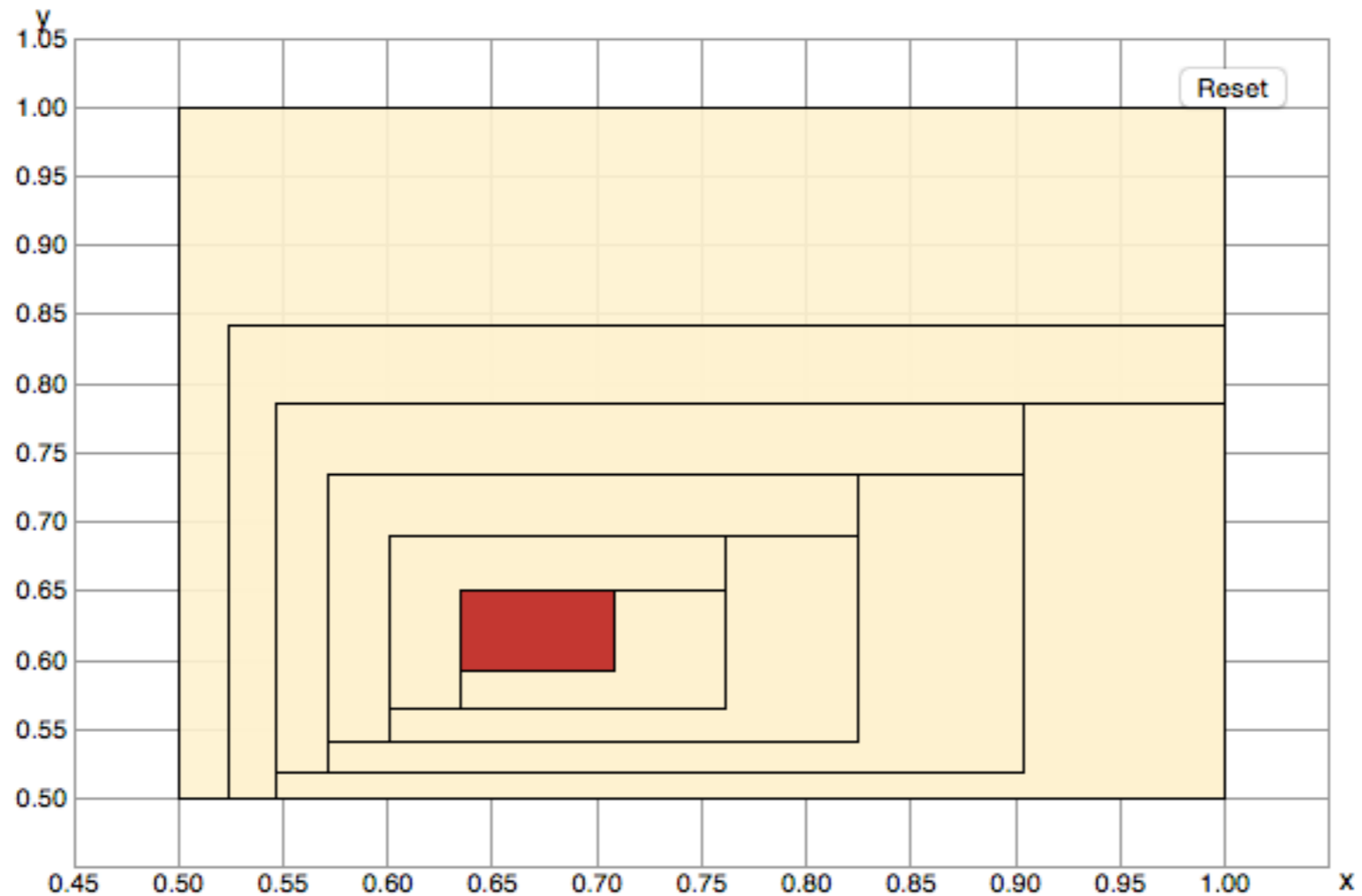
Example of Pruning Operations

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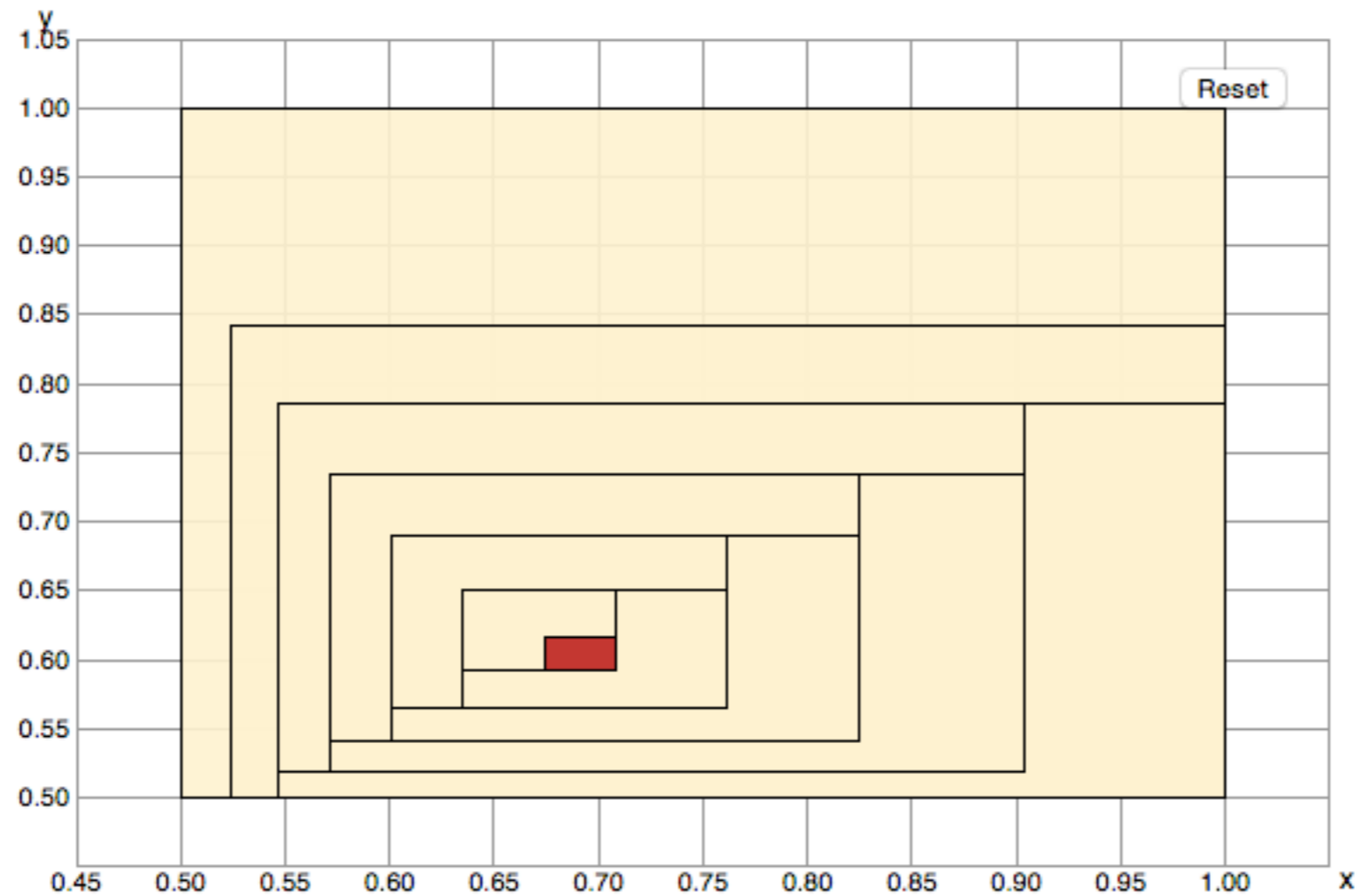
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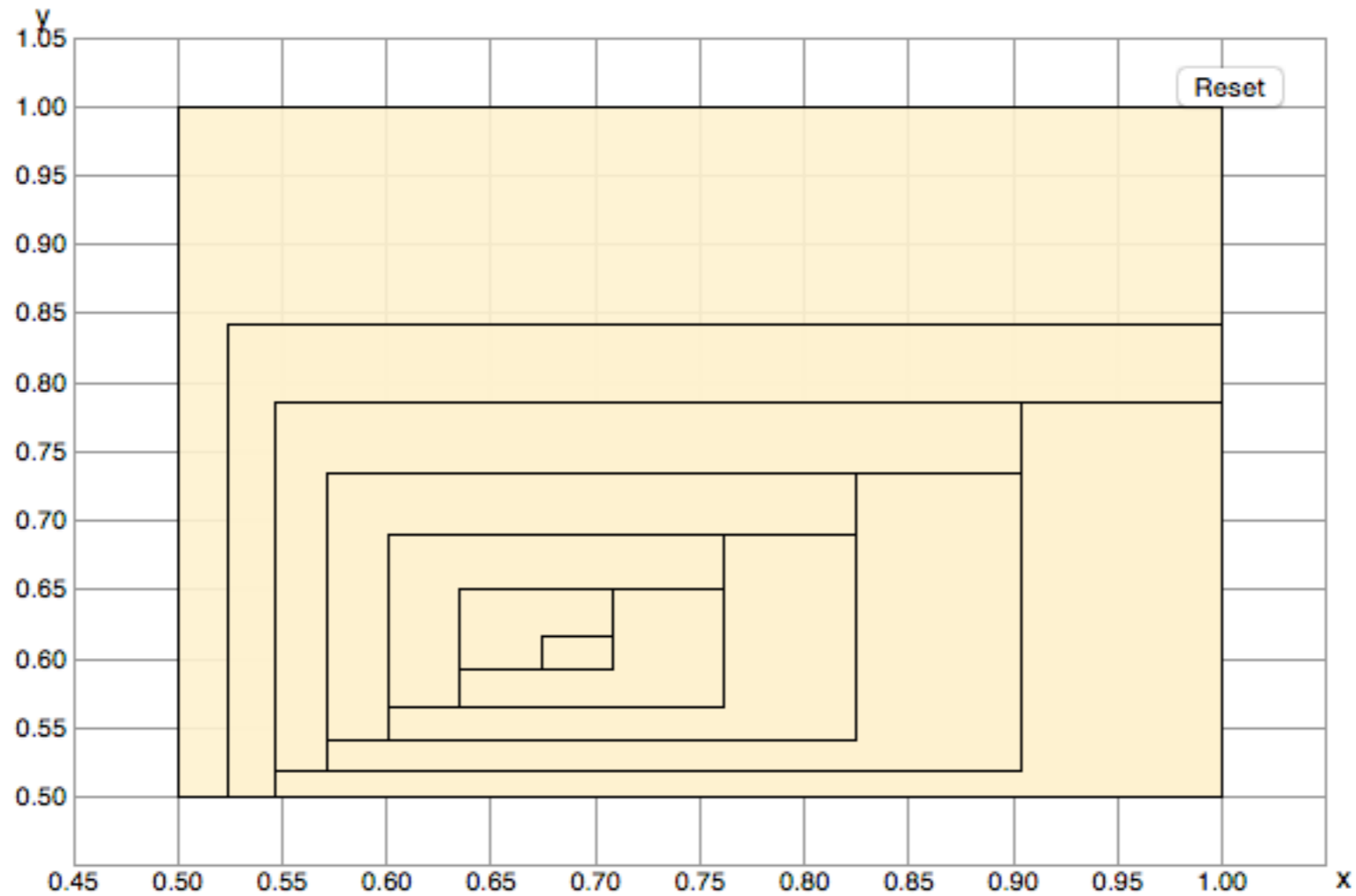
Example of Pruning Operations

$$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$$



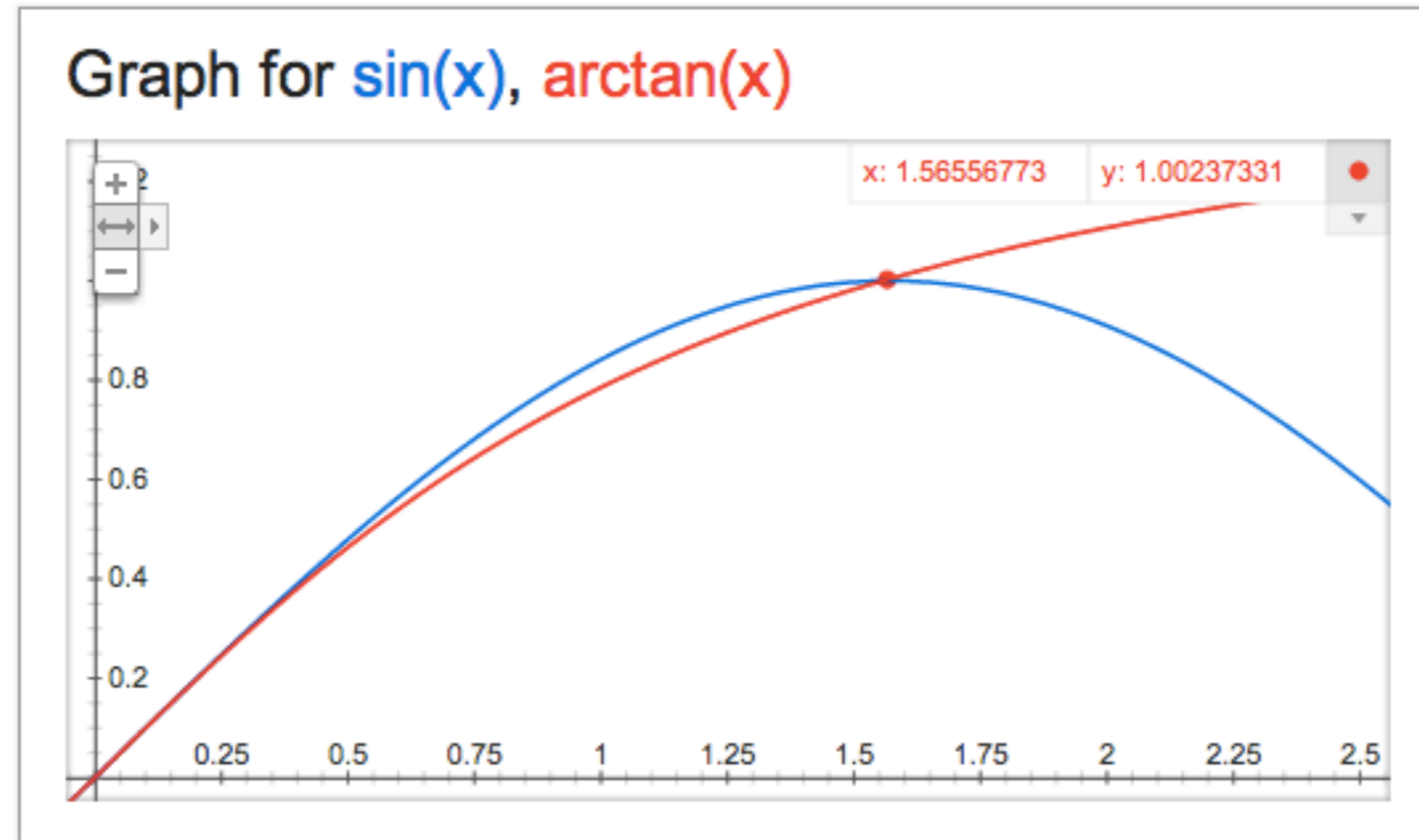
Example of Pruning Operations

$$\exists x, y \in [0.5, 1.0] : y = \sin(x) \wedge y = \text{atan}(x)$$



Unsat

Example of ICP

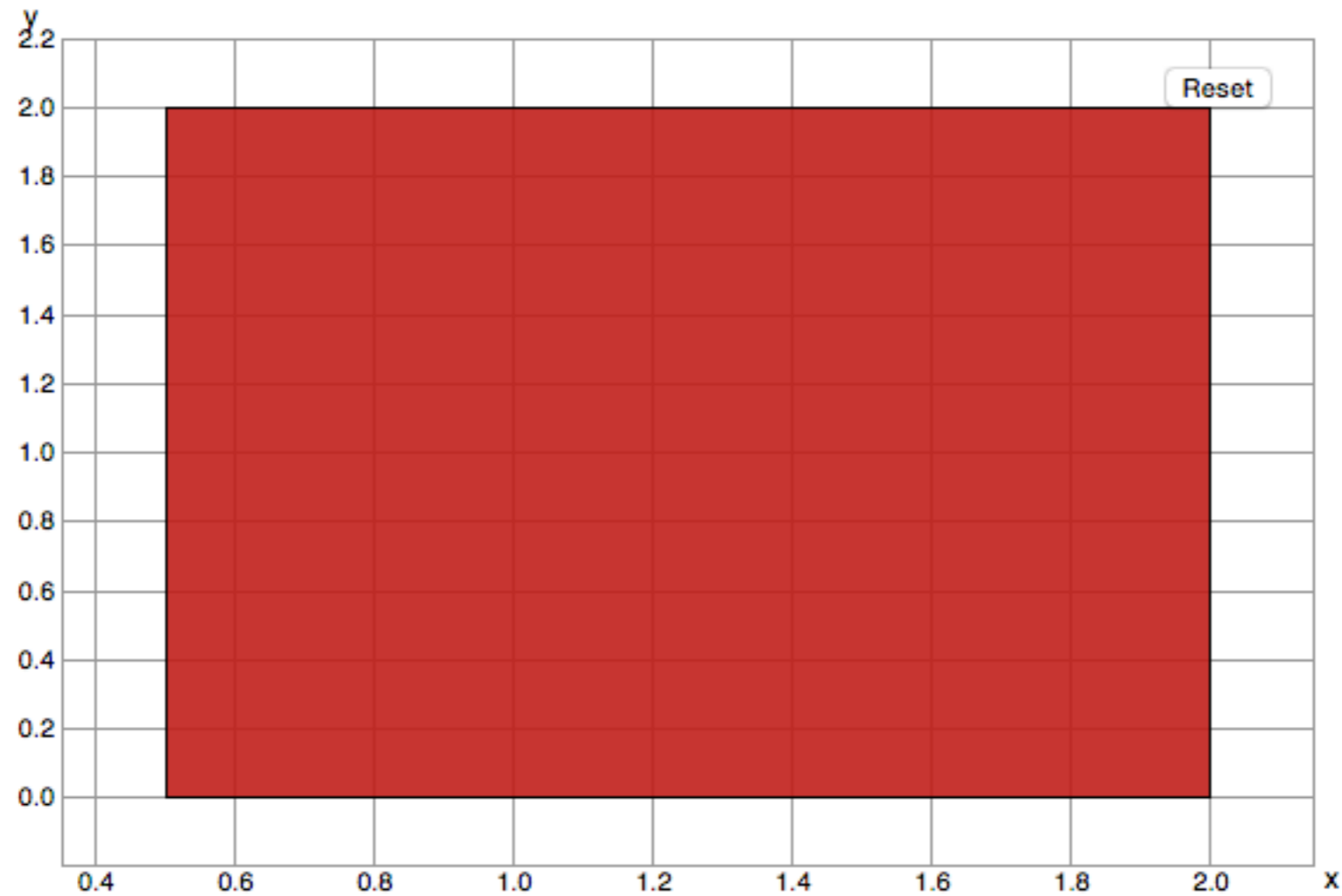


ANSWER: **SAT**

Example of ICP

$$\exists x \in [0.5, 2.0], y \in [0.0, 2.0] : y = \sin(x) \wedge y = \text{atan}(x)$$

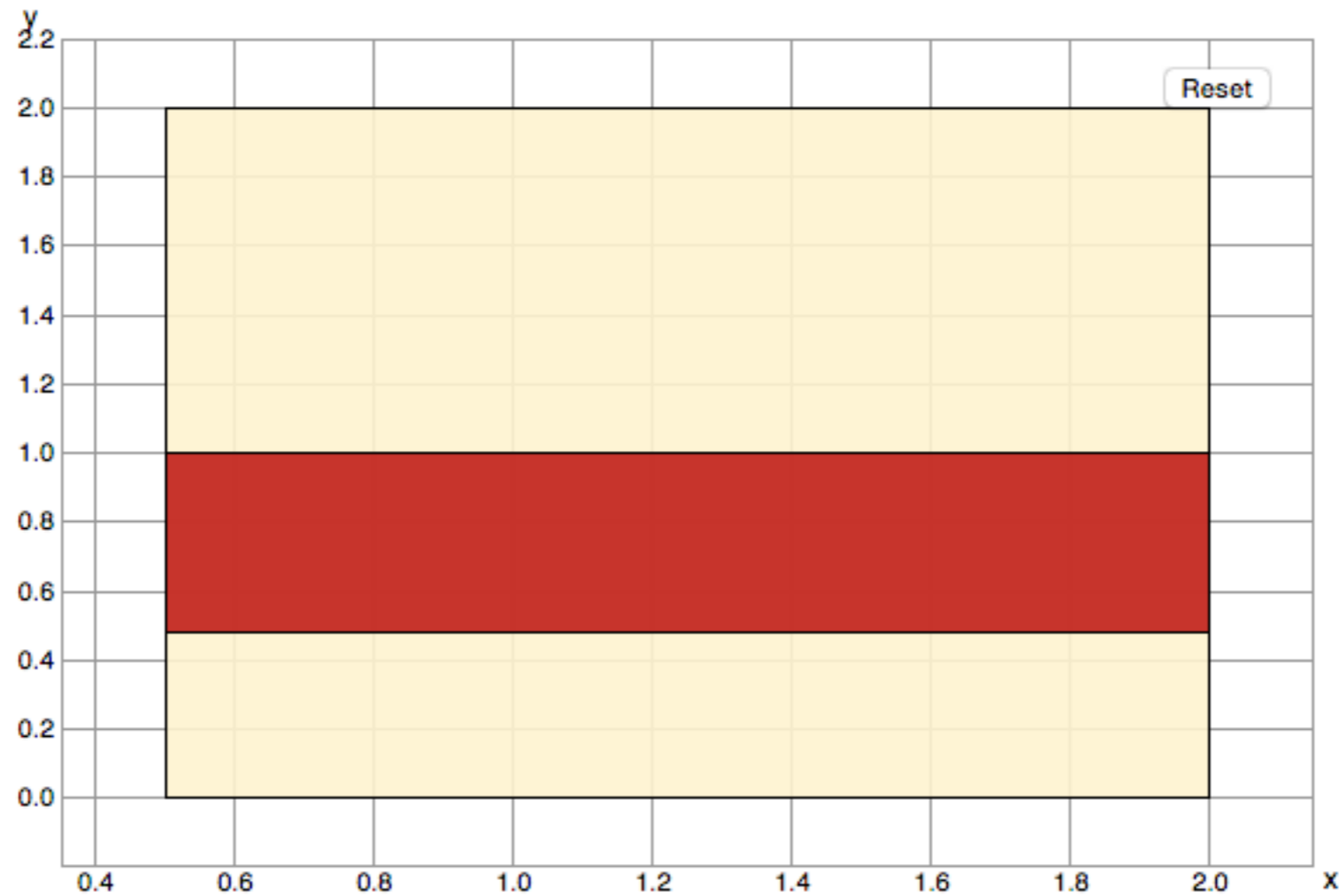
Begin x dim : x y dim : y Next



Example of ICP

$$\exists x \in [0.5, 2.0], y \in [0.0, 2.0] : y = \sin(x) \wedge y = \operatorname{atan}(x)$$

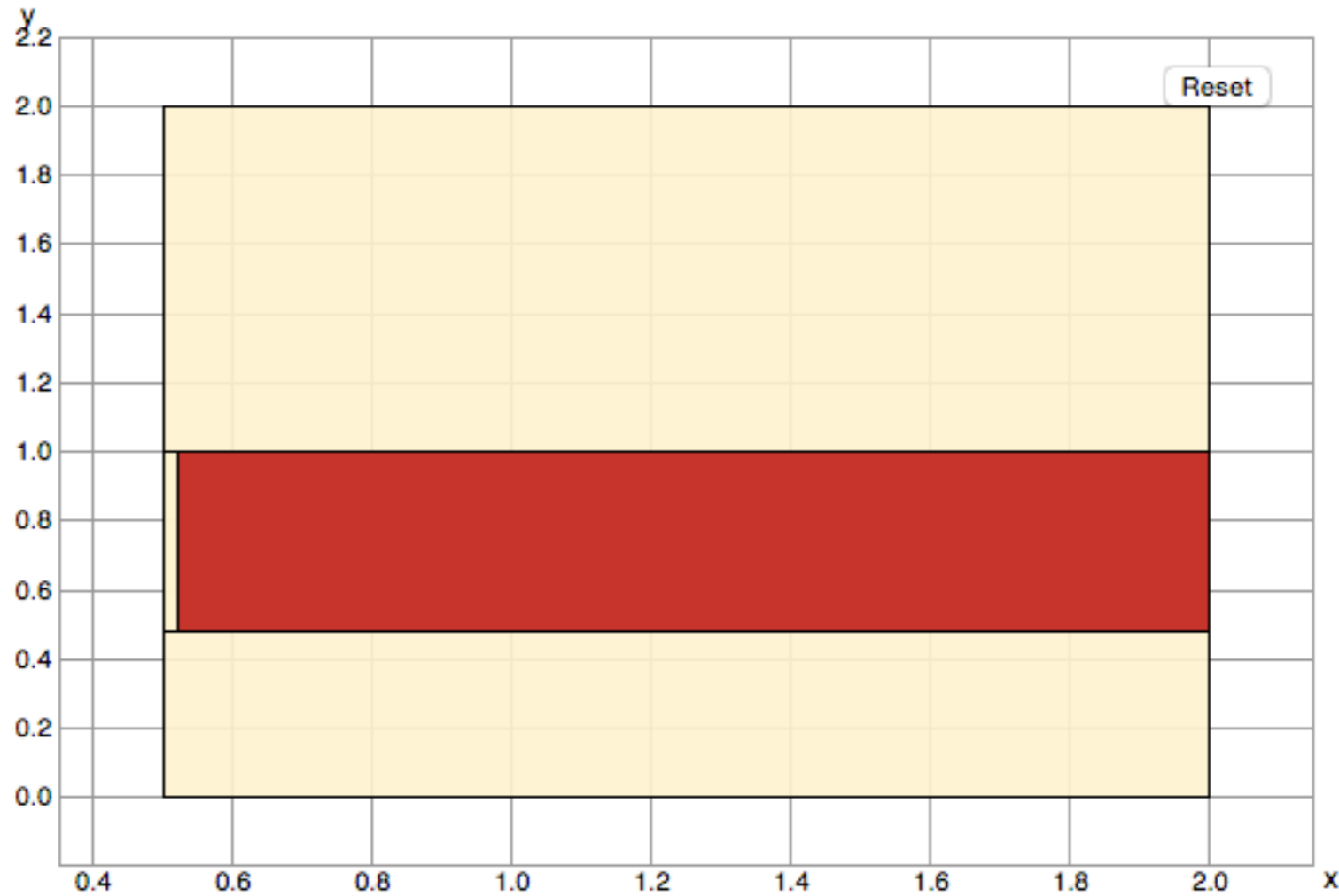
Begin x dim : x y dim : y Next



Example of ICP

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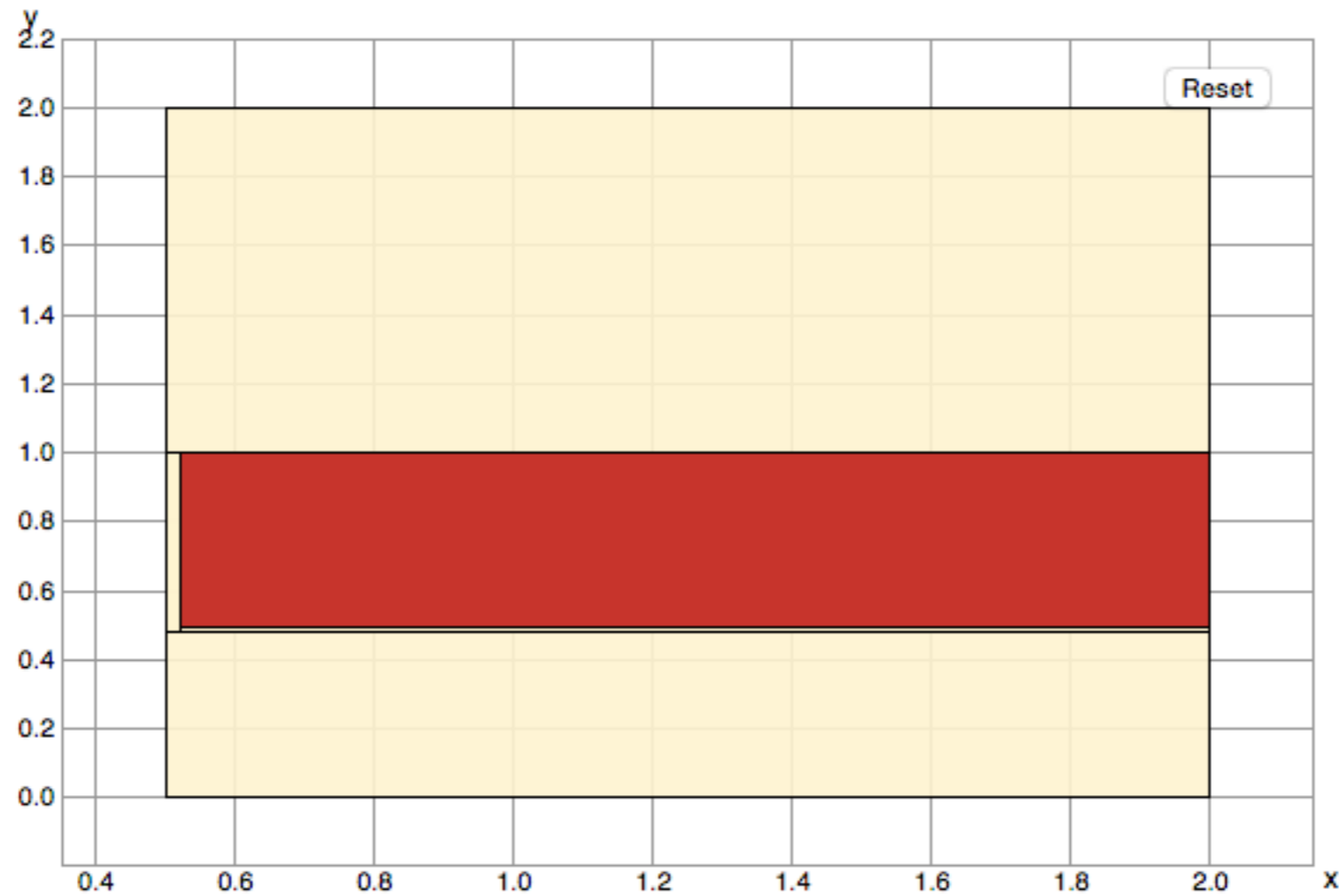
Begin x dim : x y dim : y Next



Example of ICP

$$\exists x \in [0.5, 2.0], y \in [0.0, 2.0] : y = \sin(x) \wedge y = \text{atan}(x)$$

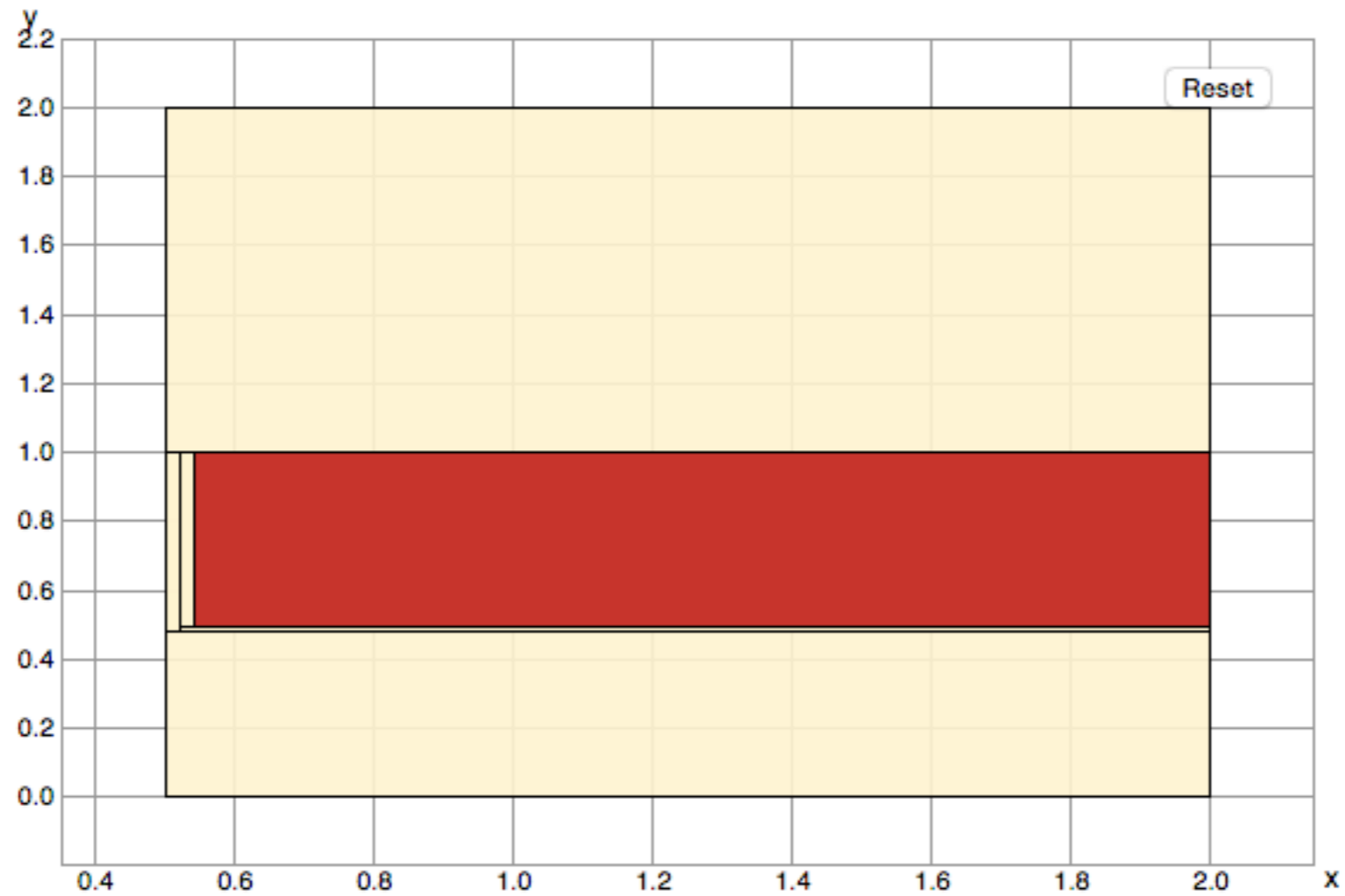
Begin x dim : x y dim : y Next



Example of ICP

$$\exists x \in [0.5, 2.0], y \in [0.0, 2.0] : y = \sin(x) \wedge y = \text{atan}(x)$$

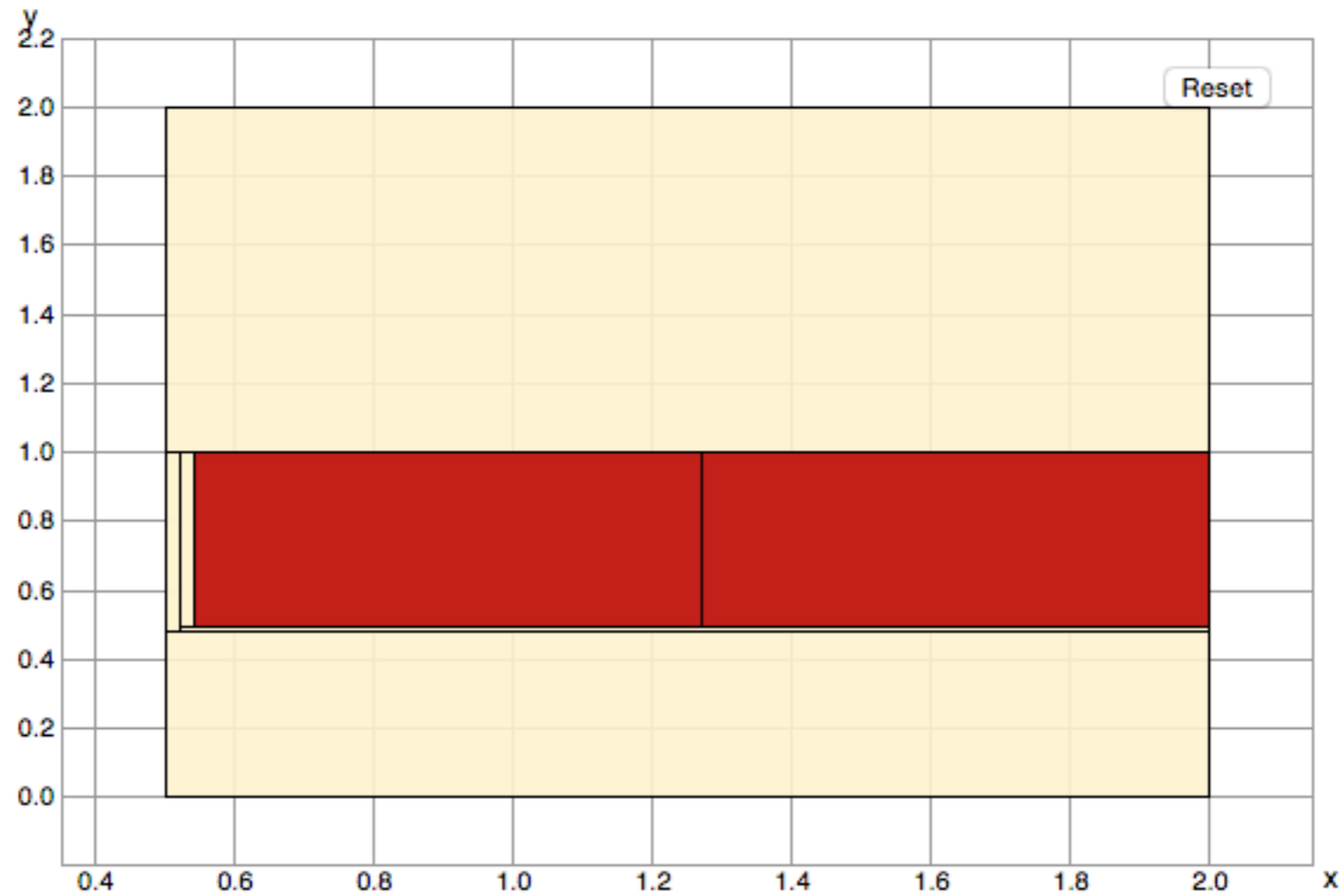
Begin x dim : x y dim : y Next



Example of ICP

$$\exists x \in [0.5, 2.0], y \in [0.0, 2.0] : y = \sin(x) \wedge y = \text{atan}(x)$$

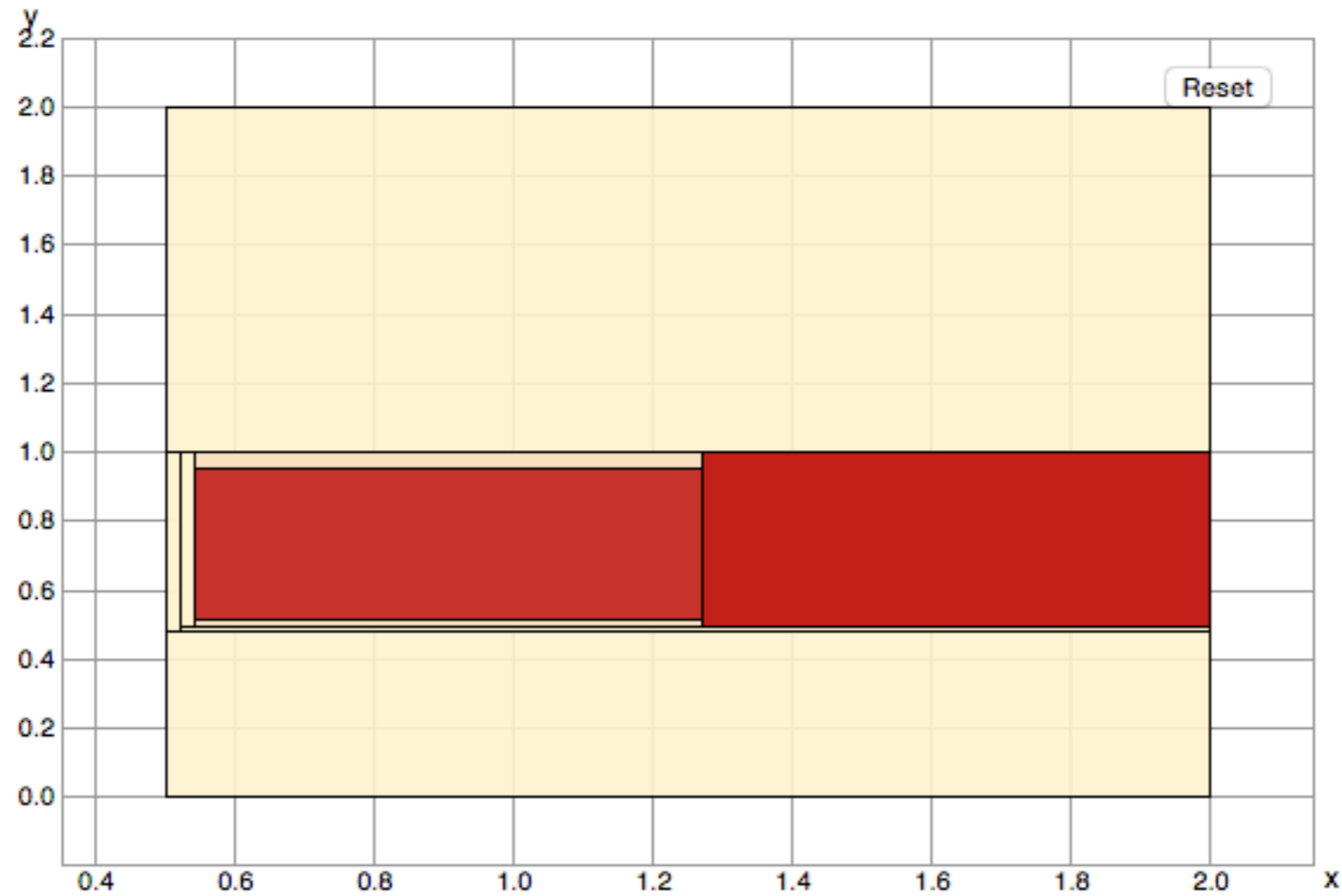
Begin x dim : x y dim : y Next



Example of ICP

$$\exists x \in [0.5, 2.0], y \in [0.0, 2.0] : y = \sin(x) \wedge y = \text{atan}(x)$$

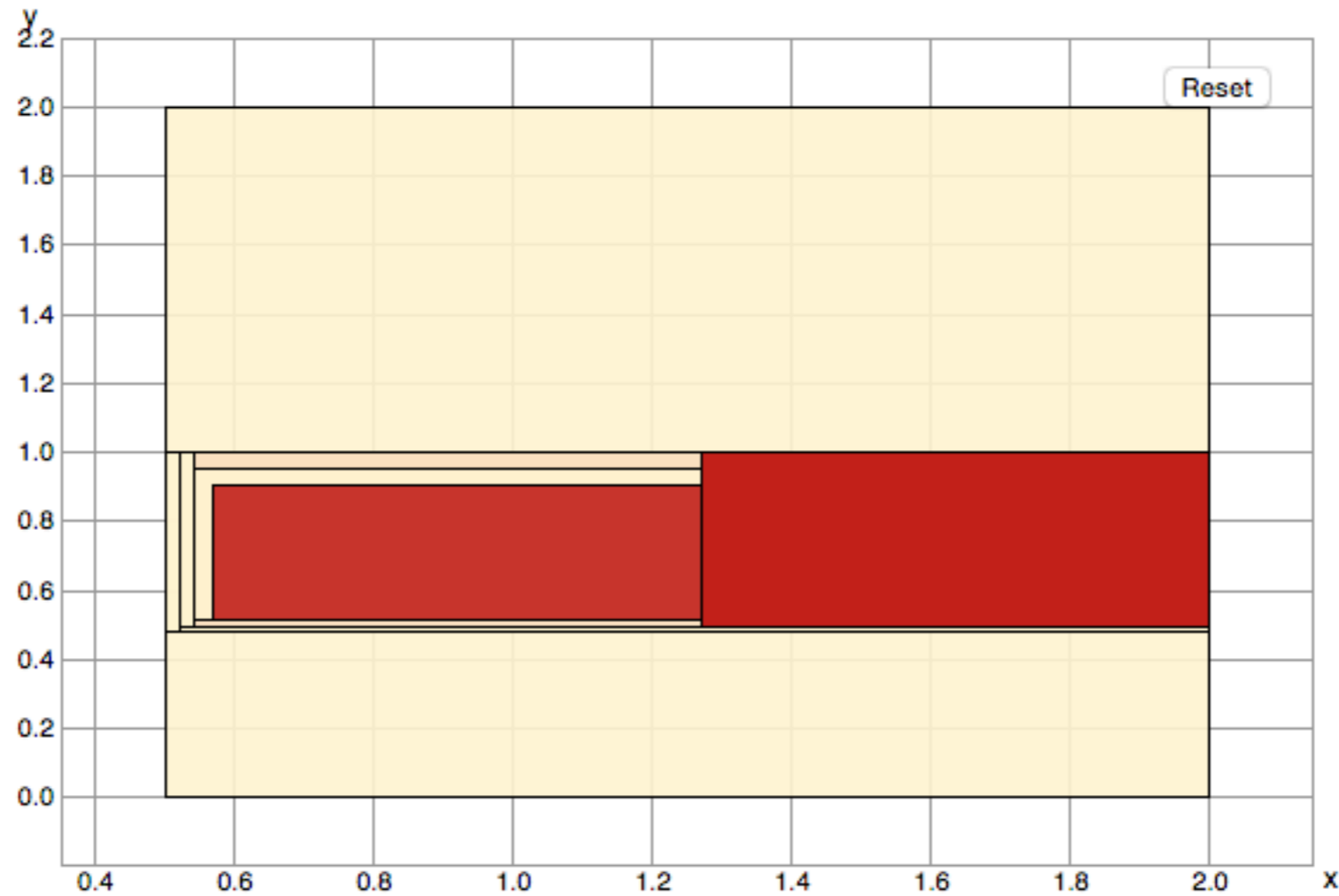
Begin x dim : x y dim : y Next



Example of ICP

$$\exists x \in [0.5, 2.0], y \in [0.0, 2.0] : y = \sin(x) \wedge y = \operatorname{atan}(x)$$

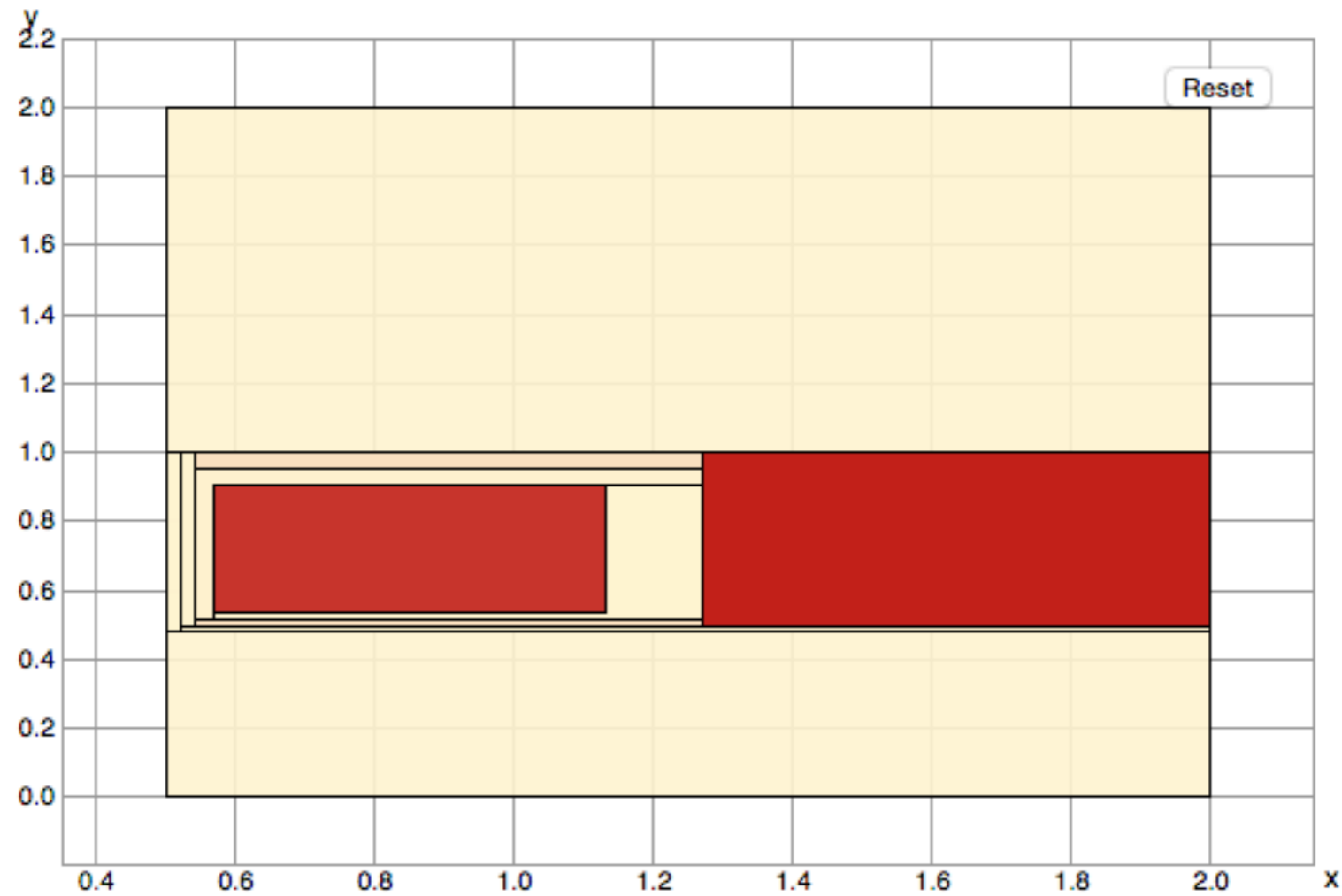
Begin x dim : x y dim : y Next



Example of ICP

$$\exists x \in [0.5, 2.0], y \in [0.0, 2.0] : y = \sin(x) \wedge y = \text{atan}(x)$$

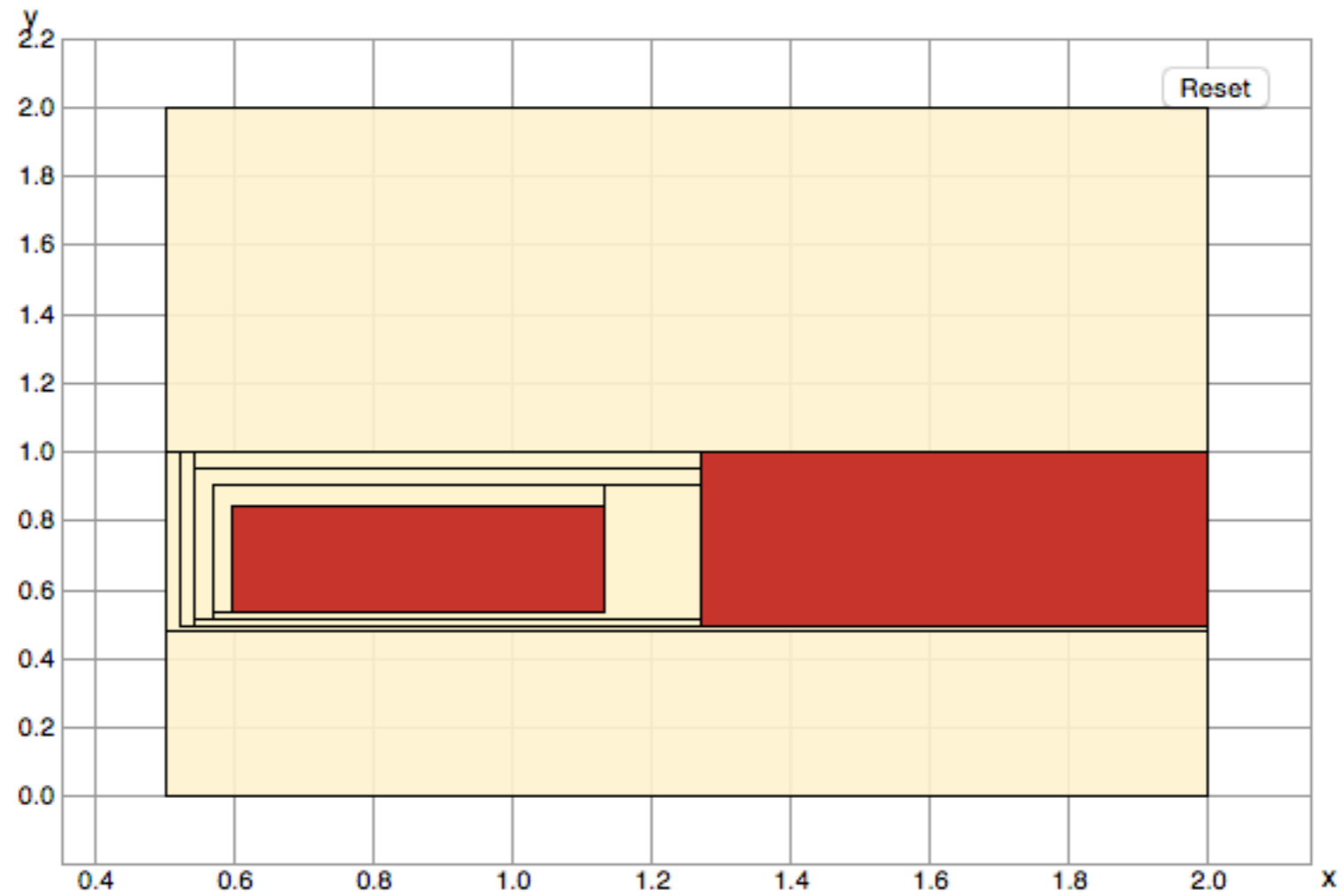
Begin x dim : x y dim : y Next



Example of ICP

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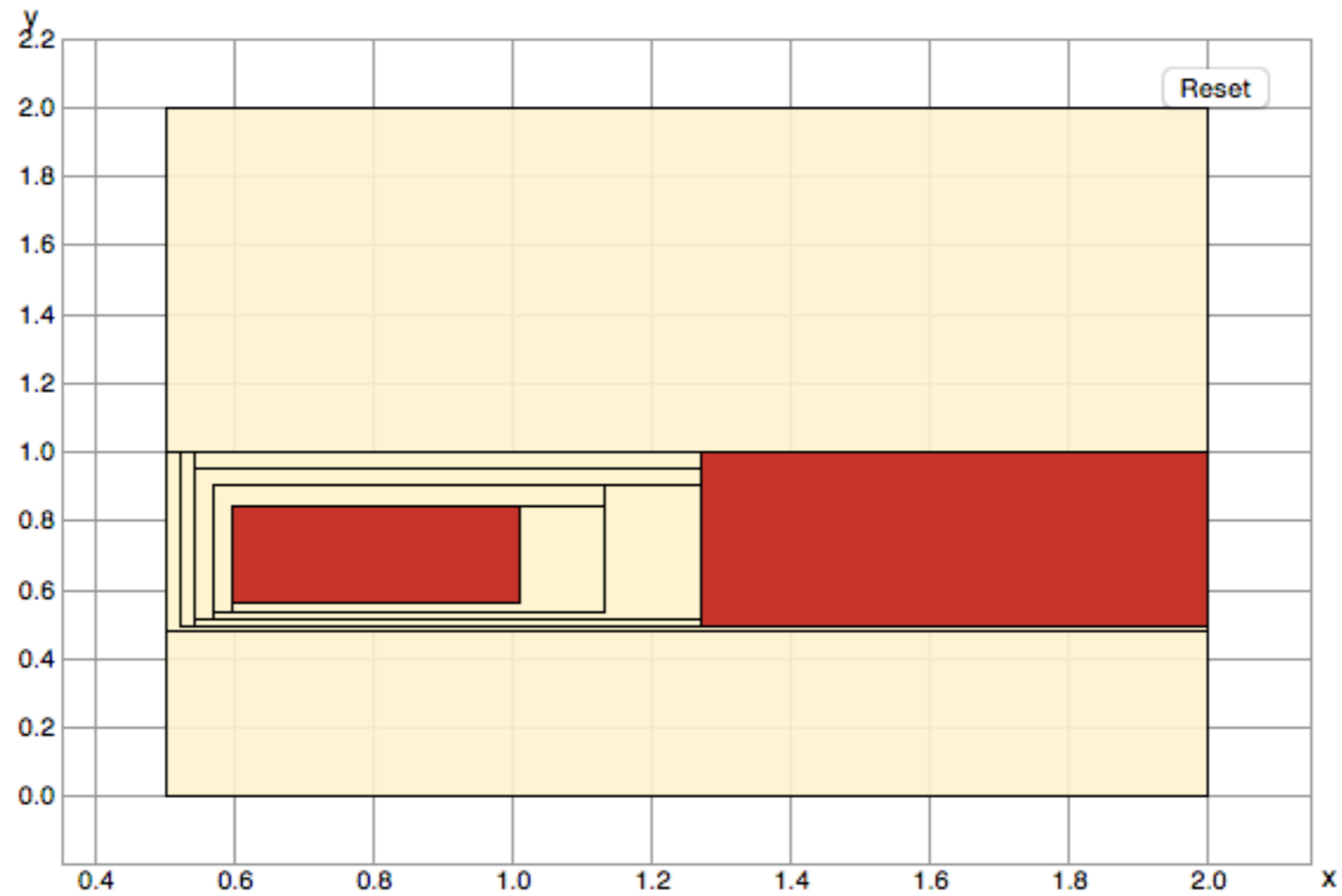
Begin x dim : x y dim : y Next



Example of ICP

$$\exists x \in [0.5, 2.0], y \in [0.0, 2.0] : y = \sin(x) \wedge y = \text{atan}(x)$$

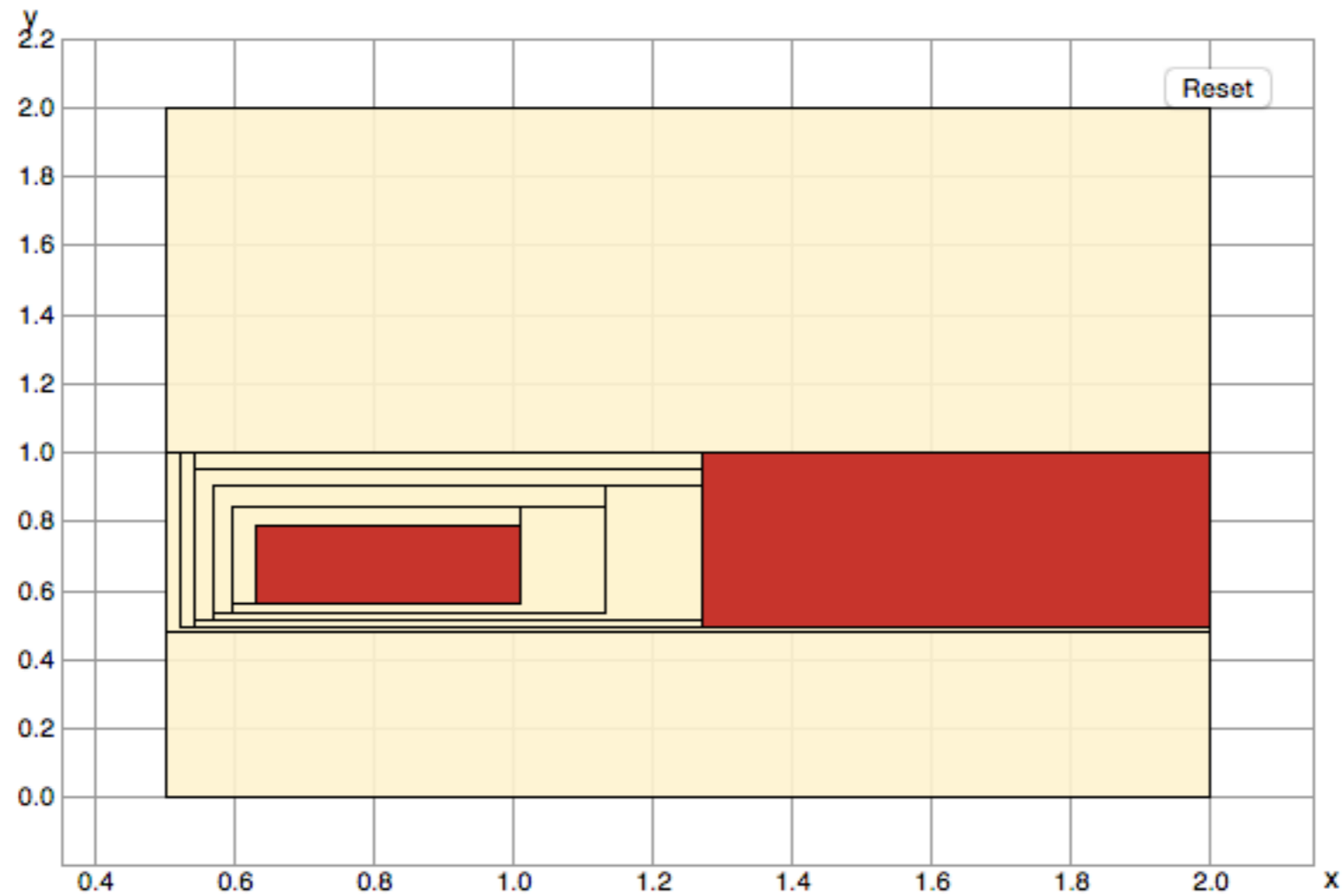
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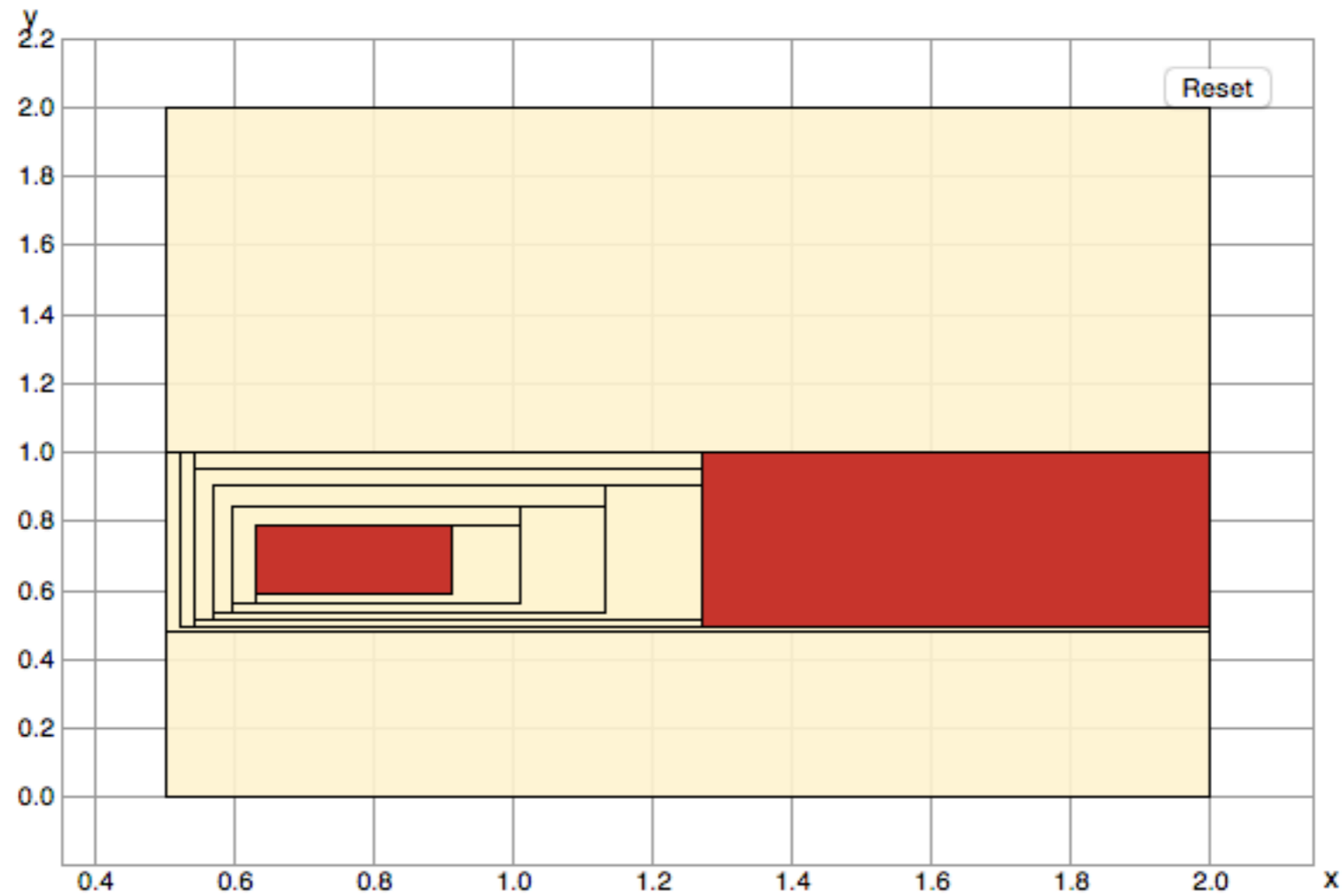
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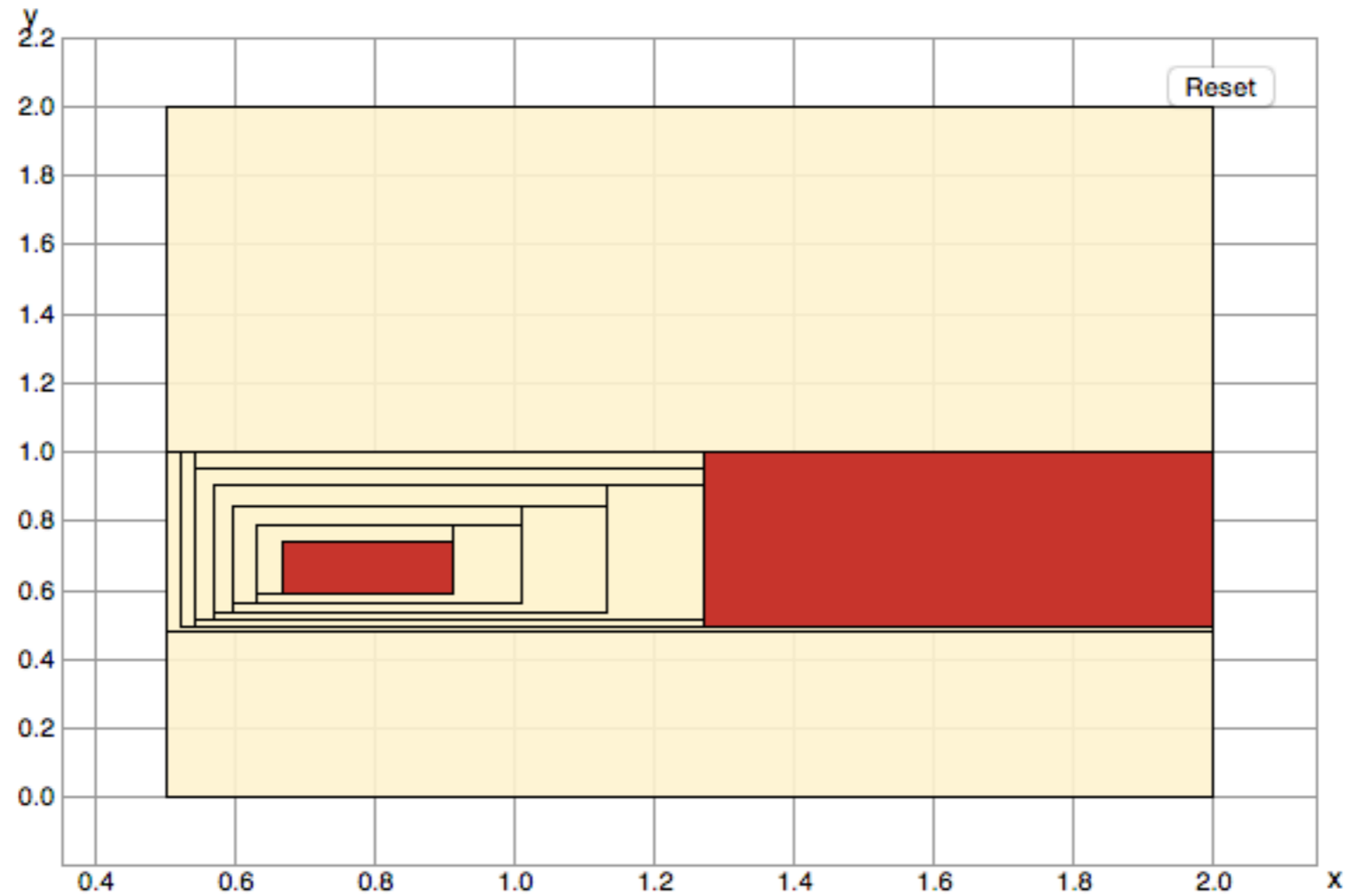
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Example of ICP

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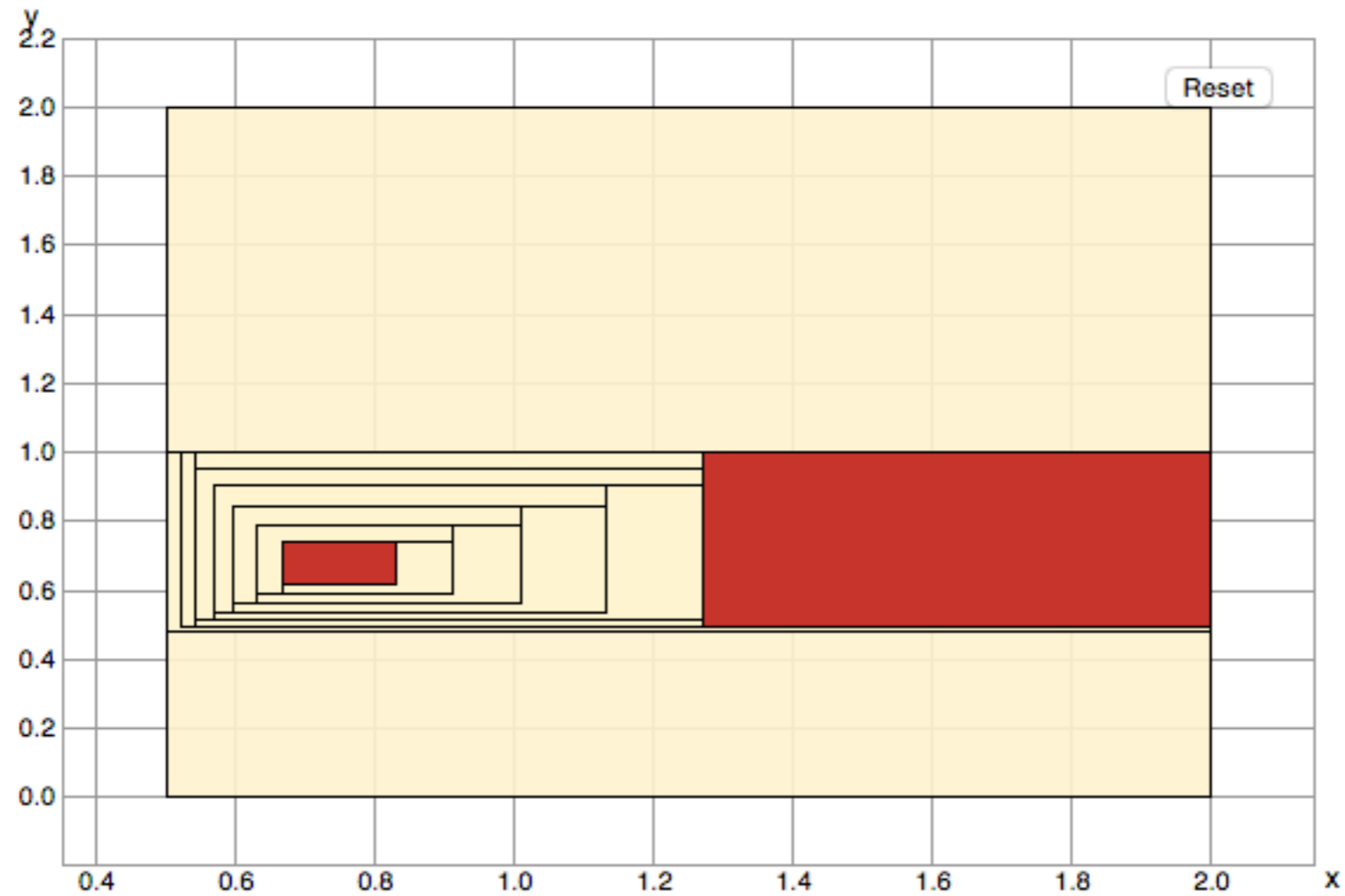
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Example of ICP

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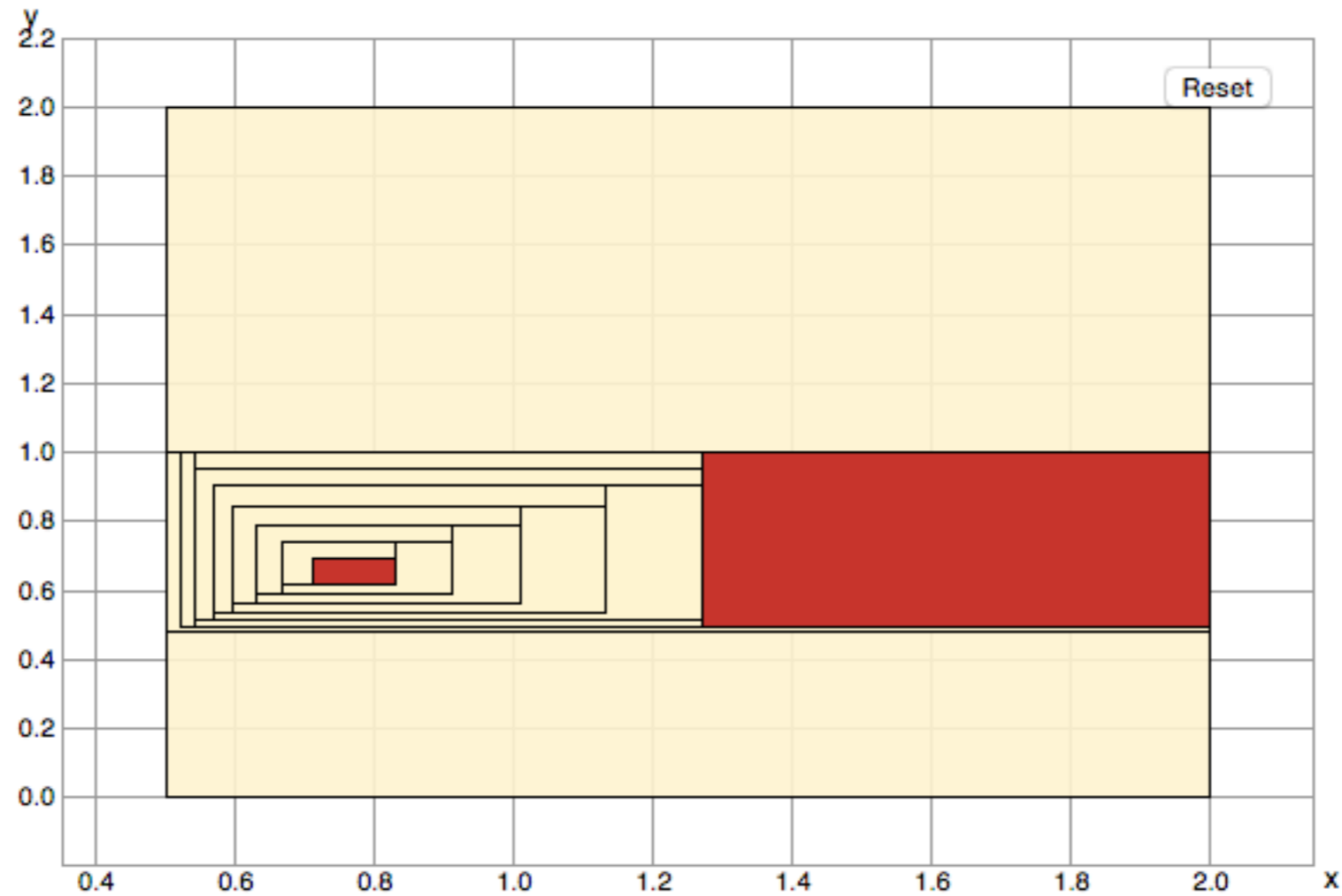
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Example of ICP

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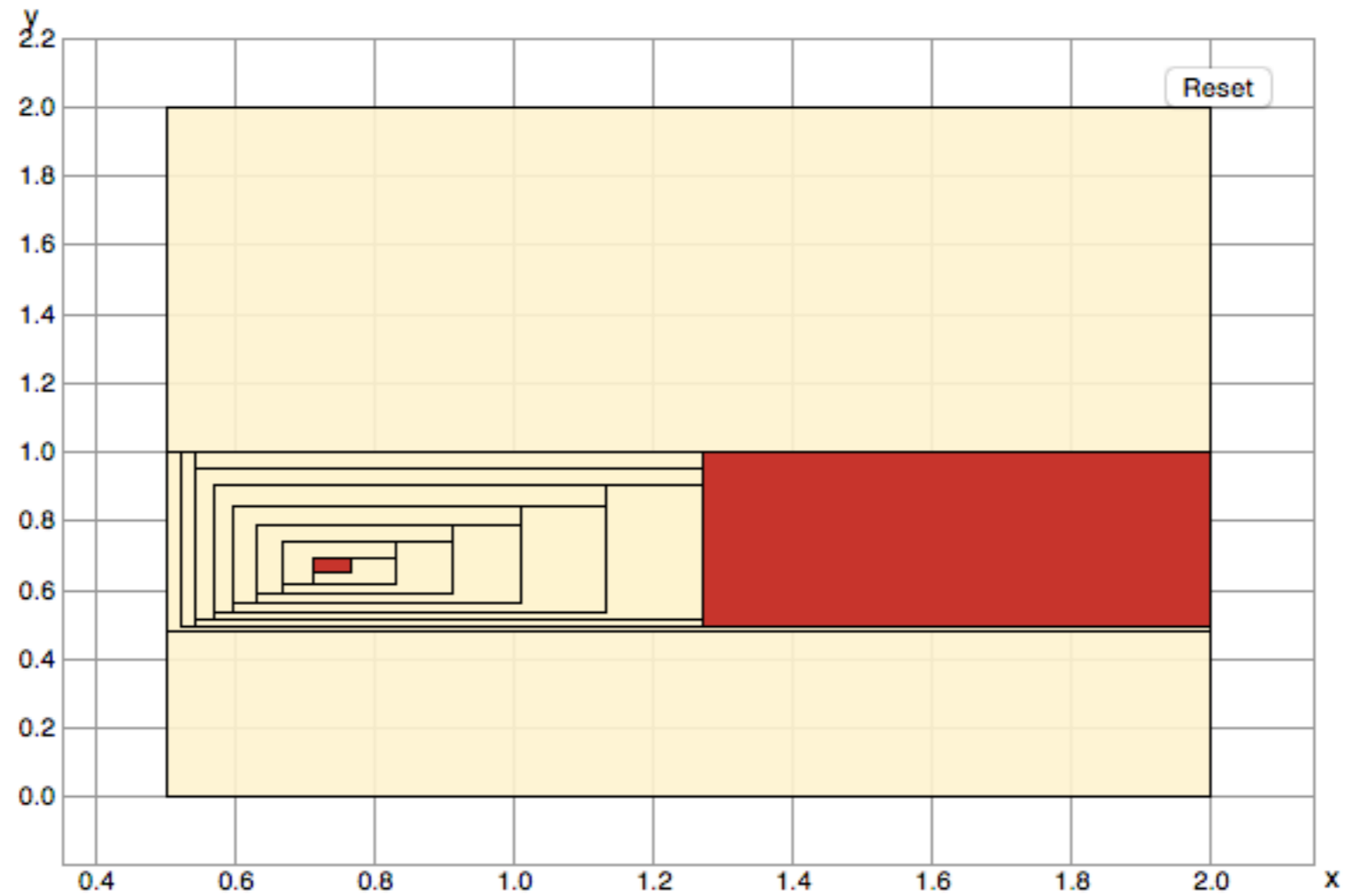
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Example of ICP

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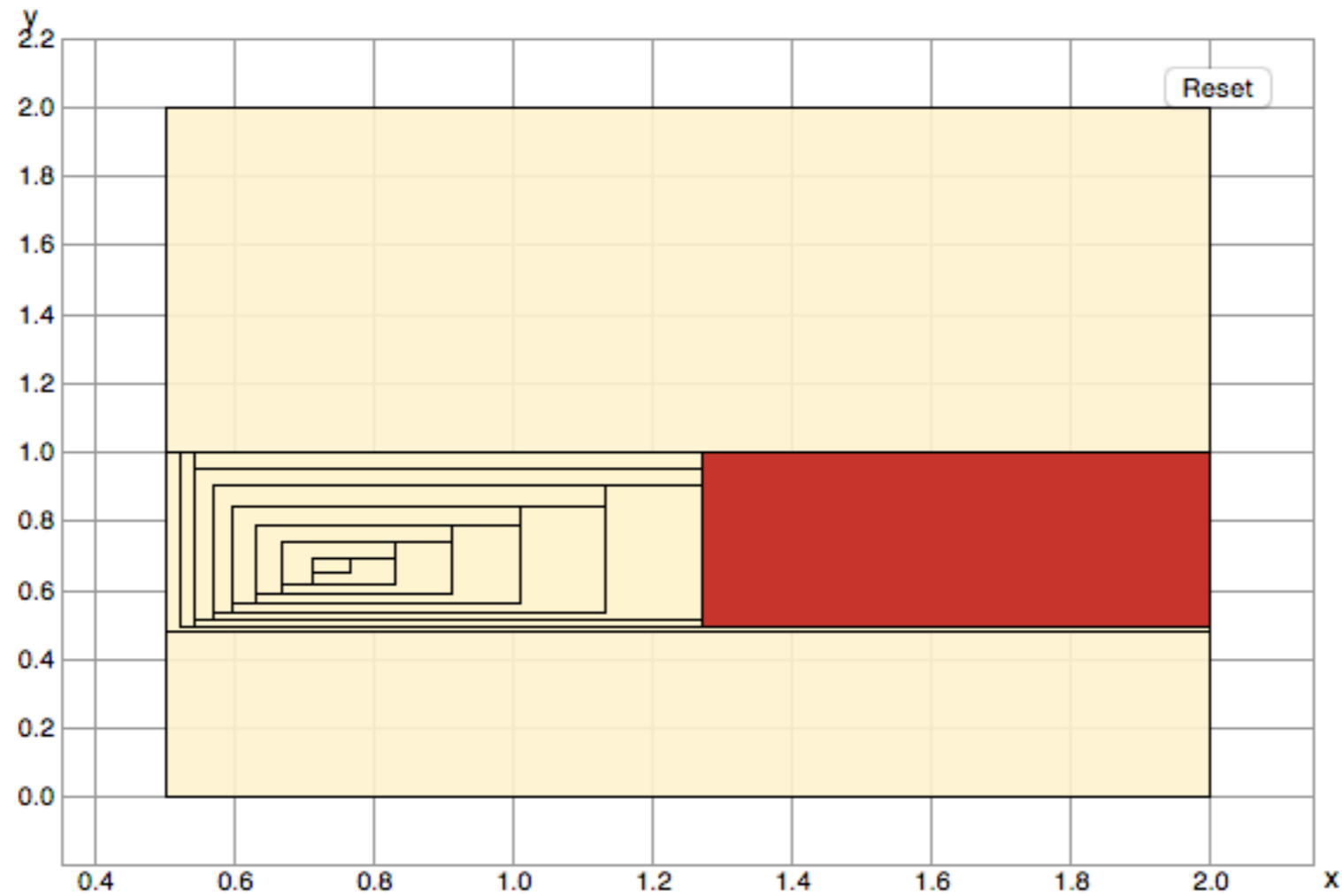
Begin x dim : x y dim : y Next



Example of ICP

$$\exists x \in [0.5, 2.0], y \in [0.0, 2.0] : y = \sin(x) \wedge y = \text{atan}(x)$$

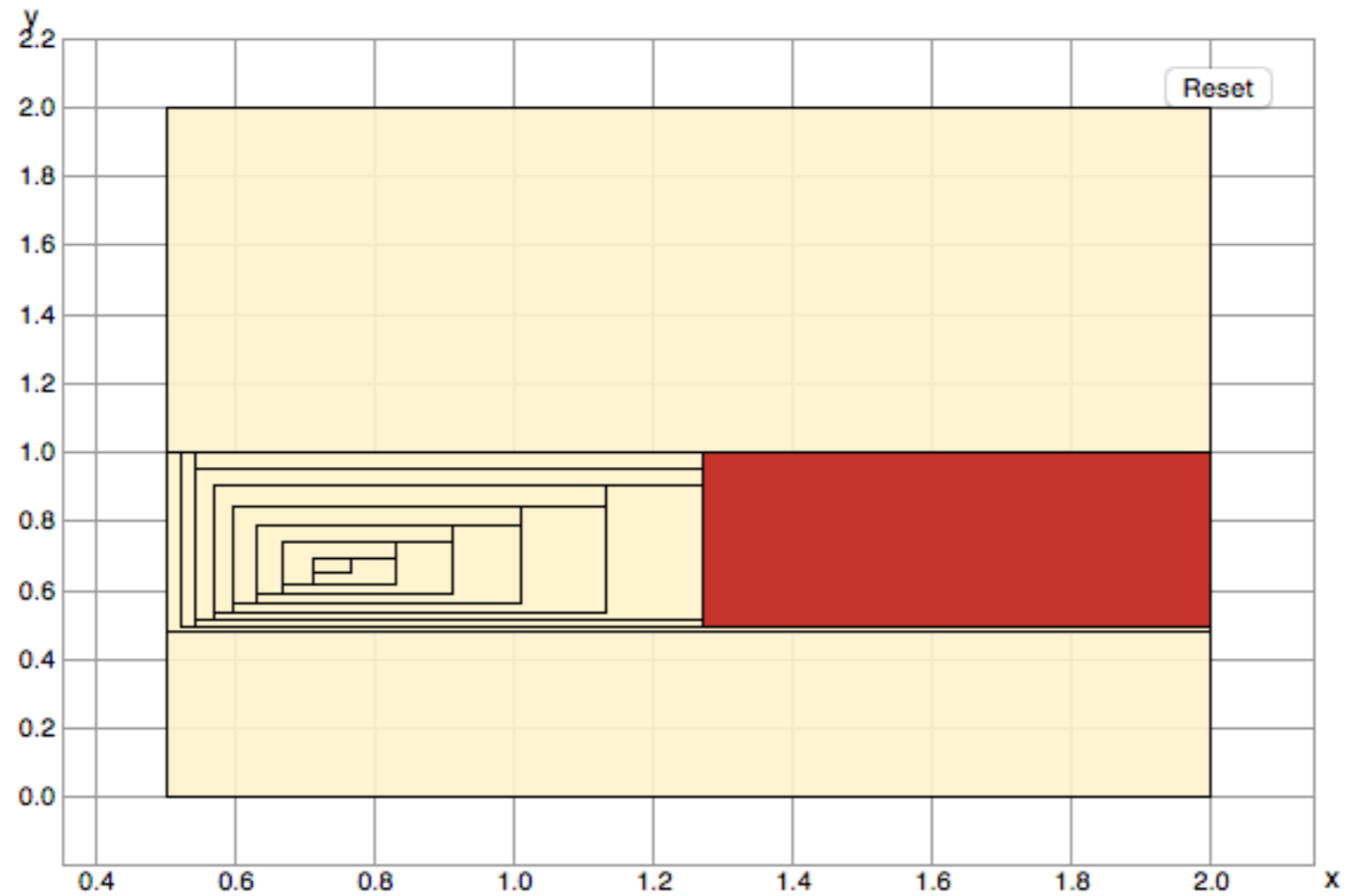
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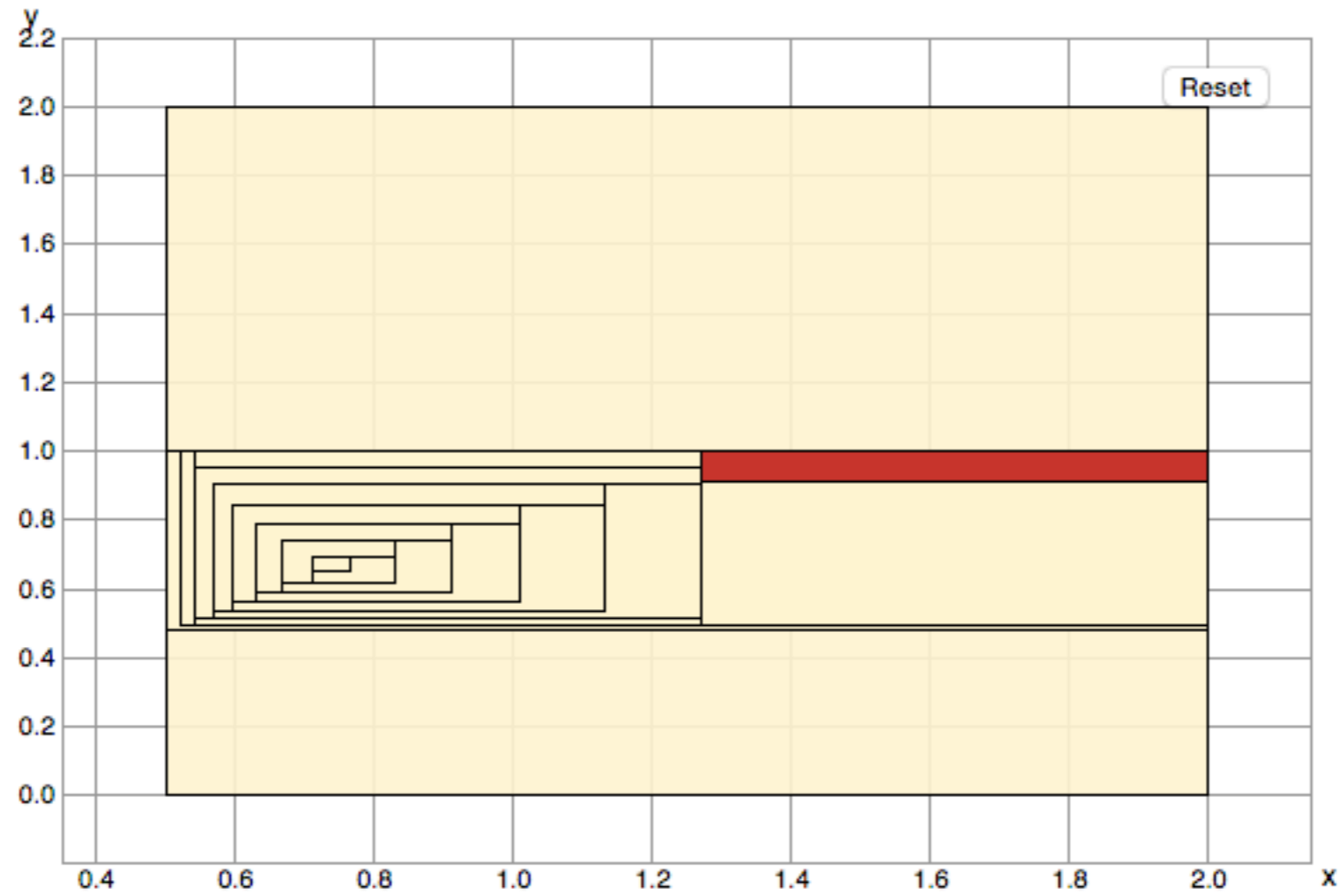
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Example of ICP

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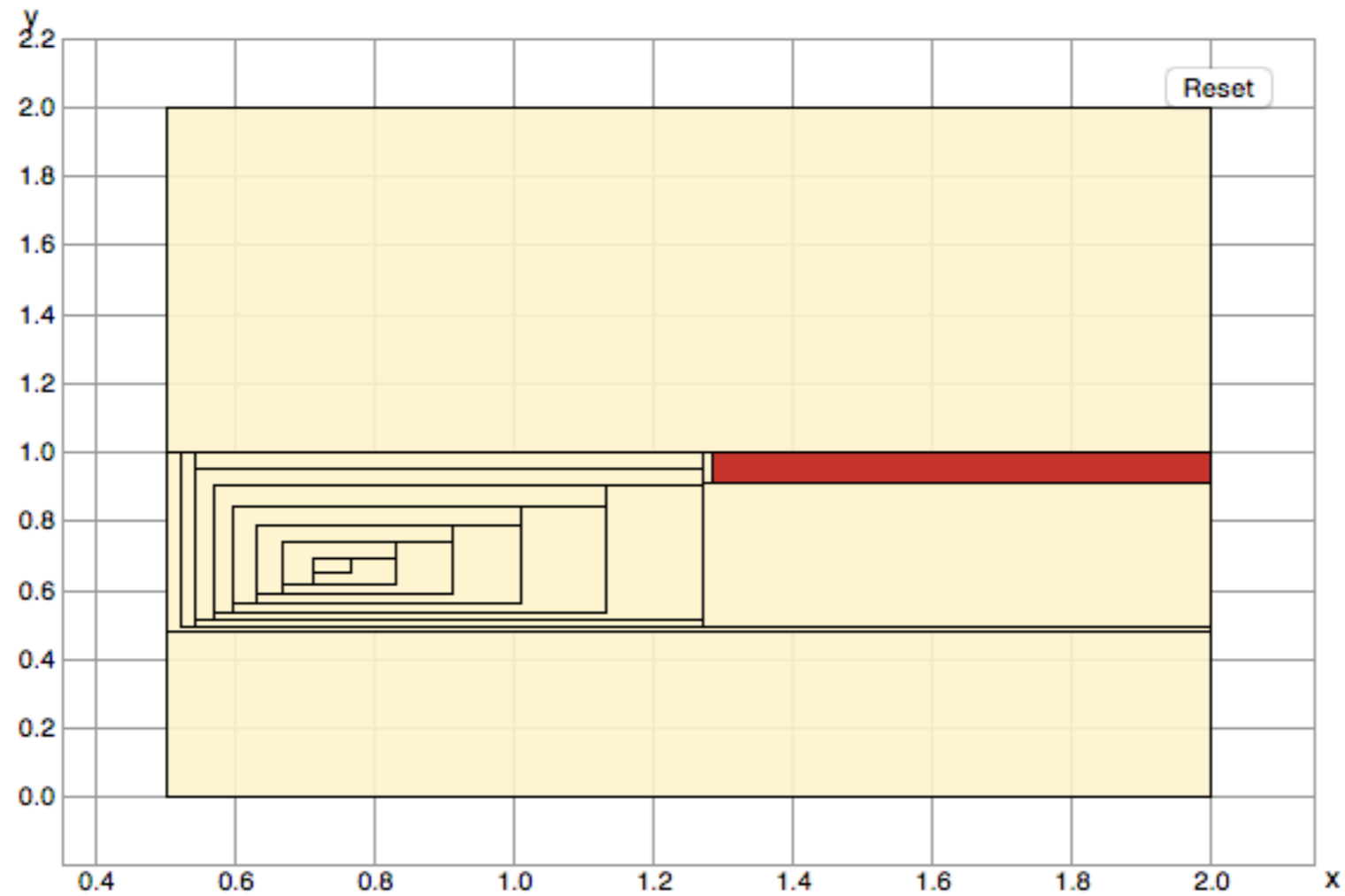
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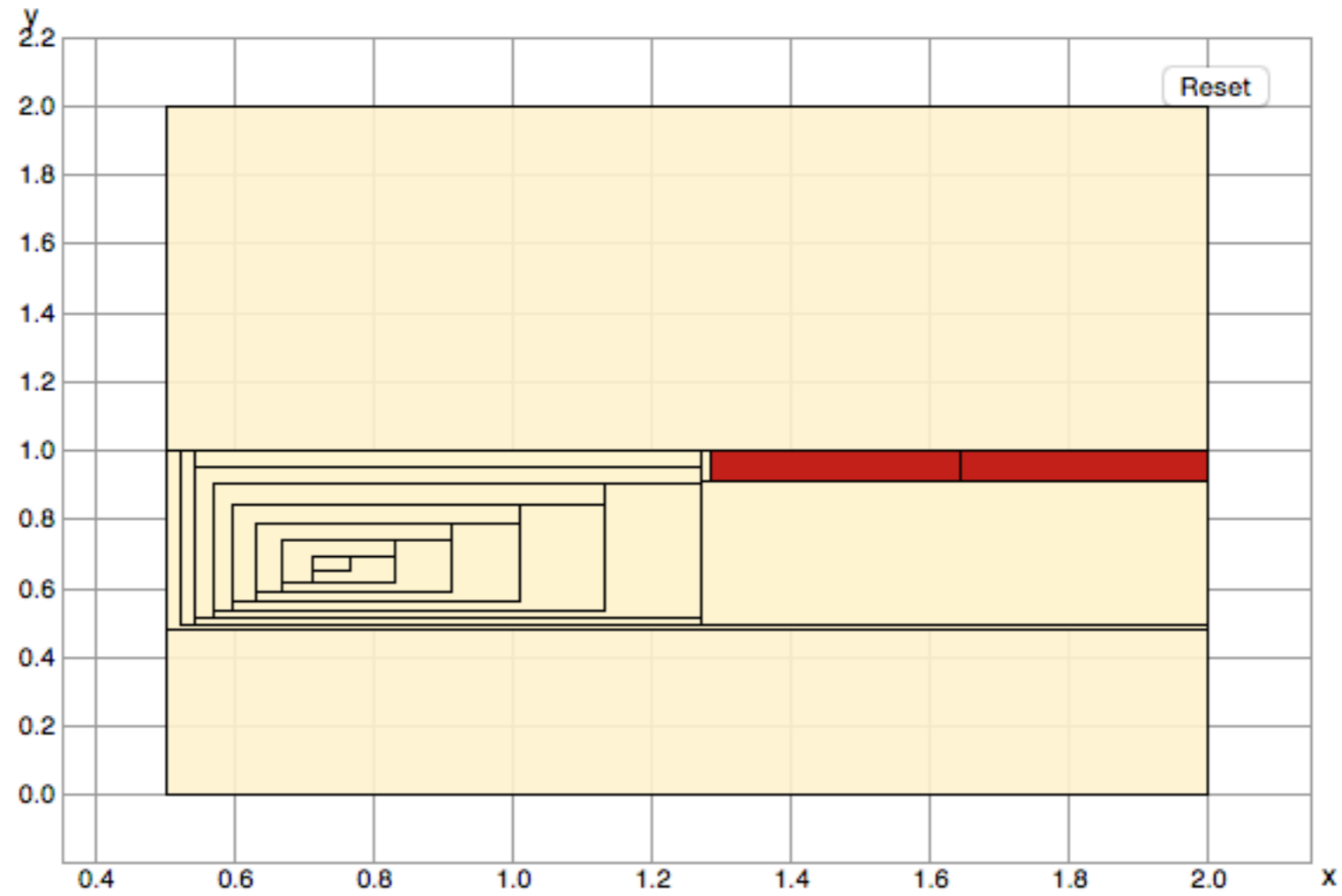
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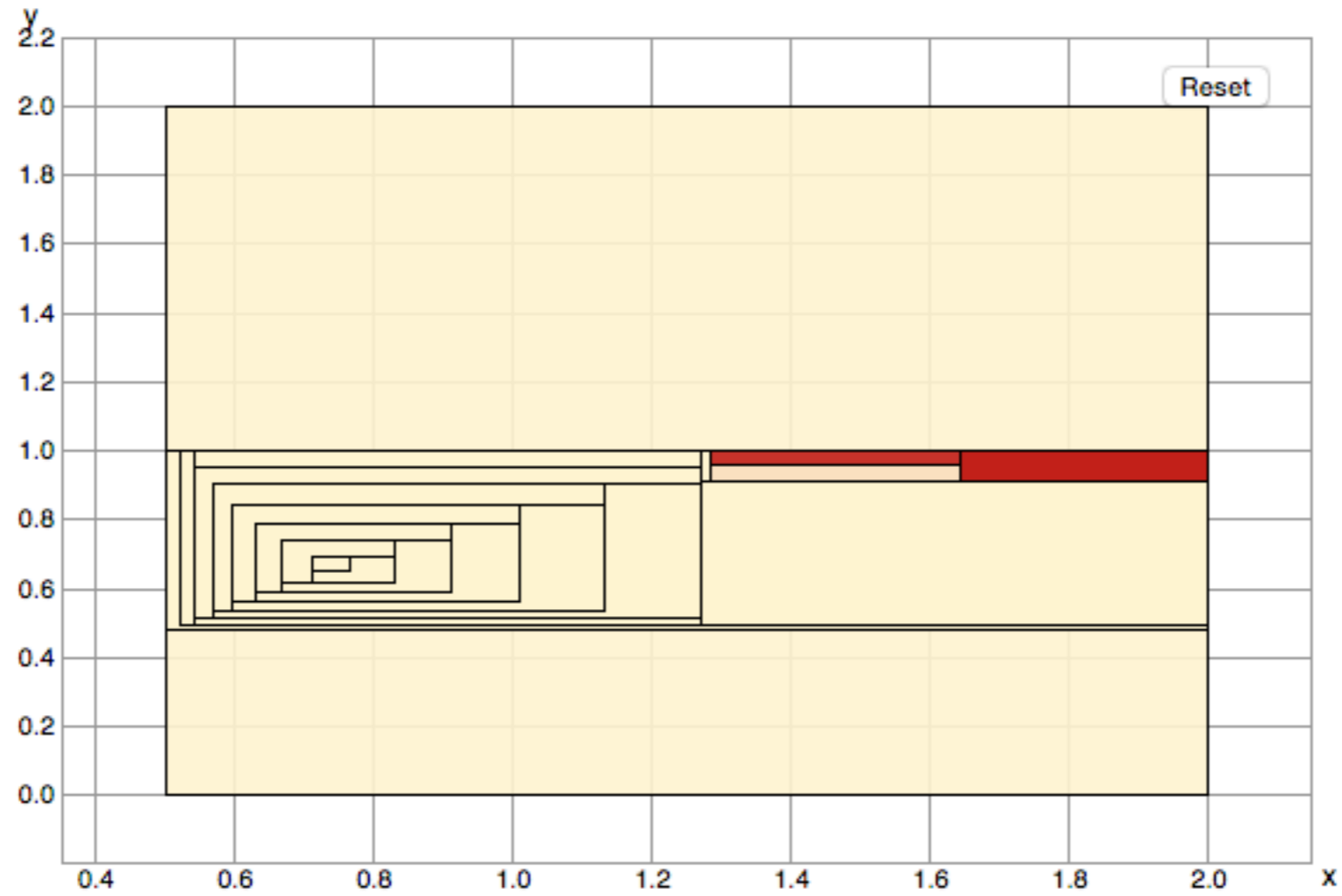
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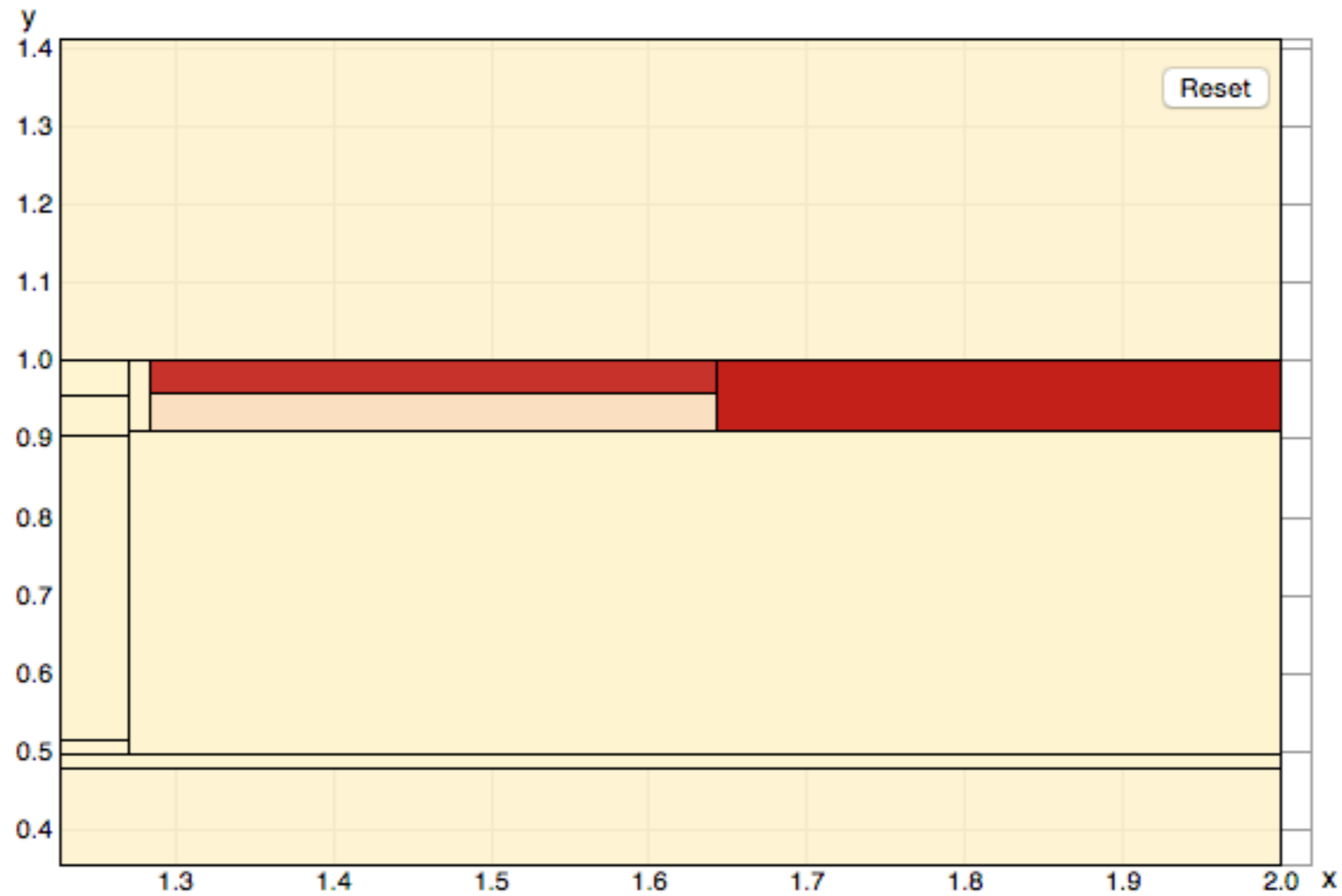
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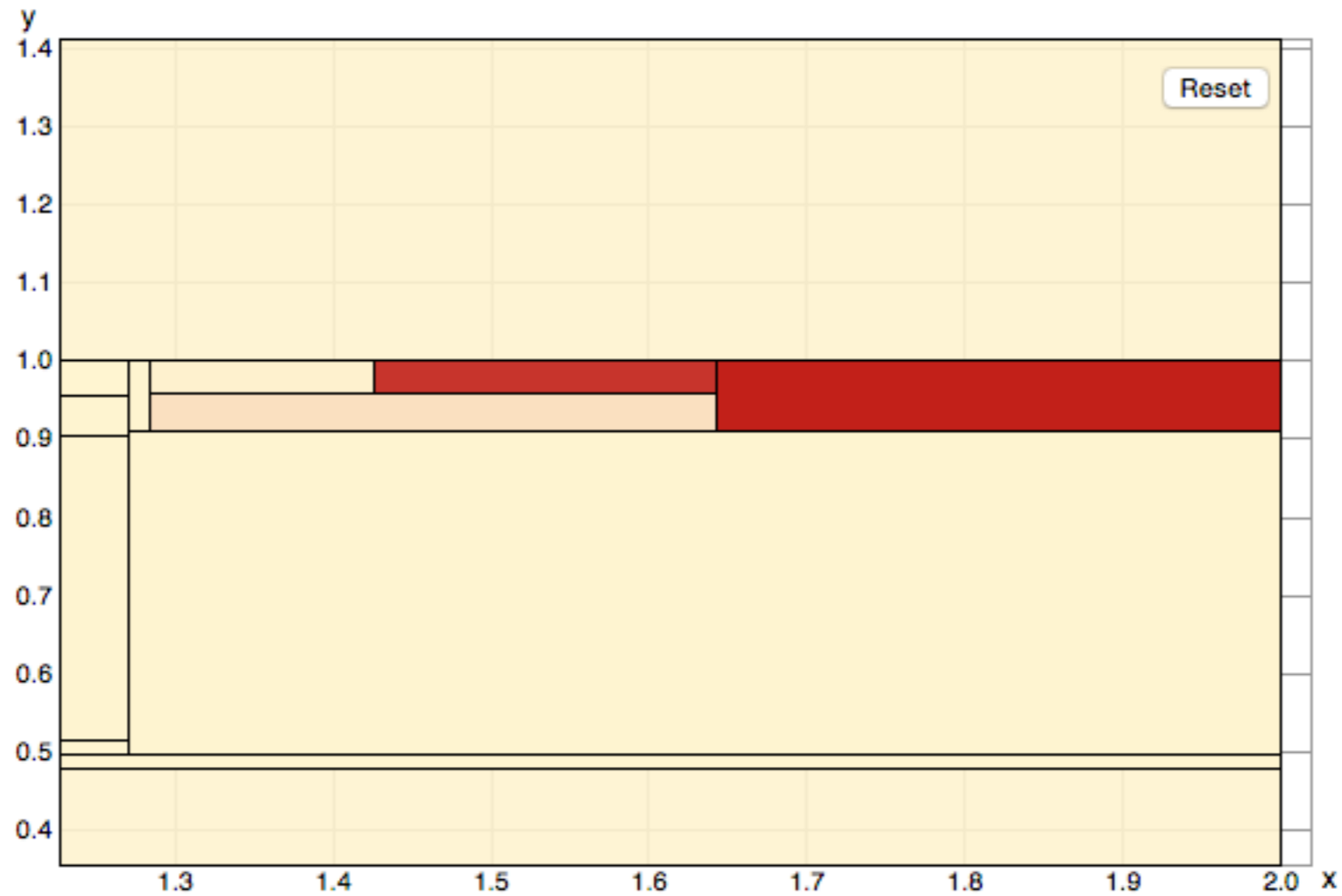
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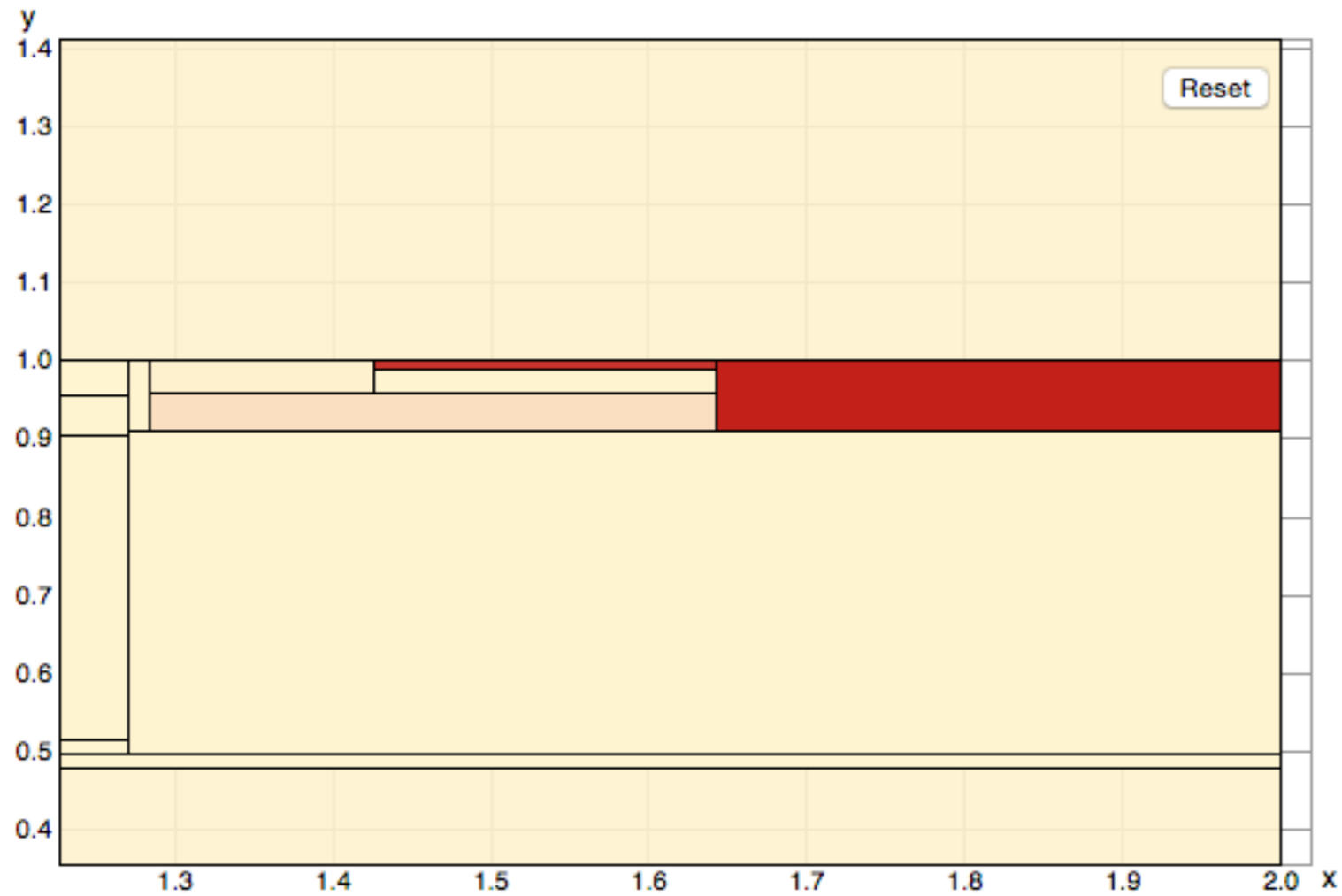
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Example of ICP

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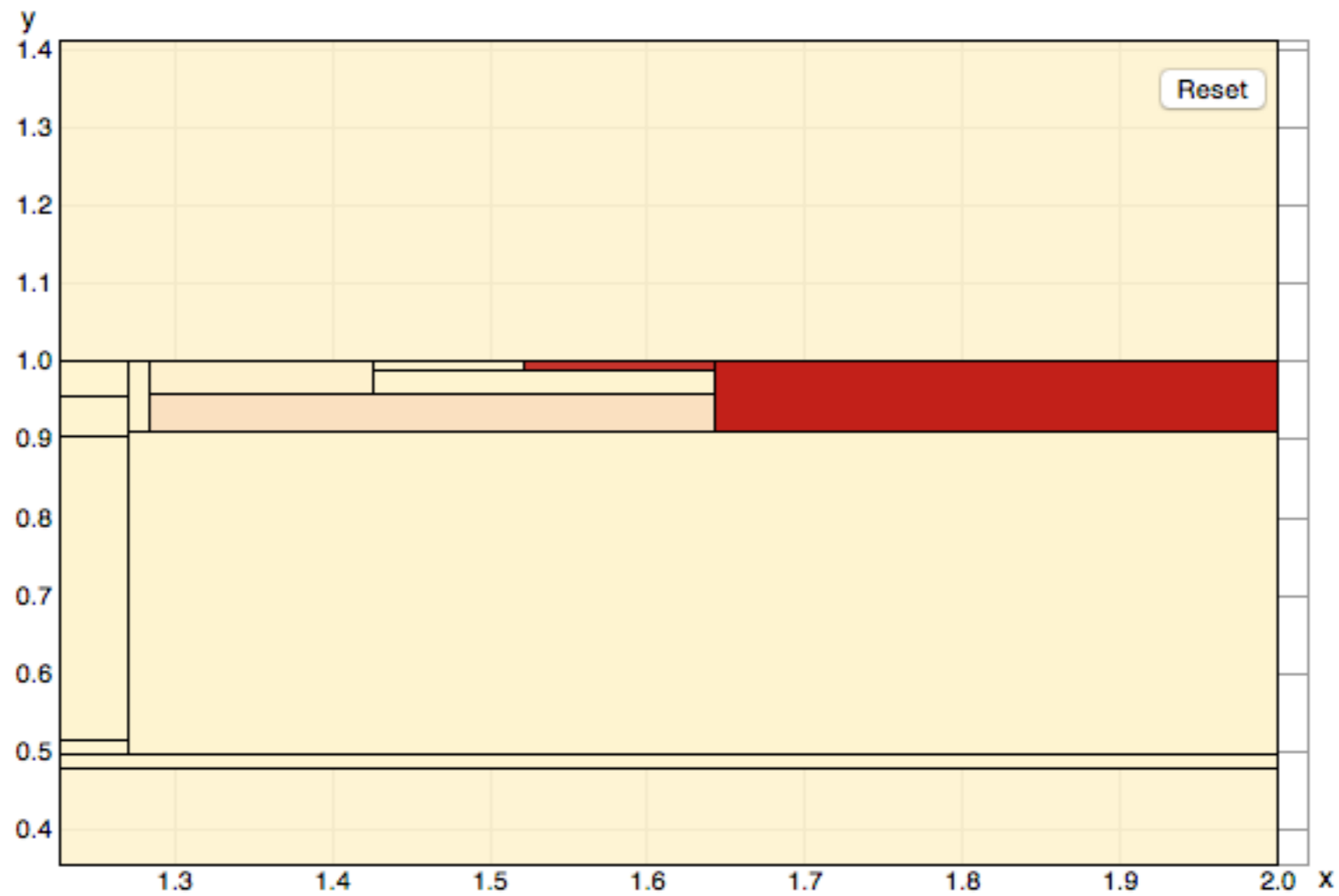
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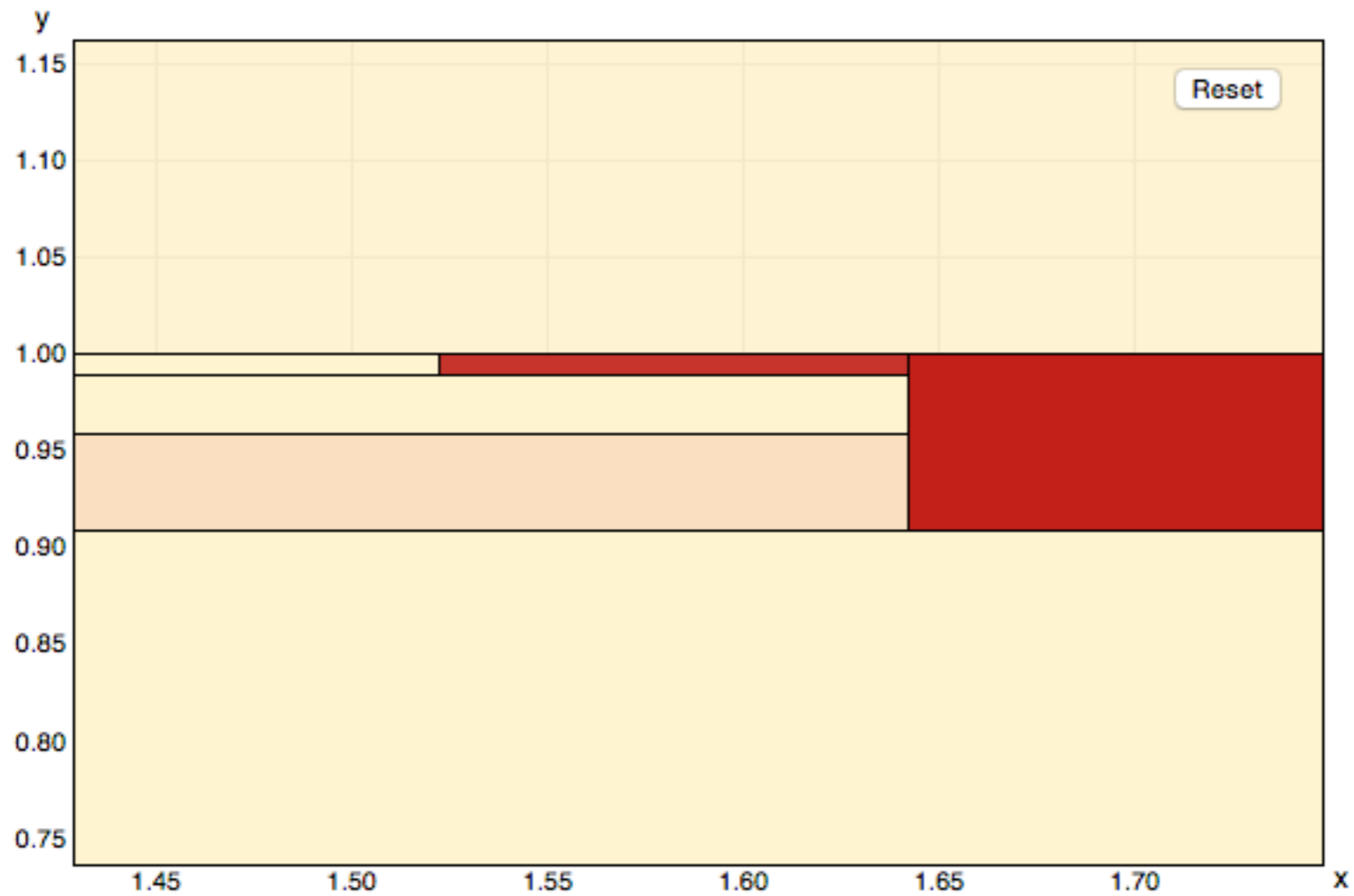
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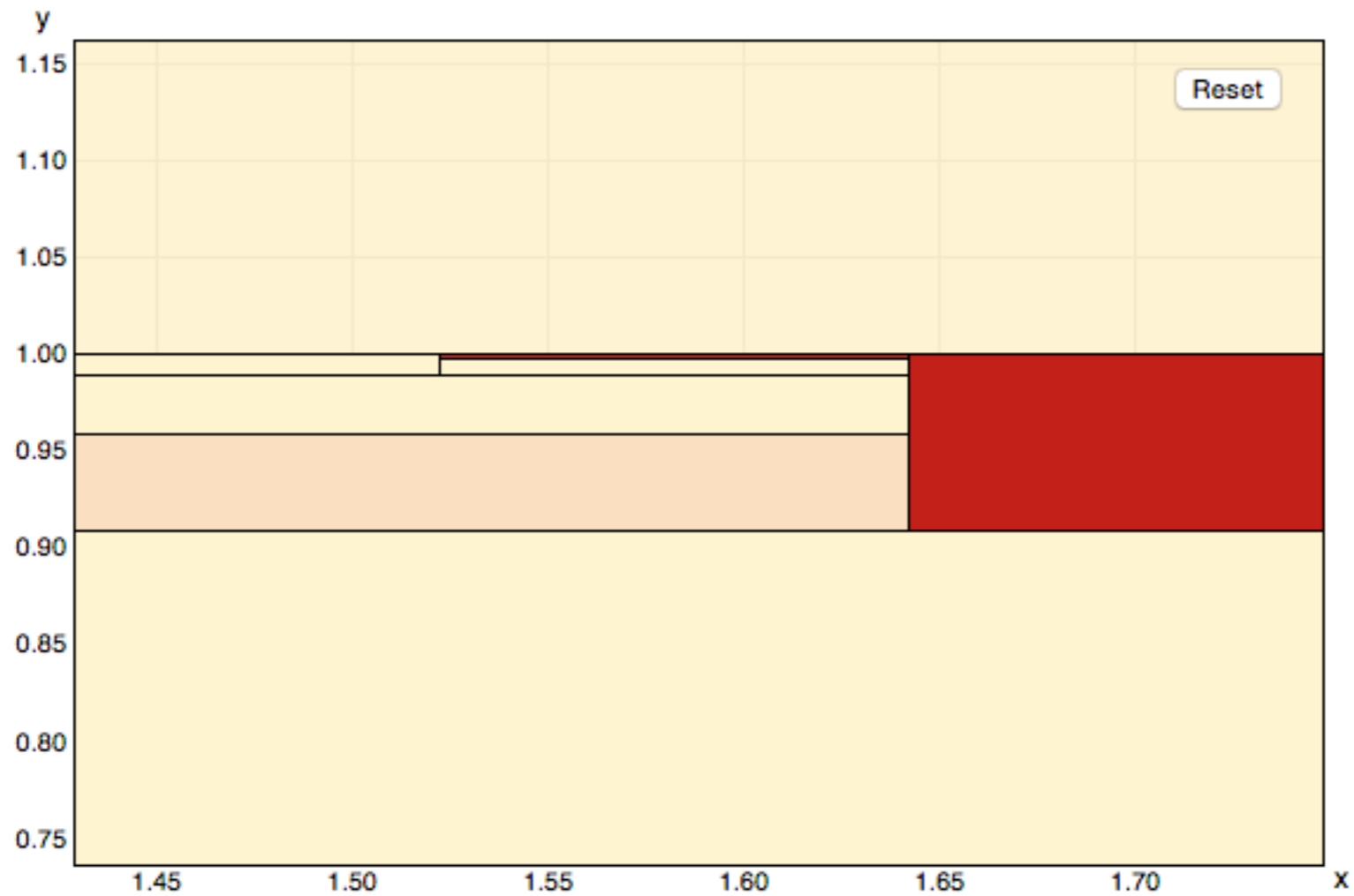
Begin x dim : x y dim : y Next



Example of ICP

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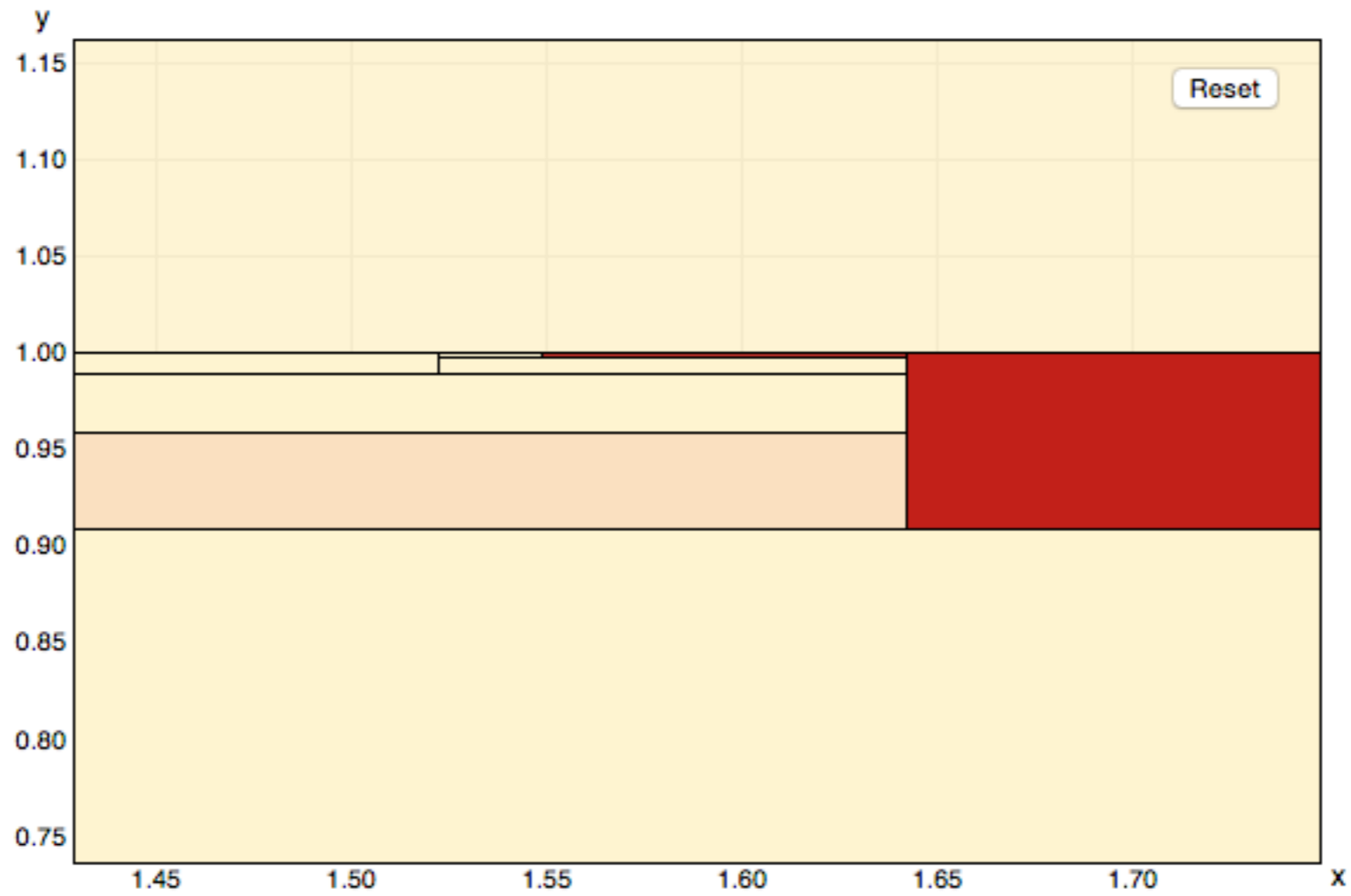
Begin x dim : x y dim : y Next



Example of ICP

$$\exists x \in [0.5, 2.0], y \in [0.0, 2.0] : y = \sin(x) \wedge y = \text{atan}(x)$$

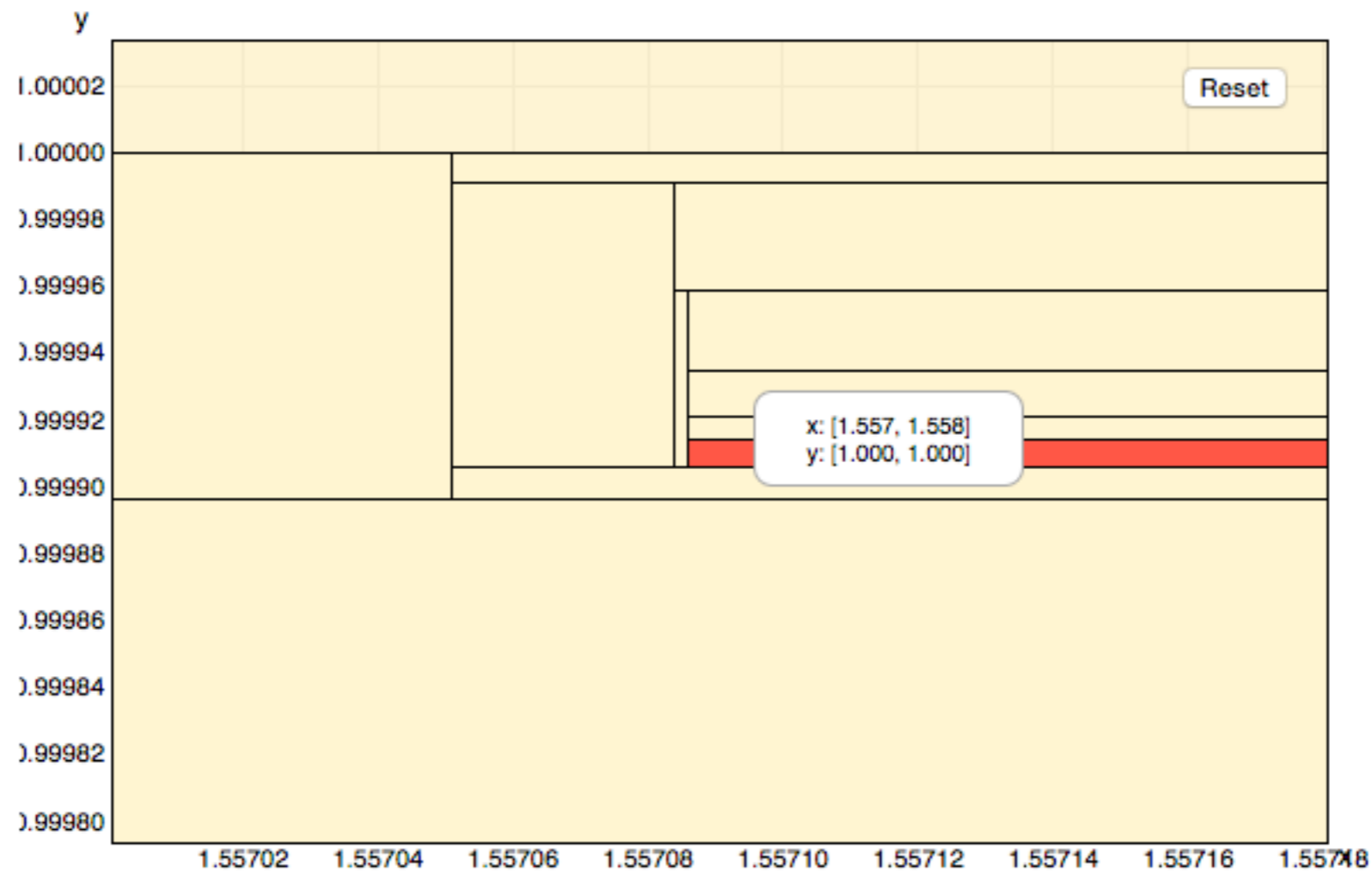
Begin x dim : x y dim : y Next



Example of ICP

$$\exists x \in [0.5, 2.0], y \in [0.0, 2.0] : y = \sin(x) \wedge y = \text{atan}(x)$$

Begin x dim : x y dim : y Next



$$\epsilon = 0.001$$

ANSWER: **δ -SAT**

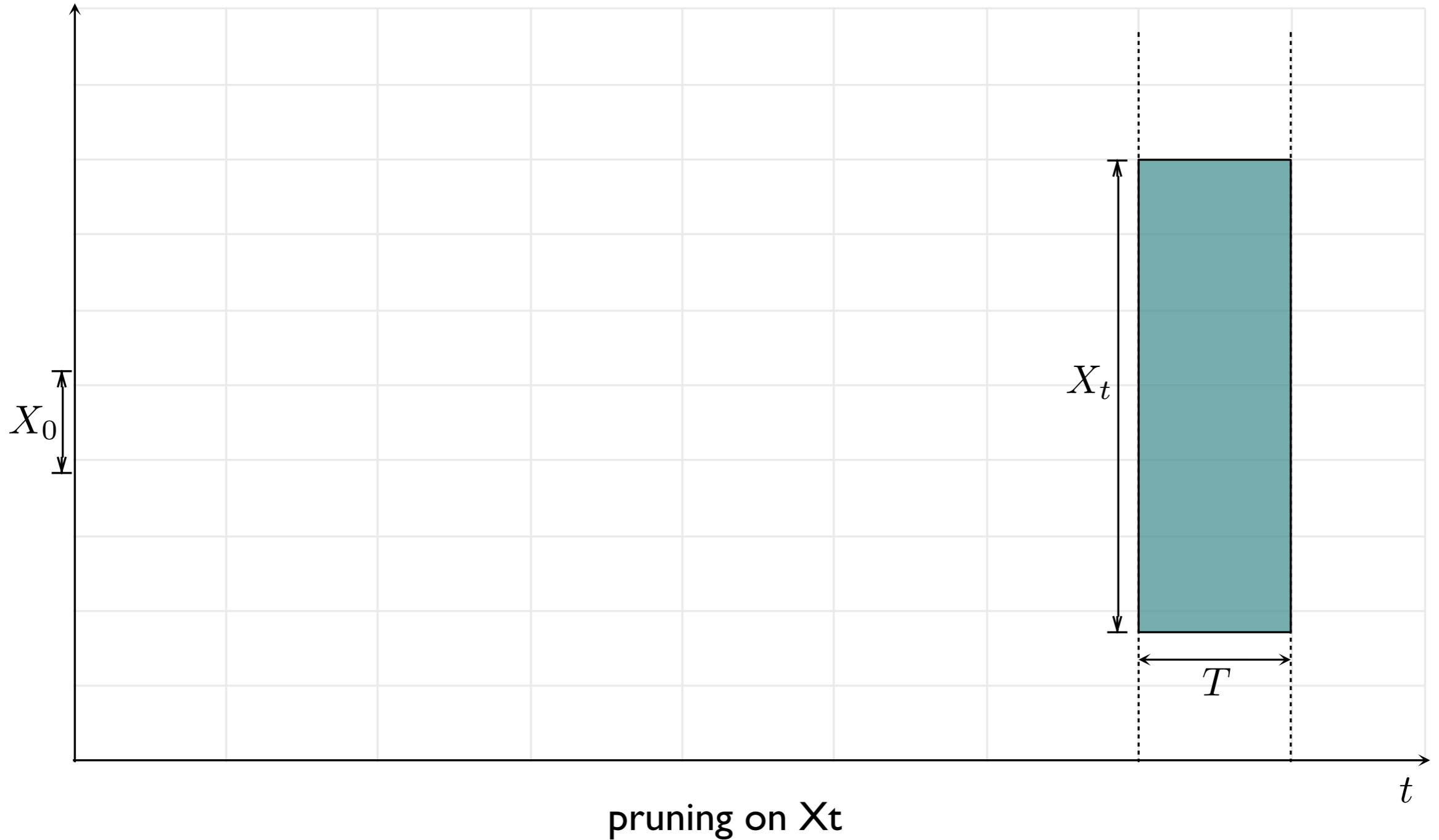
Main Algorithm of ICP

Algorithm 1: Theory Solving in DPLL(ICP)

input : A conjunction of theory atoms, seen as constraints,
 $c_1(x_1, \dots, x_n), \dots, c_m(x_1, \dots, x_n)$, the initial interval bounds on all
variables $B^0 = I_1^0 \times \dots \times I_n^0$, box stack $S = \emptyset$, and precision $\delta \in \mathbb{Q}^+$.
output: δ -sat, or unsat with learned conflict clauses.

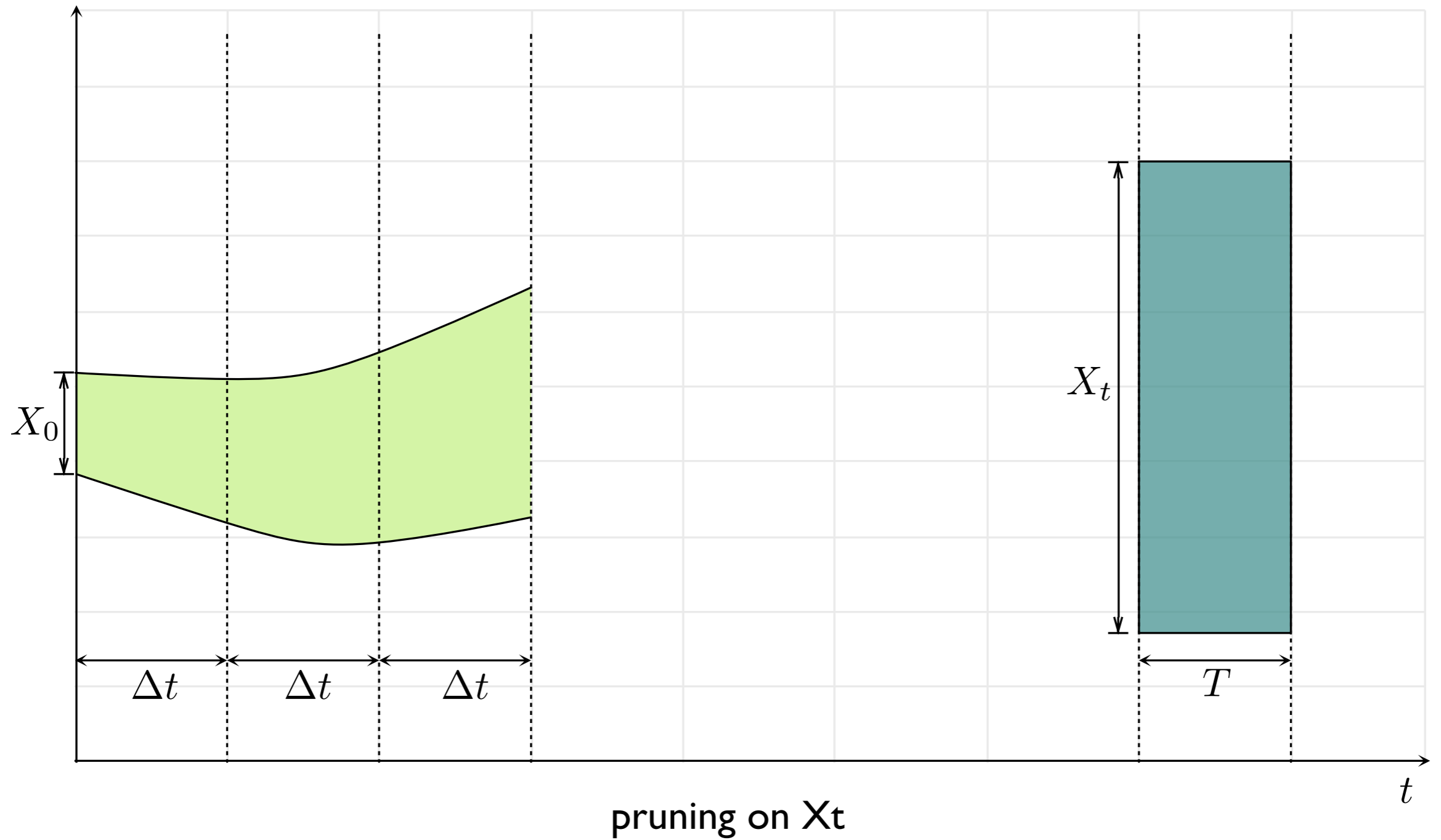
```
1 S.push( $B_0$ );
2 while  $S \neq \emptyset$  do
3    $B \leftarrow S.pop()$  ;
4   while  $\exists 1 \leq i \leq m, B \neq \text{Prune}(B, c_i)$  do
5     //Pruning without branching, used as the assert() function.
6      $B \leftarrow \text{Prune}(B, c_i)$ ;
7   end
8   //The  $\varepsilon$  below is computed from  $\delta$  and the Lipschitz constants of
9   functions beforehand.
10  if  $B \neq \emptyset$  then
11    if  $\exists 1 \leq i \leq n, |I_i| \geq \varepsilon$  then
12       $\{B_1, B_2\} \leftarrow \text{Branch}(B, i)$ ; //Splitting on the intervals
13       $S.push(\{B_1, B_2\})$ ;
14    else
15      return  $\delta$ -sat; //Complete check() is successful.
16    end
17  end
18 end
19 return unsat;
```

Pruning using ODEs (Forward)

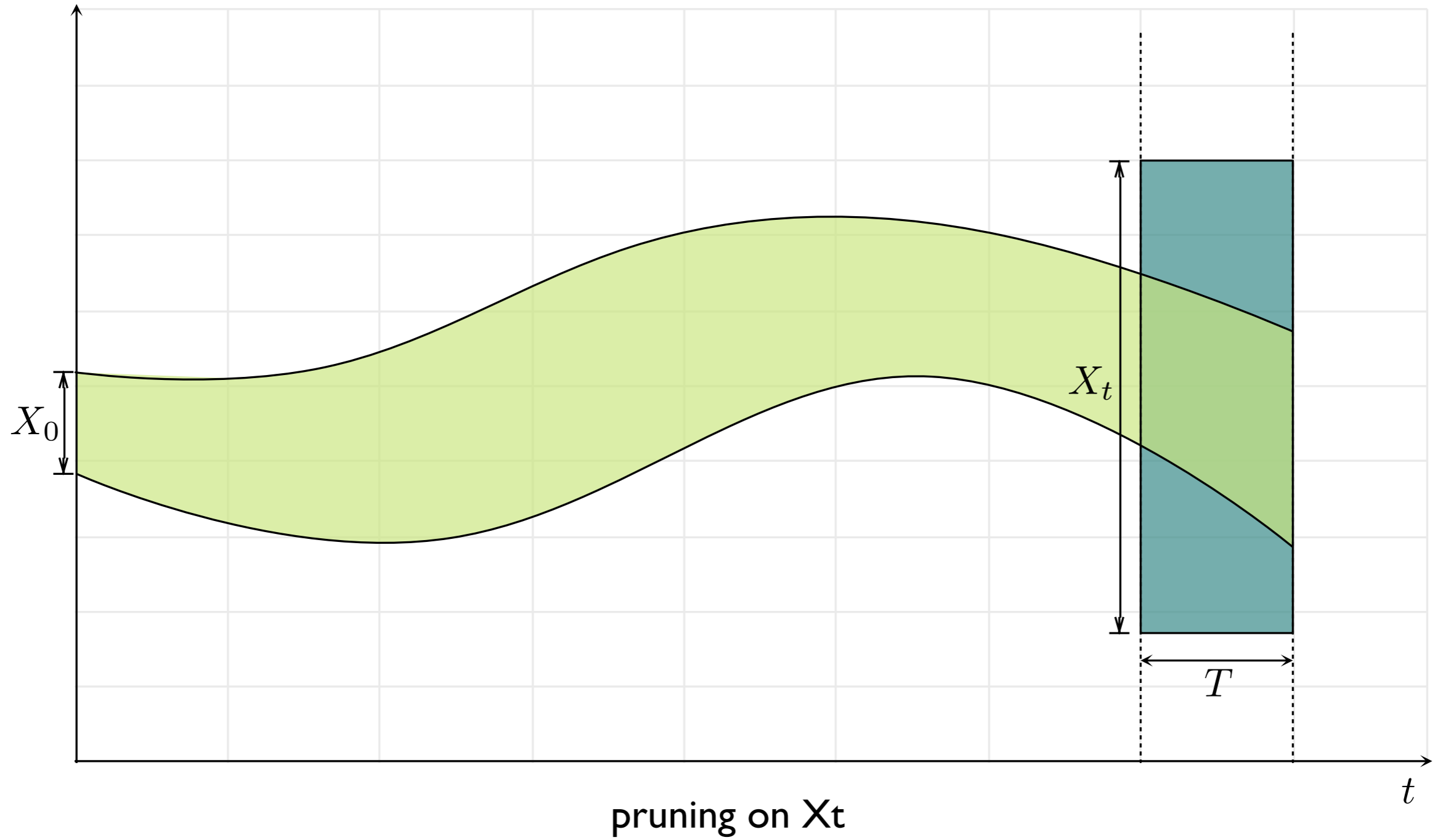


How can we have smaller X'_t ?

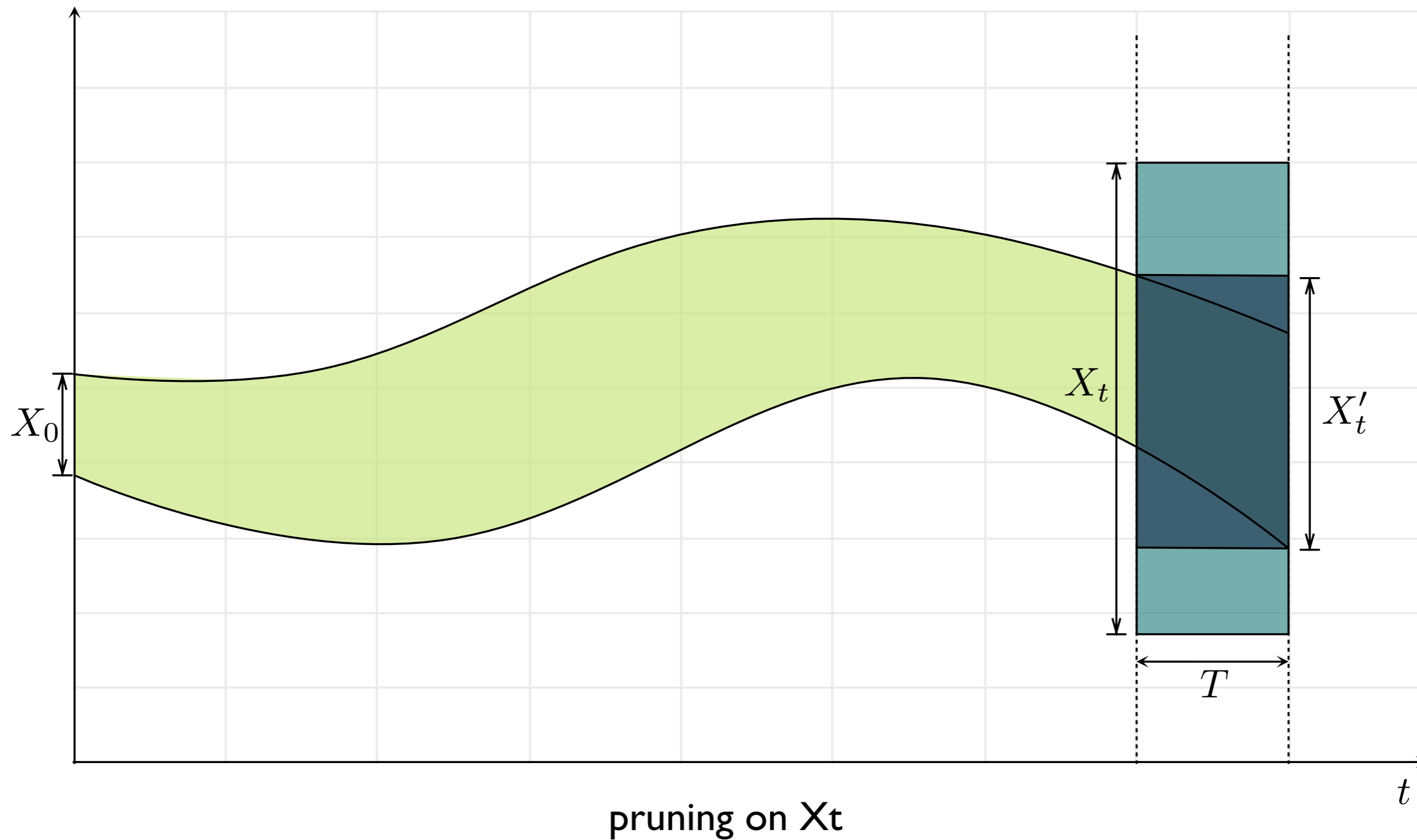
Pruning using ODEs (Forward)



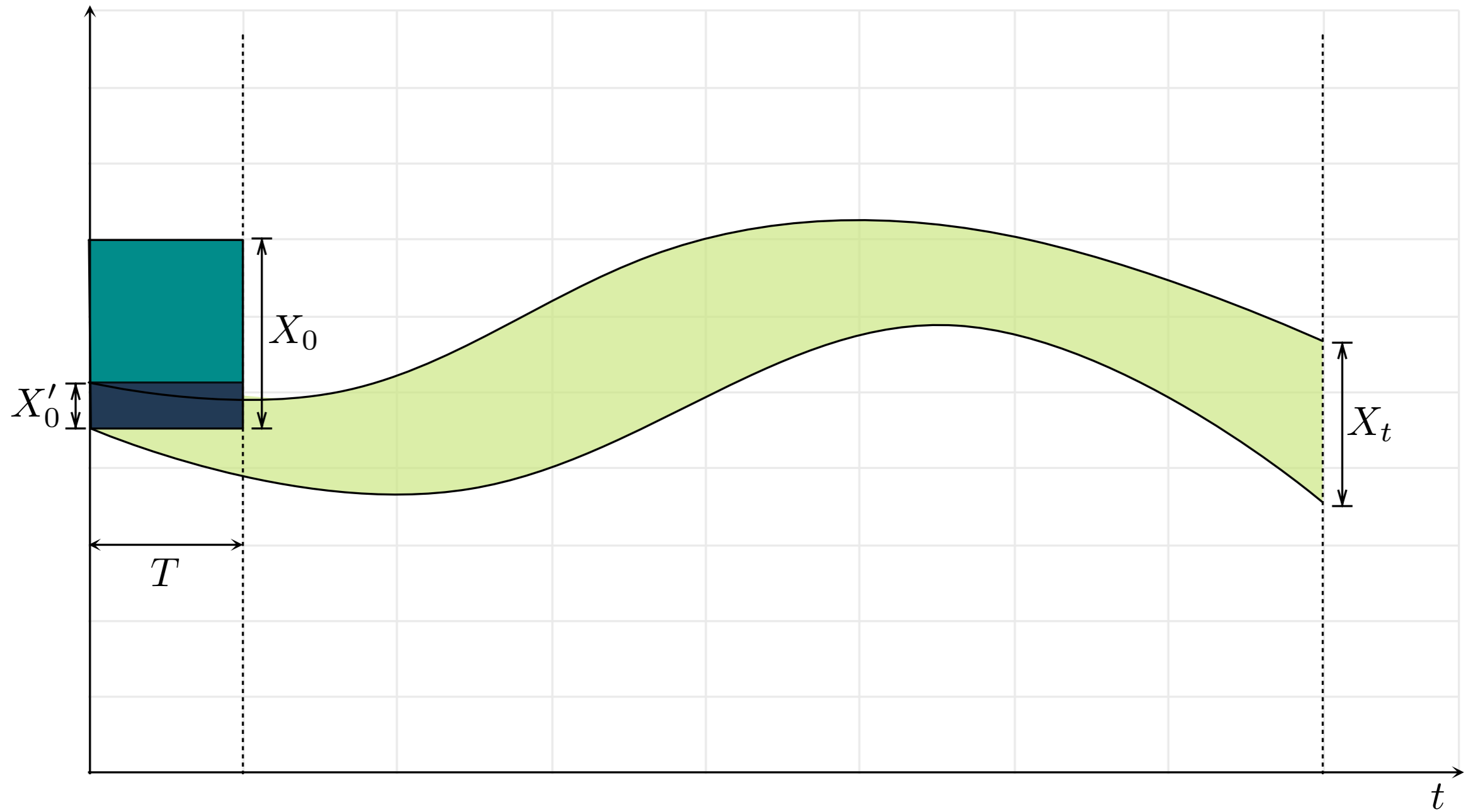
Pruning using ODEs (Forward)



Pruning using ODEs (Forward)

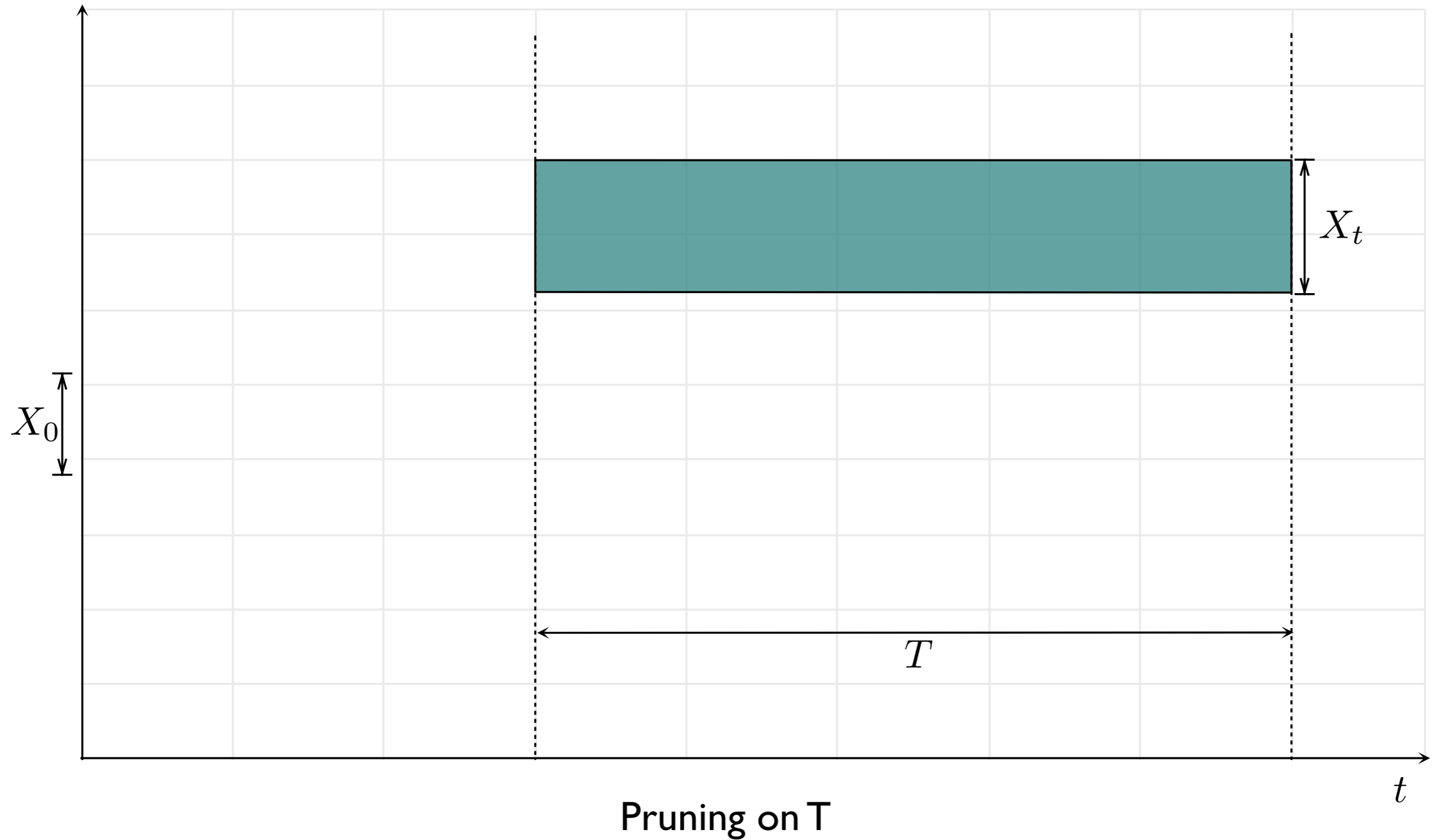


Pruning using ODEs (Backward)

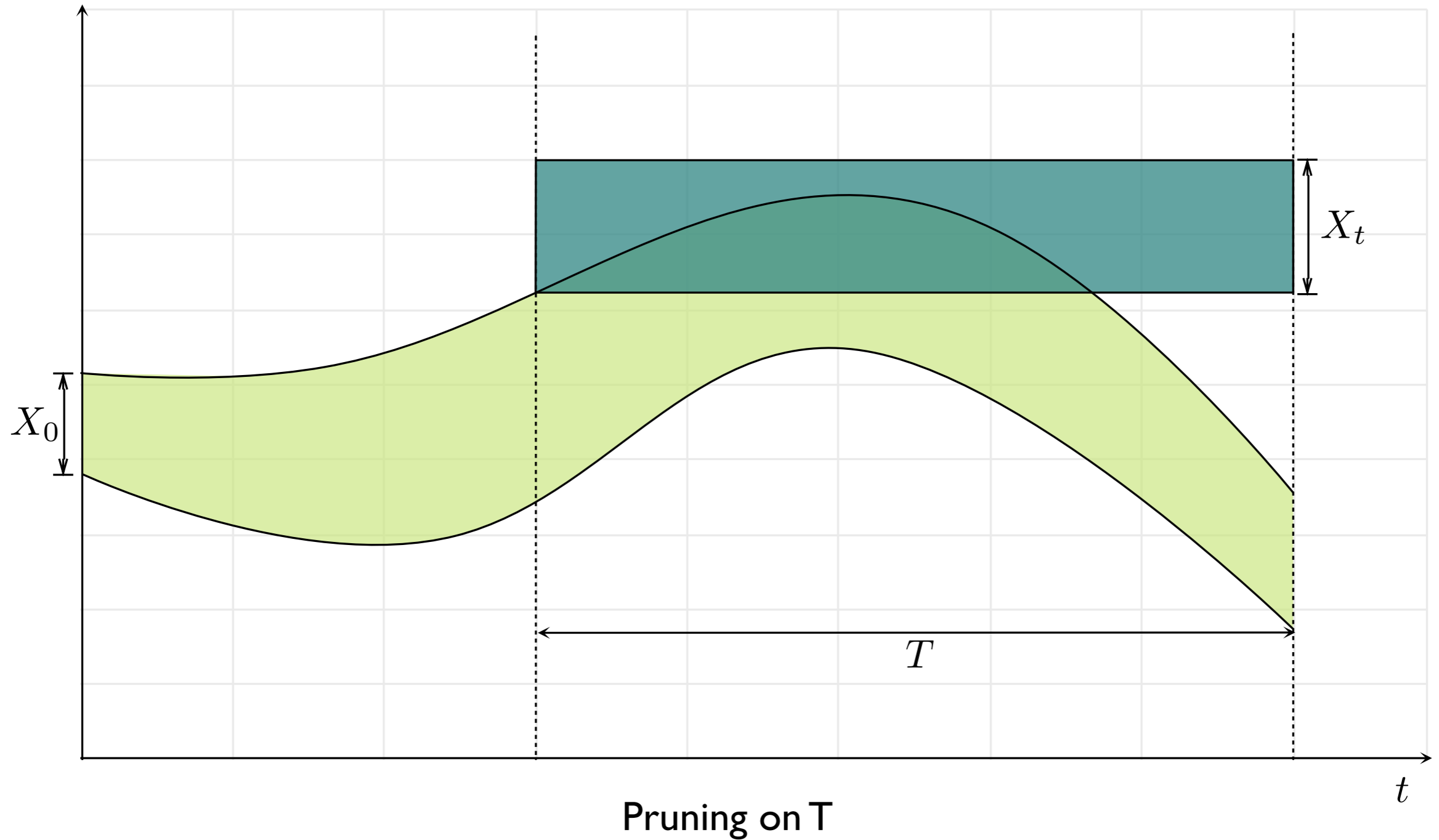


Pruning on X_0

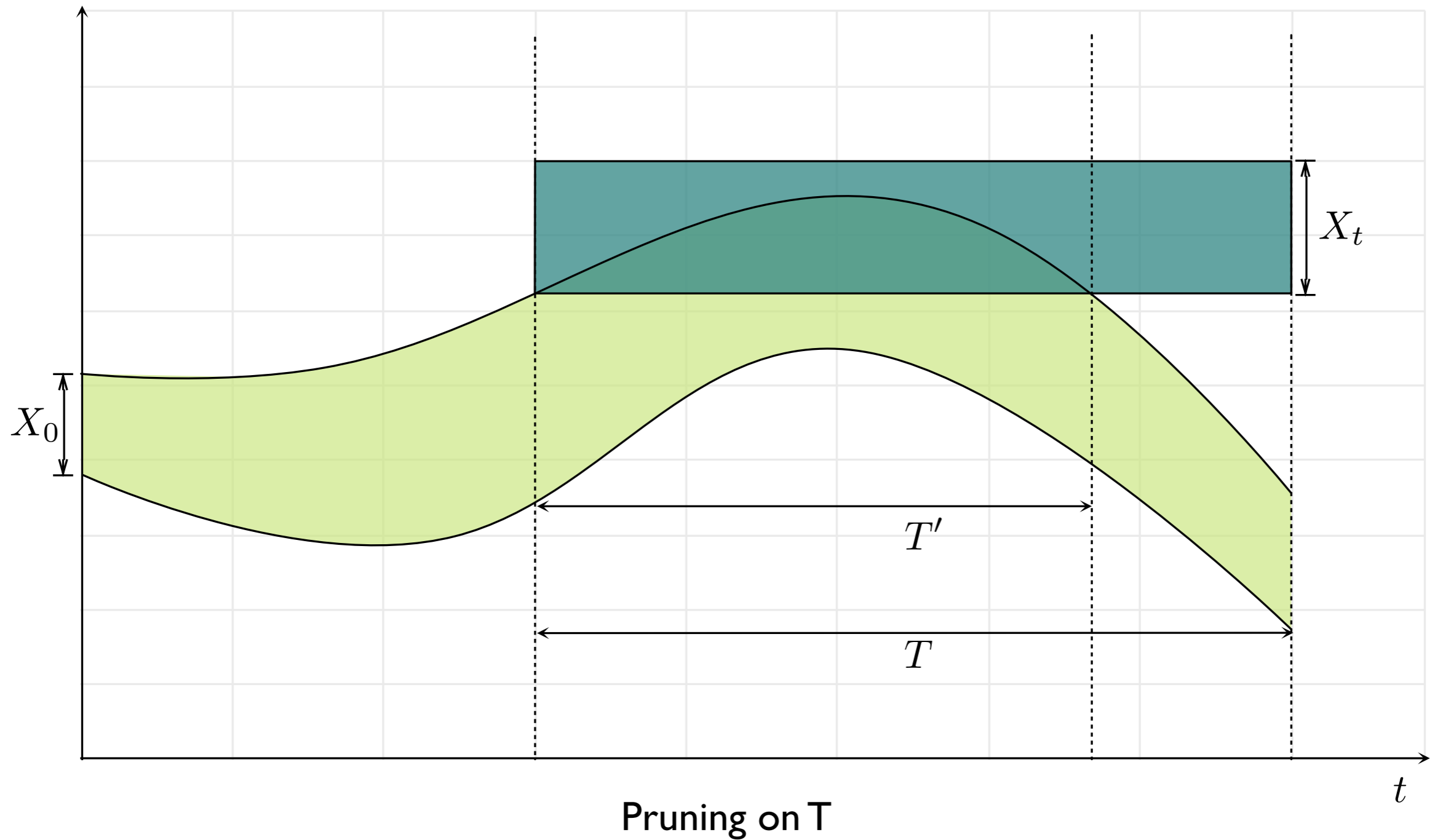
Pruning using ODEs (on Time)



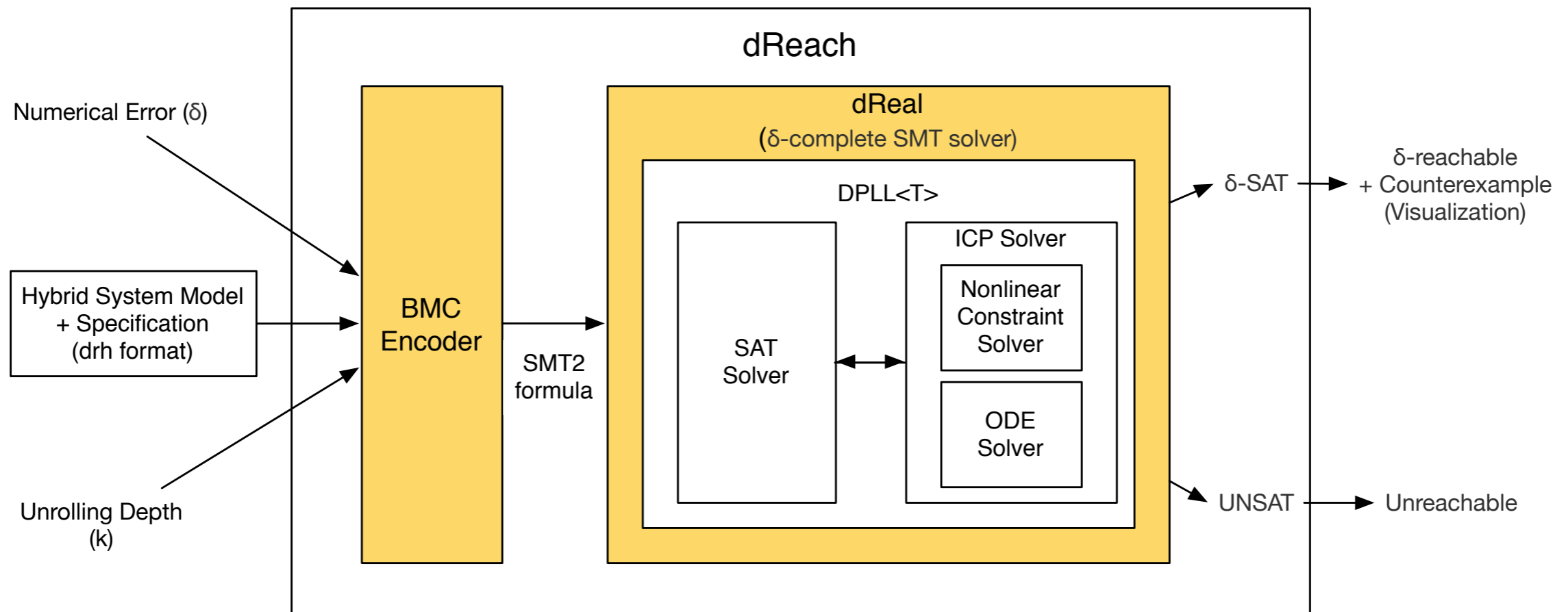
Pruning using ODEs (on Time)



Pruning using ODEs (on Time)

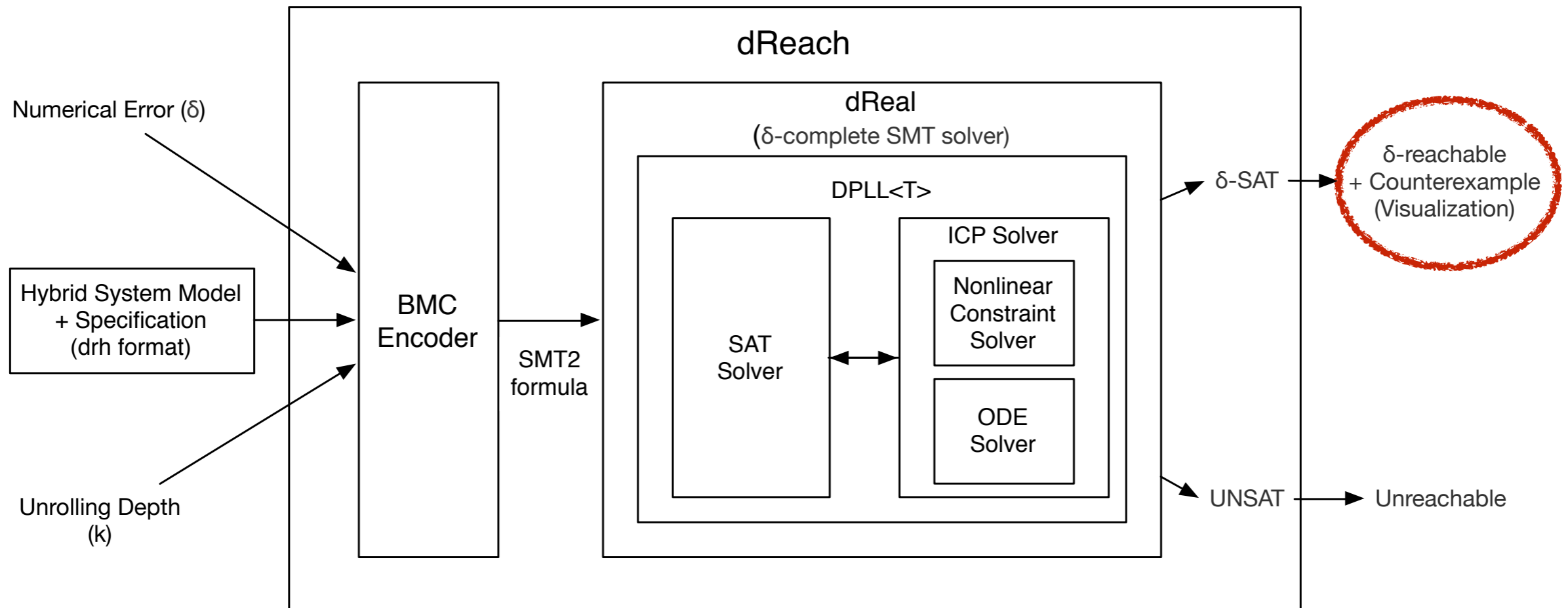


dReach: δ -Reachability Analysis of Hybrid Systems

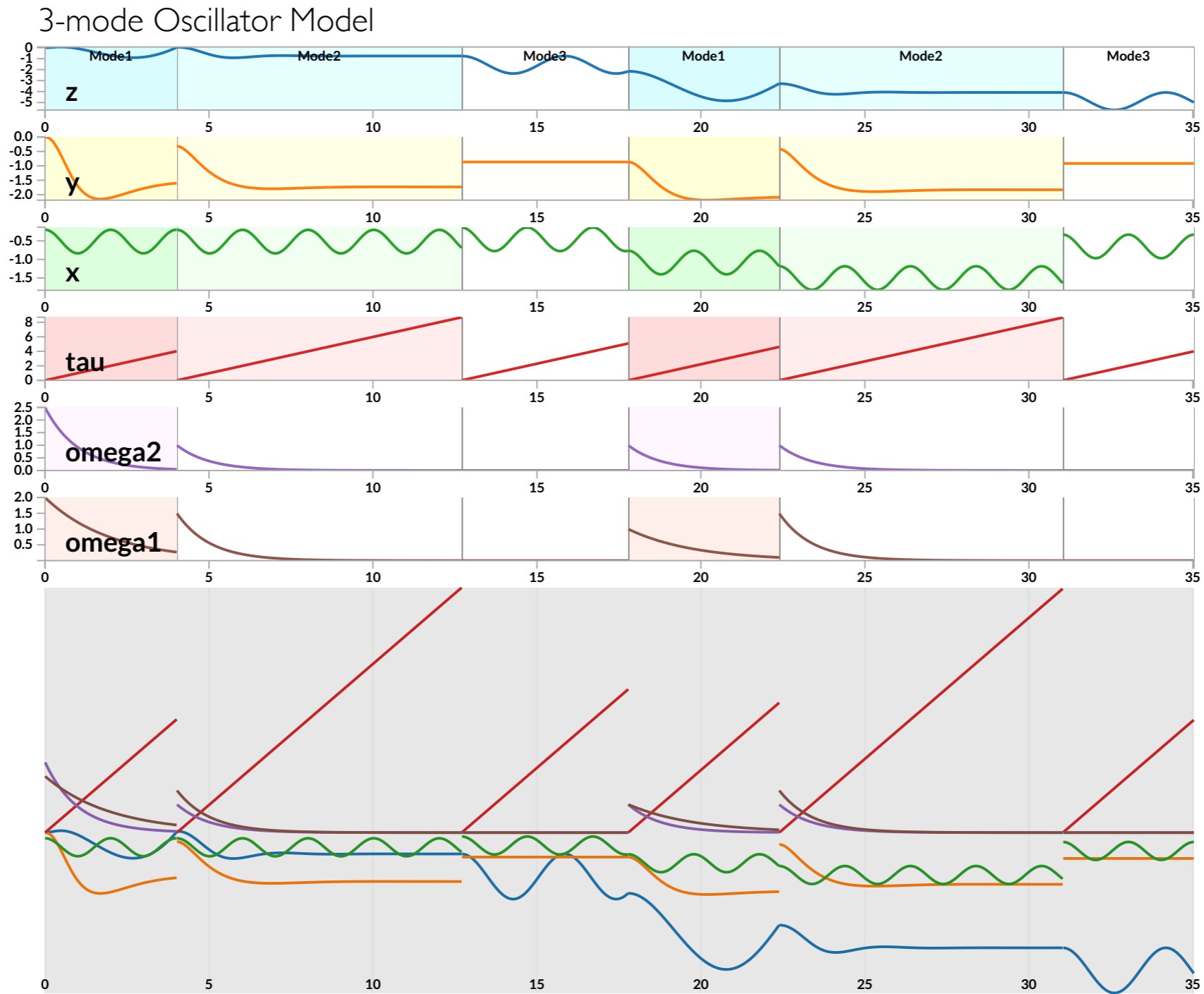


- Open Source (GPL3), available at <https://dreal.github.io>
- Support polynomials, transcendental functions and nonlinear ODEs
- Formulas with 100+ ODEs have been solved.

Visualization of Counterexample



Visualization of Counterexample



Click and drag above to zoom / pan the data

Applications

- * Cardiac Cells, Prostate Cancer (CMU, GIT, TU Vienna)
- * Prostate Cancer (CMU, UPITT)
- * Power-train Control (Toyota Research Lab)
- * Microfluidic Chip Designs (Waterloo)
- * Analog Circuits (City University London)
- * Quadcopter Control, Autonomous Driving (CMU)
- * FDA-accepted non-linear hybrid physiological model for diabetes, (UPENN)

Tools based on dReal/dReach

- * ProbReach: Probabilistic reachability analysis of hybrid systems (Univ. of Newcastle)
- * BioPSy: Parameter set synthesis on biological models (Univ. of Newcastle)
- * SReach: Bounded model checker for stochastic hybrid systems (CMU)
- * Osmosis: Semantic importance sampling for statistical model checking (CMU SEI)
- * Sigma: Probabilistic programming language (MIT)

Conclusion

- * δ -reachability analysis checks **robustness** of hybrid systems which implies **safety**.
- * **Decidable** (PSPACE-Complete)
- * It uses **dReal**, a δ -complete SMT solver, which supports **nonlinear functions** and **nonlinear ODEs**
- * Based on **DPLL<ICP>** framework
- * **Scalable** with our experiments
- * **Open-source**: available at <http://dreal.github.io>

Future Work

- * Scalability
 - * Parallelization
 - * Learning from failures during ICP
 - * Smart Backtracking in ICP (Non-chronological BackJumping)
- * Expressivity
 - * Support Exist-Forall formulas (for optimization problems)

Thank you

Any Questions?